2009

The Thermodynamic and Ground State Properties of the TIP4P Water Octamer

E. Asare
A-R. Musah

See next page for additional authors

Follow this and additional works at: https://digitalcommons.uri.edu/chm_facpubs

Terms of Use
All rights reserved under copyright.

Citation/Publisher Attribution

This Article is brought to you for free and open access by the Chemistry at DigitalCommons@URI. It has been accepted for inclusion in Chemistry Faculty Publications by an authorized administrator of DigitalCommons@URI. For more information, please contact digitalcommons@etal.uri.edu.
I. INTRODUCTION

Neutral water clusters have been the focus of intense research for over three decades. A number of studies have focused on locating important low-lying minima and computing binding energies.\textsuperscript{1–22} Equal effort has been directed toward the simulations of thermodynamic and spectroscopic properties.\textsuperscript{23–43} Central to all these investigations is the issue of modeling, as accurately as possible, the potential energy surface. A number of potential energy surface models have been proposed. For example, the MCY (Matsuoka Clementi Yoshimine) potential\textsuperscript{25} is among the earliest models derived by fitting a number of configuration interaction computations on the dimer. A number of empirical or semiempirical potentials are obtained by fitting experimental and simulated properties of liquid water\textsuperscript{44–51} or ice.\textsuperscript{52,53}

In the present work, we focus on the water octamer for two main reasons. First, a good deal of information is available in the literature about the water octamer. Second, its global minimum is a cubic structure, making it the smallest of the clusters with a compact and relatively highly symmetric global minimum. As such, the water octamer serves as an ideal testbed for theories and numerical methods for the simulation of more complex systems that contain water.\textsuperscript{54–62}

Despite the relatively small size of the water octamer, its global optimization has proved to be challenging. Stillinger and David\textsuperscript{3} report the first optimized structure of the octamer using a model that includes polarization corrections. Brink and Glasser\textsuperscript{4} report quite a different global minimum, cubic in shape with \(D_{2d}\) symmetry. Wawak \textit{et al.}\textsuperscript{7} also find a global minimum with \(D_{2d}\) symmetry using the MCY potential.\textsuperscript{2} On the other hand, Tsai and Jordan,\textsuperscript{8} and independently, Wales and Ohmine,\textsuperscript{9} find two competing cubic structures, lying close in energy, one with \(D_{2d}\), and one with \(S_4\) symmetry. Two of the potential surfaces tested by Wales and Ohmine are four-site models and favor the \(S_4\) isomer energetically. The other two are three-site models and favor the \(D_{2d}\) cube instead. Hartke\textsuperscript{17} uses the TIP4P (Ref. 50) potential (a four-site model) and the genetic algorithm to optimize water clusters with up to 21 molecules. In the latter work, it is reported that clusters up to \(n=10\) are solvable with one generation, demonstrating the power of the genetic algorithm. Nevertheless, the issue of which of the two low-lying cubes, the \(D_{2d}\) and the \(S_4\), has the lowest energy is related to the quality of the potential energy model itself. High level \textit{ab initio} computations of the binding energies of the two isomers have been performed.\textsuperscript{52} The difference between the binding energies of the two isomers is smaller than the error in the best potential energy model fitted to the \textit{ab initio} points, and smaller than the estimated correlation effects beyond the second-order Møller Plesset (MP2) complete basis set limit.\textsuperscript{52} Therefore, to resolve the differences between the two minima, theories more accurate than the MP2 limit are necessary. The general consensus is that TIP4P approximates much better the true potential energy surface compared to the SPC or SCP/E, models. However, it is known that to get relative energies closer to the MP2 complete basis set limit...
results, one needs to include polarizable models.

Despite the daunting difficulties encountered in the formulation of a reliable potential energy model for the octamer, a number of simulations of the water octamer have been published. The characteristic vibrational frequencies have been computed with two different potentials. Thermodynamic properties have been computed in the NVT ensemble and in the NPT ensemble, and in the presence of external electric fields. Isomerizations and the melting transition have been studied using molecular dynamics. The bulk of the simulations of the water octamer in the literature have treated the motion of the nuclei classically. Yet, large quantum effects can be expected given the light mass of the nuclei involved. The intermolecular contributions of the zero point energy for the \(D_2\text{d}^2\) and the \(S_4\) configurations have been computed with the rigid body diffusion Monte Carlo (DMC), whereas the equilibrium properties of the quantum water octamer have been simulated with the variational Gaussian wave packet method.

One of our groups has been involved in the development of quantum methods for the efficient simulation of molecular aggregates. Constraining intramolecular degrees of freedom proves crucial in overcoming convergence difficulties with path integral Monte Carlo (PIMC) and DMC simulations of molecular clusters. The time scale difference between the intramolecular and the intermolecular degrees of freedom in typical molecular aggregates is sufficiently large to cause inefficiencies in DMC simulations, and to make the convergence of PIMC simulations a formidable task. In a recent study we use a relatively simple harmonic model for condensed matter with typical force constants for the intermolecular and intramolecular degrees of freedom. The equilibrium properties of the quantum water octamer have been simulated with the variational Gaussian wave packet method.

In a recent article we develop and test several importance sampling strategies for stereographic projection DMC in curved manifolds. In order to guide DMC, we propose a family of one parameter trial wave functions for VMC in stereographic projection DMC (GF-SPDMC) approach to have the simplest implementation and the best statistical behavior.

The purpose of the work reported in the present article is to determine the quantum thermodynamic and ground state properties of the TIP4P water octamer. Specifically, we formulate the following two sets of goals:

(i) obtaining the quantum and classical heat capacity of the TIP4P water octamer and comparing these quantities to the corresponding ones for the SPC water octamer from previous variational and path integral simulations. In Ref. we use a three-site SPC model with a flexible extension identical to the one used by Frantsuzov.

(ii) computing the ground state energy and characterizing structurally as much as possible the ground state wave function. The distributions of random walkers from guided DMC are for the product \(\psi_0 \psi_T\), where \(\psi_T\) is the variational wave function used to guide DMC and \(\psi_0\) is the true ground state wave function. By comparing distributions of \(\psi_0 \psi_T\), with those for \(\psi_T^2\), we wish to obtain qualitative, but important information about the structural nature of the true ground state wave function \(\psi_0\).
would anticipate significant differences in the thermodynamic properties when comparing with the SPC model for water. Given the difficulties encountered while trying to resolve which hydrogen bonding arrangement is favored energetically for the real water octamer by potential models, it is highly likely that future simulations of more complicated systems, such as clathrates for example, will require reproducibility tests of quantitative results with two or more models. The classical heat capacity of the octamer has been presented and discussed in Sec. III, and our conclusions are in Sec. IV. The results are pre-

Sec. IV.

The rationale for the second set of goals is similar. The sensitivity to small variations in the shape of the potential in classical simulations is probably exacerbated by the presence of the two low-lying cubes, which are nearly degenerate. In larger clusters, the number of nearly degenerate minima configurations with different arrangements of the hydrogen bond grows significantly. Little is known about how the quantum heat capacity and energy change when models of the potential energy vary.

The center-piece of the imaginary time path integral approach is the density matrix \( \rho(q,q',\beta) \). In manifolds the following equation is convenient for developing the proper importance sampling algorithms, and to derive the expressions for the finite difference estimators of the energy and heat capacity.

\[
\rho(q,q',\beta) = \left( \frac{1}{2\pi} \right)^{N/2} (\hbar^2 \beta)^{-D/2} J_\lambda 
\times \int d[a] \exp \left\{ -\beta \int_0^1 du U(\tilde{q}^\mu(u)) \right\},
\]

(2)

where \( U(\tilde{q}^\mu(u)) \) is the quantum action,

\[
U(\tilde{q}^\mu(u)) = -\frac{N}{2\beta} \ln[\det g_{\mu\nu}(\tilde{q}^\mu(u))] + \frac{1}{2} g_{\mu\nu}(\tilde{q}^\mu(u)) \tilde{q}^\mu \tilde{q}^\nu + V(\tilde{q}^\mu(u)),
\]

(3)

d\([a]\), is the measure of the space of the series coefficients,

\[
d[a] = \prod_{k=1}^{4k_m} \prod_{\mu=1}^D da_k^\mu,
\]

(4)

and \( J_\lambda \) is a constant Jacobian that results from transforming the Wiener measure over the time-sliced space to the space of all the random coefficients \( a_k^\mu \).

In Eq. (3), \( g_{\mu\nu} \) is the Hessian metric tensor, which can be represented with a block-diagonal matrix,

\[
g_{\mu\nu} = \begin{pmatrix} \mathbf{g}^{(1)} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \mathbf{g}^{(a)} \end{pmatrix}.
\]

(5)

Each block in Eq. (5) represents the molecular metric tensor, a 6 × 6 block-diagonal matrix,

\[
\mathbf{g}^{(i)} = \begin{pmatrix} m & 0 & 0 \\ 0 & m & 0 \\ 0 & 0 & m \end{pmatrix},
\]

(6)

where \( m \) is the total mass of the water molecule and \( \mathbf{G}^{(i)} \) is the metric tensor associated with the orientations.

To compute the integral in Eq. (2), we use the trapezoid rule with \( N=k_m+1 \) points, and we evaluate the Hessian metric tensor and the potential at the end point of every interval \( u_j = j/N \). The end point choice yields a constant curvature for

graphic projections. The random series expansion of the path consists of \( k_m \) core terms and an additional 3\( k_m \) tail terms,

\[
\tilde{q}^\mu(u) = q^\mu_c(0) + \hbar \beta^{1/2} \sum_{k=1}^{k_m} a_k^\mu \Lambda_k(u) + \hbar \beta^{1/2} \sum_{k=k_m+1}^{4k_m} a_k^\mu \tilde{\Lambda}_k(u),
\]

(1)

\( \tilde{q}^\mu \) is the closed path (\( \tilde{q}^\mu(0)=\tilde{q}^\mu(1) \)) with \( u = \tau/\beta \). The tail terms are formulated in such a way that the partial averaging method constructed on Eq. (1) is identical to the partial averaging method derived from the original random series representation of the Brownian bridge.

The center-piece of the imaginary time path integral approach is the density matrix \( \rho(q,q',\beta) \). In manifolds the following equation is convenient for developing the proper importance sampling algorithms, and to derive the expressions for the finite difference estimators of the energy and heat capacity.

\[
\rho(q,q',\beta) = \left( \frac{1}{2\pi} \right)^{N/2} (\hbar^2 \beta)^{-D/2} J_\lambda 
\times \int d[a] \exp \left\{ -\beta \int_0^1 du U(\tilde{q}^\mu(u)) \right\},
\]

(2)

where \( U(\tilde{q}^\mu(u)) \) is the quantum action,

\[
U(\tilde{q}^\mu(u)) = -\frac{N}{2\beta} \ln[\det g_{\mu\nu}(\tilde{q}^\mu(u))] + \frac{1}{2} g_{\mu\nu}(\tilde{q}^\mu(u)) \tilde{q}^\mu \tilde{q}^\nu + V(\tilde{q}^\mu(u)),
\]

(3)

d\([a]\), is the measure of the space of the series coefficients,

\[
d[a] = \prod_{k=1}^{4k_m} \prod_{\mu=1}^D da_k^\mu,
\]

(4)

and \( J_\lambda \) is a constant Jacobian that results from transforming the Wiener measure over the time-sliced space to the space of all the random coefficients \( a_k^\mu \).

In Eq. (3), \( g_{\mu\nu} \) is the Hessian metric tensor, which can be represented with a block-diagonal matrix,

\[
g_{\mu\nu} = \begin{pmatrix} \mathbf{g}^{(1)} & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \mathbf{g}^{(a)} \end{pmatrix}.
\]

(5)

Each block in Eq. (5) represents the molecular metric tensor, a 6 × 6 block-diagonal matrix,

\[
\mathbf{g}^{(i)} = \begin{pmatrix} m & 0 & 0 \\ 0 & m & 0 \\ 0 & 0 & m \end{pmatrix},
\]

(6)

where \( m \) is the total mass of the water molecule and \( \mathbf{G}^{(i)} \) is the metric tensor associated with the orientations.

To compute the integral in Eq. (2), we use the trapezoid rule with \( N=k_m+1 \) points, and we evaluate the Hessian metric tensor and the potential at the end point of every interval \( u_j = j/N \). The end point choice yields a constant curvature for

graphic projections. The random series expansion of the path consists of \( k_m \) core terms and an additional 3\( k_m \) tail terms.
the quantum Jacobian that we can simply ignore. Additionally, we can ignore the difference between the Jacobian obtained from the manifold and the Jacobian obtained in $\mathbb{R}^n$, with infinitely stiff springs replacing the holonomic constraints, since their ratio is a constant. To implement the Metropolis algorithm, we move the center of mass or the orientation of a randomly selected molecule, and we translate one path variable for each coordinate moved, e.g., $a_k^{(l)}, a_k^{(l+1)}, a_k^{(l+2)}, a_k^{(l+3)} \rightarrow \{a_k^{(l)}, a_k^{(l+1)}, a_k^{(l+2)}, a_k^{(l+3)}\}$. The integer $k$ is in $(1 \leq k \leq 4k_m)$, and is selected randomly from a uniform distribution.

The estimators for the energy and heat capacity are computed using the following two expressions, respectively,\(^77\)

$$
\langle E \rangle = \frac{D}{2\beta} + \left( \frac{\partial}{\partial \beta} \left[ \int_0^1 du \exp(\beta u) \right] \right),
$$
(7)

$$
\frac{C_v}{k_B} = \frac{D}{2} + \frac{D^2}{4} + D \beta \left( \int_0^1 du \exp(\beta u) \right) \left( \int_0^1 du \exp(\beta u) \right) - \beta \left( \int_0^1 du \exp(\beta u) \right) \left( \int_0^1 du \exp(\beta u) \right) - \left( \frac{\partial}{\partial \beta} \left[ \int_0^1 du \exp(\beta u) \right] \right)^2.
$$
(8)

The derivatives with respect to $\beta$ in Eqs. (7) and (8) are evaluated numerically. Previous work on SPC water clusters\(^7\) has indicated that SPPI simulations with the Fourier–Wiener reweighted random series are converged with $k_{\text{max}}=52$ at and above 50 K for the energy, and above 100 K for the heat capacity.

The potential energy used in our simulations is the TIP4P (Ref. 50) model, added to a smooth Lee–Barker–Abraham spherical cavity,\(^11\)

$$
V_{\text{LBA}} = \sum_{i=1}^{8} \left( \frac{r_{O,i} - R_{CM}}{2R_C} \right)^{20},
$$
(9)

where $r_{O,i}$ is the location of the oxygen atom for the $i$th water molecule, $R_{CM}$ is the center of mass of the cluster, $V_0 = 1$ Hartree, and $R_C = 12.0$ bohr.

**B. Green’s function stereographic projection diffusion Monte Carlo**

The integral representation of the imaginary time-dependent Schrödinger equation is the starting point of the GF-SPDMC,

$$
\psi(q, \tau + \Delta \tau) = \int M G(q, q', \Delta \tau) \psi(q', \tau) dq'.
$$
(10)

In Eq. (10), $M$ is the manifold and $G(q, q', \tau)$ is the Green’s function. $G(q, q', \tau)$ satisfies the imaginary time dependent Schrödinger equation,

$$
\frac{\partial G}{\partial \tau} = \frac{\hbar^2}{2} \Delta_{\text{LB}} G - (V - E_T) G,
$$
(11)

and the initial condition

$$
\lim_{\Delta \tau \to 0} G(q, q', \Delta \tau) = \delta(q - q').
$$
(12)

In Eq. (11), $\Delta_{\text{LB}}$ is the Laplace–Beltrami operator,\(^117\)

$$
\Delta_{\text{LB}} = \frac{1}{g^{\mu\nu} \partial_{g^{\mu\nu}}},
$$
(13)

where $g^{\mu\nu}$ is the inverse of the Hessian metric tensor, and $g$ is the determinant of $g_{\mu\nu}$. Equation (10) can be solved recursively\(^5\) by approximating the Green function locally and introducing an optimized trial wave function $\psi_T$,

$$
\exp \left( -\frac{g_{\mu\nu} \Delta q^\mu \Delta q^\nu}{2\Delta \tau} \right) \psi_T(q + \Delta q) \psi_T(q) \exp [- (V - V_{\text{ref}}) \Delta \tau],
$$
(14)

where $\psi_T(q)$ and $\psi_T(q + \Delta q)$ are the values of the optimized trial wave function before the diffusion step is taken and after the diffusion step is taken, respectively. Each replica is moved with $\Delta q$ distributed according to

$$
\exp \left( -\frac{g_{\mu\nu} \Delta q^\mu \Delta q^\nu}{2\Delta \tau} \right),
$$
(15)

and grown or annihilated using

$$
\psi_T(q + \Delta q) \psi_T(q) \exp [- (V - V_{\text{ref}}) \Delta \tau],
$$
(16)

as the statistical weight. The spacial dependence of the Hessian metric tensor in Eq. (15) complicates slightly the diffusion part of the algorithm. Several sensible methods have been tested in this regard using a number of different test problems. All the schemes are found to converge to first order in $\Delta \tau$.

The GF-SPDMC strategy needs a trial wave function in $M$. In Ref. 72, we explore the following possibility:

$$
\psi_T(q) = A \exp \left( -\frac{1}{2} \alpha V(q) \right),
$$
(17)

using the bistable potential and the Stockmayer trimer. The variational energy,

$$
\langle E_v \rangle = \frac{\int_{\tilde{M}} dq \left[ \psi_T^* \tilde{H} \psi_T \right] \psi_T^2}{\int_{\tilde{M}} dq \psi_T^2},
$$
(18)

is computed with parallel tempering for various values of $\alpha$. With the form of $\psi_T$ given in Eq. (17), $|\psi_T|^2$ is the equivalent of the importance sampling function for the classical configuration integral of statistical mechanics,

$$
|\psi_T|^2 = A^2 \exp [- \alpha V(q)],
$$
(19)

where $\alpha$ plays the same role as $(k_B T)^{-1}$. The wave function in Eq. (17) has several desirable features. First, it is the exact wave function for a harmonic monodimensional system. Second, it produces a convenient importance sampling function for the most important regions of configuration space. When the parameter $\alpha$ is optimized, we find that $\psi_T$ usually samples a multitude of potential energy minima decreasing...
the possibility that the guided diffusion walk is biased toward one single minimum of the potential energy surface. Since the random walk performed to optimize $\psi_T$ is carried out using parallel tempering, starting the diffusion walk from a distribution obtained at the optimized parameter with parallel tempering gives us confidence that quasiergodicity is reduced in the diffusion walk. In the unguided DMC case, quasiergodicity manifests itself with relatively long correlation times when all the replicas become trapped in one well.\(^{72}\) Third, it reduces the importance of dissociated or nearly dissociated states when the potential surface is not confining, as is usually the case with clusters. Finally, the procedure conveniently produces an optimized wave function while computing thermodynamic properties of interest in the classical limit, and ensuring ergodic sampling in $\mathbb{M}$ at the same time.

Using Eqs. (13) and (17), one derives the following expression for the local energy estimator:

$$
\Psi_T^{-1}\hat{H}\Psi_T = V - \frac{\hbar^2}{2} \left\{ \frac{\alpha^2}{4} g^{\mu\nu} \partial_\mu \partial_\nu V - \frac{\alpha}{2} g^{\mu\nu} \partial_\mu \partial_\nu V \right\} - \frac{\alpha}{2} \left\{ g^{\mu\nu} \left[ \partial_\mu \ln \det(g) \right] + (\partial_\mu g^{\mu
u}) \partial_\nu V \right\}.
$$

Equation (20) is used to estimate the energy from populations evolved according to the GF-SPDMD algorithm as well. The trial wave function $\psi_T$ given in Eq. (17) represents the compromise we make to embody all the physics of the system, at the expense of the computer time necessary to evaluate the derivatives in Eq. (20).

### C. Structural characterization methods

The comparison between two configurations of a cluster is carried out with the structural comparison algorithm (SCA), which has been modified for the present work. The algorithm to compare configuration $A$ and $B$ is as follows. Configurations $A$ and $B$ are translated so that the origin is the cluster’s geometric center,

$$
\sum_{i=1}^{3n} r_i^{(A)} = 0, \quad \sum_{i=1}^{3n} r_i^{(B)} = 0.
$$

Configurations $A$ and $B$ are rotated so that atom 1 and $i$, respectively, are on the $z$ axis ($1 \leq i \leq 3n$). One additional rotation is performed so that atom 2 and $j$, for configurations $A$ and $B$, respectively, are on the $x$–$z$ plane ($1 \leq j \leq 3n, j \neq i$). For each of the $3n(3n-1)$ rotations, a sorting of the remaining $3n-2$ atoms is performed to find the atom of $B$ that is the closest to atom $k$ of $A$, for $k = 2, \ldots, 3n$. The SCA metric is the infimum of the set,

$$
\Delta_A = \inf_{i,j=1,i+j} \Delta_{ij}^{(A)} 3n,
$$

where $\Delta_{ij}^{(A)}$ represent an element of the following set of sums,

$$
\Delta_{ij}^{(A)} = \sum_{k=1}^{3n} \left[ r_k^{(A)} - g\mathbf{R}(\Omega, \Omega_j) r_k^{(B)} \right],
$$

and the element of the set $\{ g\mathbf{R}(\Omega, \Omega_j) r_k^{(B)} \}_{k=1}^{3n}$ is the configuration $B$ rotated and with the labels permuted as described.

The quantity $\Delta_A$ is most meaningful when the configuration $A$ is a minimum of the potential energy surface. If $A$ is the $S_4$ cube we use the symbol $\Delta_{S_4}$, and if $A$ is the $D_{2d}$ cube we use the symbol $\Delta_{D_{2d}}$. The atoms are all treated as identical, namely, no distinction is made between the hydrogen and the oxygen atoms. We refer to the metric in Eq. (22) as the all-atoms SCA distance.

Previous applications of the SCA to molecular clusters involved only the Cartesian coordinates of the centers, unlike those of every atom as we do here. The measure $\Delta_A$ in the present version is sensitive to changes in the orientations of the water molecules. However, for the purpose of the structural analysis in the present work we find it necessary to introduce an additional SCA measure based on the oxygen atoms alone,

$$
\Delta_{A}^{(O-O)} = \inf_{i,j=1,i+j} \Delta_{ij}^{(O-O)} n,
$$

where $\Delta_{ij}^{(O-O)}$ is defined using an equation analogous to Eq. (23),

$$
\Delta_{ij}^{(O-O)} = \sum_{k=1}^{n} \left[ \rho_k^{(A)} - g\mathbf{R}(\Omega_j, \Omega_j) r_k^{(B)} \right],
$$

and $\rho_k^{(A)}$ is the position of the $k$th oxygen atom relative to the geometric center of the cluster. Using this strategy, we can determine how translational degrees of freedom are distributed differently compared with the orientation degrees of freedom in the GF-SPDMD and the VMC ground state. Differences in these distributions from quantum effects are expected given that the effective mass associated with translation is approximately a factor of 10 larger than the effective masses associated with the orientation of the molecules. When $A$ is the $S_4$ minimum we call the O-atoms SCA distance with the symbol $\Delta_{S_4}^{(O-O)}$.

### D. Quenching in curved manifolds

To confirm further the results obtained from the structural analysis, we submit configurations collected during the random walks to a quenching procedure. The method we choose is a 0 K Brownian algorithm, which we have recently developed to study ammonia clusters. To handle the holonomic constraints we begin with the Euler–Lagrange’s equations,

$$
\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}^r} \right) - \frac{\partial L}{\partial q^r} = 0.
$$

These are invariant under any continuous change of coordinates, and therefore are applicable in any manifold produced by holonomic constraints. From Eq. (26) we could derive the geodesic equations for accelerated systems in manifolds\(^{116}\) by rewriting the derivative of the Hessian metric tensor into a symmetrized version, known as the Christoffel connection coefficients. However, the connection coefficients\(^{116}\) in ellipsoid of inertia mapped stereographically have formidable expressions. Instead, we start with the general following form of the Lagrangian,
\[
\mathcal{L} = \frac{1}{2} g_{\mu \nu} \dot{q}^\mu \dot{q}^\nu - V,
\]  
(27)

where \( V \) is the potential energy and \( g_{\mu \nu} \) is the Hessian metric tensor, and insert this into Eq. (26). This yields the following expression:

\[
g_{\mu \nu} \ddot{q}^\mu + (\partial_n g_{\mu \nu}) \dot{q}^\mu \dot{q}^\nu - \frac{1}{2} (\partial_n g_{\mu \nu}) \dot{q}^\mu \dot{q}^\nu + \partial_n V = 0.
\]  
(28)

The first two terms in Eq. (28) result from the time derivative of the partial of \( \mathcal{L} \) with respect to \( \dot{q}^\nu \). A trivial rearrangement yields the equivalent of Newton’s second law in manifolds,

\[
g_{\mu \nu} \ddot{q}^\mu = -\partial_n \mathcal{L}^* ,
\]  
(29)

where \( \mathcal{L}^* \) is the Lagrangian with the potential inverted,

\[
\mathcal{L}^* = \frac{1}{2} g_{\mu \nu} \dot{q}^\mu \dot{q}^\nu + V.
\]  
(30)

Equation (29) is the starting point for our algorithm. The acceleration vector is

\[
\ddot{q}^\mu = -g_{\mu \nu} \partial_n \mathcal{L}^* ,
\]  
(31)

We integrate Eq. (31) assuming that \((q^\mu, \dot{q}^\mu)\), is constant for sufficiently small values of \( \Delta t \). Given the initial conditions \((q^\mu, \dot{q}^\mu)\) for step \( n = 0, 1, \ldots \), we compute \( \partial_n \mathcal{L}^* \) by finite difference for \( \nu = 1, 2, \ldots , d \). We then compute the inverse of the Hessian metric tensor \( g_{\mu \nu} \) and the product \((g_{\mu \nu} \partial_n \mathcal{L}^*)\).

Assuming that \((g_{\mu \nu} \partial_n \mathcal{L}^*)\) is constant, we can integrate and update the velocities,

\[
(q^\mu)_{n+1} = (q^\mu)_n - (g_{\mu \nu} \partial_n \mathcal{L}^*)_n \Delta t - \gamma (\dot{q}^\mu)_n \Delta t ,
\]  
(32)

where \( \gamma \) is the usual drag coefficient. Using a similar assumption for the velocities, we update the configuration using

\[
(q^\mu)_{n+1} = (q^\mu)_n + (\ddot{q}^\mu)_{n+1} \Delta t .
\]  
(33)

At every step the energy is computed with \( E(t_{n+1}) = (\mathcal{L}^*)_n \).

Although we use stereographic projections in this work, the algorithm can be implemented as written with all types of regular coordinate transformations (those derived from analytical maps), such as Euler angles, quaternions, and so on. With stereographic projections, occasionally large values are produced, and the code needs to trap and recover from the event otherwise floating exceptions occur. We do this simply by changing the sign of the projection and rescaling its velocity whenever the absolute value of a projection is larger than an arbitrarily set upper limit. Additionally, it is straightforward to derive a Verlet-like algorithm from Eq. (29), which is useful whenever constant energy dynamics are required.

### III. RESULTS

#### A. Thermodynamic and ground state properties

The outcome of the SPPI calculations, both for \( k_{\text{max}} = 0 \) [classical, cf. Eq. (1)] and \( k_{\text{max}} = 32 \) (quantum) are presented in Figs. 1(a) and 1(d). Figure 1(a) is a graph of the energy in the classical (white squares) and quantum limit (black squares) in hartree, as functions of the temperature. The error bars in Figs. 1(a), 1(b), and 1(d) are obtained by computing a 95% confidence interval from ten independent parallel tempering simulations. Each simulation consists of \( 10^7 \) warm up moves, followed by an additional \( 10^7 \) moves to accumulate physical property estimates. For the quantum heat capacity, we repeat a five block simulation twice, the second time starting from the previously equilibrated configurations. The results from the two simulations are statistically indistinguishable. A total of 40 walkers is used to implement the parallel tempering runs between the temperatures of 10 and 400 K. The temperature values are equally spaced 10 K apart. In Ref. 67 we study the convergence of the SPPI using the SPC water octamer. We find that the \( k_{\text{max}} = 32 \) finite difference estimate of the energy converges within the statistical error at 50 K and above. The same applies for the finite difference estimator of the heat capacity at 100 K and above. Therefore, we do not deem it necessary to remap the convergence profile for the TIP4P water octamer in the present work. The classical energy [white squares in Fig. 1(a)] approaches the value of the global minimum as the temperature approaches 0 K. The quantum energy is not converged below 40 K, and the data points below 40 K have been excluded from the graph. At 40 K, the value of the energy is \(-0.0820 \pm 0.0002 \) hartree. Inflections indicative of phase changes are visible in both curves. The centers of these features are at 210 K in the classical curve and 170 K in the quantum curve. The quantum effects are substantial even at 400 K, although the pattern indicates that the two curves merge at higher temperatures. The differences between the classical and the quantum energy are in reasonable agreement with our recent computations of the SPC octamer.

The heat capacities in units of the Boltzmann constant as a function of temperature in kelvin, obtained from the simulations are graphed in Fig. 1(d). The classical heat capacity approaches the equipartition value of 45 Boltzmann’s constant units at low temperatures. For \( n \) nonlinear rigid bodies
the equipartition limit for the constant volume heat capacity is \((6n-3)k_B\). Two features are visible in both curves. The peak at 380 K in the classical heat capacity is matched with one equally broad and nearly as intense at 370 K in the quantum heat capacity. The sharper melting peak at 210 K in the classical heat capacity is also matched with a broader and less intense feature centered around 160 K. These two temperatures correlate closely with the inflections in the energy visible in Fig. 1(a). The heat capacity of the TIP4P octamer is quite different from that of the SCP octamer.\(^7\) The melting peak in the classical heat capacity at 140 K is “washed away” when the SCP potential is used. The quantum heat capacity for the TIP4P octamer in Fig. 1(d) has a well-defined and relatively intense melting feature compared to the SCP model.

In Fig. 1(b) the variational energy in hartree computed using the methods in Sec. II B is graphed. The graph of \((E_o)\) is quadratic about the minimum at 190 K, for a relatively narrow range of values of \(T\), and it deviates significantly from a quadratic function at higher temperatures. The lack of symmetry in the \((E_o)\) curve is the consequence of the onset of a phase change that peaks around 210 K. The minimum value of \((E_o)\) is \(-0.074 \pm 0.002\) hartree at 190 K. Figure 1(e) contains the graph of the population energy from a GF-SPDMC calculation, with \(N=10^7\) as the target size and with \(\Delta T=10\) hartree\(^{-1}\). The simulation is repeated ten times, and the energy values between 10 000 and 20 000 hartree\(^{-1}\) are averaged for each independent run. The resulting block averages are used to estimate the ground state energy at \(-0.0823 \pm 0.0002\) hartree. The statistical error is computed using the standard error in the mean. If one assumes that the system is predominantly in the ground state at and below 40 K, then the energy for the \(k_{\max} = 32\) data in Fig. 1(a) should be a reasonable approximation of the ground state energy. The value of the energy \((E_o)_{K_{\max}} = 32\) at 40 K is statistically indistinguishable from the DMC result, and the “exact” value of the ground state energy is 11\% lower than the variational energy in Fig. 1(b).

**B. Structural analysis of the TIP4P octamer surface**

In order to build meaningful structural identifiers, we begin by reequenching 1291 minima of the octamer, obtained in our previous work on water clusters.\(^6\) These structures were found using the SPC/F2 potential,\(^46\) and we expect to see some differences with the TIP4P potential. We find 933 distinct structures after reequenching. The \(S_4\) isomer is slightly lower in energy compared to the \(D_{2d}\) cube, which is consistent with previous comparisons between three-site and four-site potential energy models.\(^8\) All 933 minima configurations are measured using the all-atoms SCA against the \(S_4\) cube, [cf. Eq. (22)] and the O-atoms SCA against the \(S_4\) cube, [cf. Eq. (24)]. As explained in Sec. III A, we label these two measures \(\Delta_{S_4}\) and \(\Delta_{S_4}^{(O-O)}\), respectively. Figure 2 contains two graphs of the measures \(\Delta_{S_4}\) (left panel), and \(\Delta_{S_4}^{(O-O)}\) (right panel) plotted against the energy for all 933 distinct minima. Figure 2 gives us a qualitative glimpse at the complexity of the TIP4P surface for the octamer. The \(\Delta_{S_4}\) measure spans a domain 60\% larger than \(\Delta_{S_4}^{(O-O)}\). The \(S_4\) and \(D_{2d}\) cubes are very close in energy and are followed by a group of nine minima that are cubic in shape. The lowest \(11\) minima, within \(-0.1171 < E < -0.1128\) hartree, can be easily identified in both the left and the right graph of Fig. 2. These \(11\) structures have \(\Delta_{S_4} > 24\) bohr and \(\Delta_{S_4}^{(O-O)} < 2\) bohr. An additional six cubes are located within \(-0.1108 < E < -0.1092\) hartree, \(\Delta_{S_4} < 24\) bohr, and \(\Delta_{S_4}^{(O-O)} < 2\) bohr. The majority of the minima have energies greater than \(-0.11\) hartree, \(\Delta_{S_4} > 25\) bohr, and \(\Delta_{S_4}^{(O-O)} > 2\) bohr. However, none of these are important for the thermodynamic and ground state properties.

**C. Structural analysis of the VMC walk**

With SCA we analyze several random walks at \((k_B\alpha)^{-1} = 190\) K. After the initial \(10^6\) moves to reach the asymptotic limit, we store \(10^3\) configurations to the disk from the walker at 190 K. The computation is repeated ten times independently on ten separate nodes of our computer array. A total of \(10^6\) configurations are measured in this manner, using SCA, against the \(S_4\) cube and the \(D_{2d}\) cube. We create histograms of the \(\Delta_{S_4}\), \(\Delta_{D_{2d}}\), and \(\Delta_{S_4}^{(O-O)}\) properties, using 1000 classes for the range. The distributions of \(\Delta_{S_4}\), \(\Delta_{D_{2d}}\), and \(\Delta_{S_4}^{(O-O)}\) from the 190 K, \(k_m = 0\), random walks can be found in Figs. 3–5 represented with black dots. The distributions obtained from the walks at \((k_B\alpha)^{-1} = 190\) K are projections of \(|\Psi|\)\(^2\) along the \(\Delta_{S_4}\), \(\Delta_{D_{2d}}\), and \(\Delta_{S_4}^{(O-O)}\) “coordinates.”

The \(\Delta_{S_4}\) and \(\Delta_{D_{2d}}\) distributions from the variational simulation at \((k_B\alpha)^{-1} = 190\) K have multiple features. For \(\Delta_{S_4}\), in Fig. 3, the variational simulation yields a distribution with a relatively intense peak around 20 bohr, a small shoulder at 12–14 bohr, and a broad and less intense feature between 38 and 76 bohr. For \(\Delta_{D_{2d}}\), in Fig. 4, the variational simulation yields a similar distribution; however, the most intense peak is located at 12 bohr, the small shoulder is around 20 bohr, and the broad and less intense feature between 38 and 76 bohr is nearly identical to its \(\Delta_{S_4}\) counterpart in Fig. 3.

The distribution of the variable \(\Delta_{S_4}^{(O-O)}\) from the varia-
tional simulation in Fig. 5 has only two features, an intense peak at 3 bohr, and a broad peak between 10 and 24 bohr. The distributions in Figs. 3–5 allow us to obtain a qualitative picture of the optimized variational wave function we have chosen to use and its accuracy. The most important feature of these distributions is the shoulder easily assigned to the presence of the $S_4$ cube. To be certain, we quench a sample of 245 602 configuration from the variational walk. Upon quenching the VMC configuration sample, we obtain 58% of the replicas collecting in the $D_{2d}$ minimum, approximately 30% in the $S_4$ basin, and the remaining 12% in higher energy isomers.

The broad peak at large values of $\Delta_{S_4}$, $\Delta_{D_{2d}}$, and $\Delta_{O-O}^{(O-O)}$ can be seen in all three graphs. In particular, the presence of the peak around 15 bohr in the $\Delta_{S_4}^{(O-O)}$ distribution indicates that our $|\psi_f|^2$ distribution oversamples open configurations, or configurations other than the cube. The presence of molten states with respect to the molecular centers framework is responsible for the peaks around 60 bohr in the distributions of $\Delta_{S_4}$ and $\Delta_{D_{2d}}$ from the variational walk. The features in the distributions of the three SCA metrics disappear in the DMC walk. The oversampling of the variational walk of higher energy states, including higher energy minima, obtained with the trial wave function in Eq. (17) is consistent with previous tests\cite{72} carried out with the same model.

### D. Structural analysis of the DMC ground state

It is very instructive to compare the distributions from the variational simulation with the equivalent ones obtained from the GF-SPDMC walk. These are represented by black lines in Figs. 3–5. To generate $\Delta_{S_4}$, $\Delta_{D_{2d}}$, and $\Delta_{O-O}^{(O-O)}$ distributions from the GF-SPDMC walks, we write all the walking configurations obtained in this manner and analyzed with the SCA. The histograms for the values of $\Delta_{S_4}$, $\Delta_{D_{2d}}$, and $\Delta_{O-O}^{(O-O)}$ are obtained by producing 1000 bins within the range. The distributions from the GF-SPDMC populations are all sharper than the corresponding ones obtained from the VMC walk. The $\Delta_{S_4}$ distribution from GF-SPDMC in Fig. 3 is a slightly asymmetric peak between 16 and 27 bohr, centered at 20 bohr. The $\Delta_{D_{2d}}$ distribution from GF-SPDMC in Fig. 4 is between 10 and 20 bohr and peaks around 14 bohr. Finally, the $\Delta_{O-O}^{(O-O)}$ from GF-SPDMC in Fig. 5 is between 2 and 5 bohr and peaks around 3 bohr.

A clear picture of the ground state wave function emerges by inspecting the graphs in Fig. 2, and the distributions obtained from the GF-SPDMC simulations in Figs. 3–5. In particular, the relatively narrow $\Delta_{S_4}^{(O-O)}$ distribution in Fig. 5, contained, for the most part, below 5 bohr tells us that the “shape” of the octamer in the ground state is cubic. The structure of the ground state of the water octamer, from the orientation degrees of freedom of the waters prospective, is more “fluidlike” relative to the translations. To be certain of the structural character of the guided DMC walk, a sample of 795 863 configurations from the GF-SPDMC simulation are quenched as well. 100% of the replicas sampled from the GF-SPDMC walk collect in the $D_{2d}$ minimum. The data in Figs. 3–5 and in Sec. III C confirm that $|\psi_f|^2$ has a substantial magnitude over the $S_4$ isomer, whereas the SCA distributions for the GF-SPDMC simulation and the result of the 795 863 quenches confirm that the product $\psi_0|\psi_f$ is exclusively in the
$D_{2d}$ well. Therefore, we conclude with confidence that $\psi_0$ must have no significant magnitude over the $S_4$ cube, or any other minimum.

**IV. CONCLUSIONS**

In the present article we report results of several theoretical investigations carried out on the TIP4P water octamer. We use the reweighted random series path integral, together with the stereographic projection manifolds to sample the orientations of the rigid moieties of the cluster. The stereographic projection coordinates allow the MC path integral simulations of aggregates of rigid tops without neglecting precession effects, which contribute a truncation error of $O(k^{-2})$. The reweighted random series approach we use in the present work is cubically convergent. It is instructive to compare the GF-SPDMC ground state energy with the quantum internal energy at low temperatures for the TIP4P octamer. If converging properly, and at a sufficiently low temperature, the internal energy should approach the ground state energy. The agreement between $\langle E \rangle_{k_m=32, T=40 \text{ K}}$ and $\langle E \rangle_{GF-SPDMC}$ is reassuring. The agreement we observe is a clear demonstration that the SPP1 approach, with a reasonable amount of resources, is capable to simulate a complex system like the water octamer in the full temperature range, between the classical limit and at temperatures where the thermodynamics are dominated by the ground state. However, the $k_m=32$ energy in the 40–70 K range does have a finite, albeit small slope. Below 30 K the energy drops toward the classical energy rather abruptly, indicating that its estimator is not converging uniformly in the 10–30 K range. On the full scale, the onset of an energy plateau at low temperatures is visible in Fig. 1(a). Since, our choice of 40 K is completely arbitrary, estimating the ground state energy from path integral simulations in this manner should only be good to one figure at best.

We can compare the thermodynamic properties of the water octamer obtained with the TIP4P potential in the present study, with those obtained from the SPC potential in Ref. 67. We have a number of minima of the SPC octamer from previous work,67 and using them we produce graphs of the two measures $\Delta_{D_{2d}}$ and $\Delta_{D_{2d}}^{(O-0)}$ in Fig. 2, since the global minimum for the SCP isomer is the $D_{2d}$ cube, and the next isomer in energy is the $S_4$ cube. The all-atoms SCA distance from their respective global minima is compared with the same measure used for the TIP4P octamer in Fig. 6. We use black squares for the TIP4P surface and white squares for the SPC surface. The energy of the global minimum ($D_{2d}$ cube) is 0.8 mhartree deeper for the SPC octamer. Beside the minor shifting in the absolute energy, the two sets of data in Fig. 6 differ in other details. All the cubic isomers of the SPC surface have a $\Delta_{D_{2d}}^{(O-0)}$ smaller than 5 bohr. The $D_{2d}$ and the $S_4$ cubes are nearly equally close in energy, even though the role of global minimum between the two models is exchanged between the two hydrogen bonds arrangements. However, the energy gap between the global minimum and the group of noncubic structures is significantly smaller for the SPC model.

![FIG. 6. A plot of the all-atoms SCA distance [cf. Eq. (22)] from the global minimum ($S_4$ cube) as a function of energy measured for all distinct minima of the TIP4P water octamer (black squares), compared to the same measure from the global minimum ($D_{2d}$ cube) for the SPC octamer.](image)

We compare the internal energy at finite temperature for the two potentials. The energy data for the SPC octamer used to compare with the TIP4P results were obtained during the work published in Ref. 67. The absolute values of the $k_m$ =0 and $k_m=32$ are 1–2 mhartree deeper at 50 K for the SPC octamer. However, the gap between the classical and quantum internal energy at 50 K is statistically indistinguishable between the two models. In Ref. 67 we obtain a melting peak at 140 K in the classical heat capacity of the SPC octamer that is “washed away” almost entirely by the quantum effects. For the TIP4P octamer, by contrast, the classical melting peak at 210 K shifts to 160 K in the quantum limit. The fact that the energy gap between cubic and noncubic configurations is smaller for the SPC model provides an explanation for the observed differences in the classical and quantum heat capacities.

The structural analysis of the GF-SPDMC walk gives unequivocal evidence that the ground state wave function of the TIP4P octamer has $D_{2d}$ symmetry, despite the large “fluidlike” behavior of the wave function along the orientation degrees of freedom relative to the translation ones. Given the fact that $\psi_{T}$ covers both the $D_{2d}$, and the $S_4$ wells, as the distributions in Figs. 3 and 4 indicate, and the quenching of a large number of structures confirms that the distributions of $\psi_0, \psi_T$, is physically relevant and provides important information. Since the distributions for $\psi_0, \psi_T$ do not contain, as far as we can tell, any minimum other than the $D_{2d}$ cube, we conclude with confidence that the ground state wave function is localized within the $D_{2d}$ cube and other minima occur in the ground state with a probability less than one part in 795 863. We repeat all the SPDMC simulations for the SPC octamer and we find that its ground state wave function is predominantly within the $D_{2d}$ well. One of the motivations for the present work is to determine if the characterization of the ground state wave function of the water octamer could offer a glimpse into the role that quantum effects play in determining important simulated properties. It does seem possible that the smearing of the hydrogen atoms by quantum fluctuations in the ground state is sufficiently extensive to wash out
largely any differences predicted by slightly different models of the potential energy surfaces. We find that, despite the profound structural differences among the two global minima, the zero point energy differences are small, and despite the broad distribution of orientations of the hydrogen bonds, the ground state wave function is largely contained inside either a single basin of attraction with the most symmetric structure. In that sense, the smearing of the hydrogen atoms by quantum fluctuations in the ground state, and by thermal effects below melting, washes out some of the differences in the models.

Which potential energy model best represents water clusters remains an open and difficult question. However, the results we present suggest that properties, which depend on relative energy values of the clusters as a function of size at or near the ground state, should be very similar for both models in the classical and quantum limits. Clearly, a number of additional studies are warranted to generalize our findings beyond the SCP and TIP4P octamer cases, especially if information like the binding energy of hydrogen with water clusters is desired. For example, it may be insightful to compare our results with simulations obtained with models that may produce ground state wave functions contained inside a single basin of attraction other than the $D_{2h}$ cube, such as the empirical modified potential (EMP),\(^7\) which could favor the $S_4$ isomer for the ground state.

Finally, the comparisons between the VMC and GF-SPDMC distributions in Figs. 3–6 give us valuable information about the level of accuracy of the variational scheme used in the present investigation. The “thermal” trial wave function in Eq. (17) samples configurations that are liquid-like for both the orientations and the translations of the waters. The oversampling of high energy states observed in this work is consistent with the observations made in Ref.\(^72\) when the same type of wave function is tested on the quartic double well and the Stockmayer trimer. Clearly, the trial wave function requires an optimal parameter $\alpha$, which corresponds to a thermal state with a significant amount of melting of the molecular framework. This type of oversampling along the translational degrees of freedom could be curbed by alternative trial functions, such as e.g.,

$$\psi_T(q) = A \exp\left(\frac{1}{2} \alpha V_{\text{eff}}(q)\right),$$

(34)

where

$$V_{\text{eff}}(q) = g(q_0(q_0^+ - q_0^-))(q^r - q_0^r) + V.$$  

(35)

In Eq. (35), $g(q_0)$ is the Hessian metric tensor evaluated at $q_0$, and the latter is a sensible reference configuration, such as the global minimum. The parameter $\Delta \tau$, in units of time, can be optimized starting with a large value since as $\Delta \tau \rightarrow \infty$, one obtains the trial wave function in Eq. (17). A more precise guiding function like in Eqs. (34) and (35) is desirable to reduce the statistical error of guided SPDMC. However, there is a real danger of biasing the DMC walk into a single basin of attraction (which could also be the incorrect one), if Eqs. (34) and (35) are used without any previous knowledge of the system. The double sum on the right hand side of Eq. (35) does not have to include all the degrees of freedom. It may be advisable to only use those degrees of freedom which are not broadly distributed, such as the positions of the centers as in the present case. Finally, the optimization of the parameter $\alpha$ can be carried out using parallel tempering. We did not engage in a systematic investigation of the behavior of the trial function in Eqs. (34) and (35) because the issue is tangential to the focus of the present work. However, a careful systematic investigation of such variational model is clearly warranted and should be carried out in simpler Euclidean manifolds first,\(^91–102\) using mixed atomic clusters and a wide range of mass values.

**ACKNOWLEDGMENTS**

We thank Professor K. D. Jordan for suggesting that we examine the quantum melting behavior of the water octamer using the TIP4P potential. This work has been supported by the National Science Foundation (Grant No. CHE0554922). Additionally, E.C. acknowledges the donors of the Petroleum Research Fund, administered by the ACS (Grant No. 4814-26), The Stacy Ann Vitetta ’82 Professorship Fund, and The Ellington Beavers Fund for Intellectual Inquiry from Arcadia University for partial support of this research.


