

2018

# Satellite sensor requirements for monitoring essential biodiversity variables of coastal ecosystems

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## Citation/Publisher Attribution

Muller-Karger, F. E., Hestir, E., Ade, C., Turpie, K., Roberts, D. A., Siegel, D., Miller, R. J.,...Jetz, W. (2018), Satellite sensor requirements for monitoring essential biodiversity variables of coastal ecosystems. *Ecol Appl*, 28, 749-760. doi: 10.1002/eap.1682  
Available at: <https://doi.org/10.1002/eap.1682>

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Frank E. Muller-Karger, Colleen B. Mouw, and et al

## **SATELLITE SENSOR REQUIREMENTS FOR MONITORING ESSENTIAL BIODIVERSITY VARIABLES OF COASTAL ECOSYSTEMS**

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1 Abstract

2 The biodiversity and high productivity of coastal terrestrial and aquatic habitats is the  
3 foundation for important benefits to human societies around the world. Field surveys can cover  
4 only a small fraction of these globally-distributed habitats, which need to be sampled much more  
5 frequently and systematically. Sunlight reflected by these areas contains the absorption,  
6 scattering, and fluorescence signatures of the surface ocean (functional phytoplankton groups;  
7 colored dissolved and particulate matter), and biologically-structured habitats (floating and  
8 emergent vegetation; benthic habitats like coral, seagrass, algae). These can be used to evaluate  
9 sets of Essential Biodiversity Variables (EBVs), including the distribution and abundance of  
10 species populations, traits of organism assemblages, and fragmentation of different elements of a  
11 particular habitat using remote sensing methods. Satellite-based sensors can provide the  
12 synoptic, repeated, and frequent observations needed to characterize EBVs over scales spanning  
13 tens of meters to kilometers. These ecosystem elements can change rapidly with disturbances  
14 like extreme tides, extreme fresh or salt water availability, extreme temperatures, severe storms,  
15 and human use, pollution, or physical destruction over scales relevant to human activity. Yet,  
16 making the observations needed to evaluate EBVs and how they change requires a new  
17 generation of satellite sensors with high fidelity in four categories:

- 18 a. *Spatial resolution*, of order 30 m pixels or better, to observe coastal wetlands and  
19 submerged biologically structured habitats.
- 20 b. *Spectral resolution*, of order of 5 nm in the visible and 10 nm in the short-wave infrared  
21 spectrum (or at least two or more bands, at 1030, 1240, 1630, 2125, and/or 2260 nm) for  
22 atmospheric correction, aquatic, and vegetation assessments;

*Satellite Sensor Requirements for Coastal Essential Biodiversity Variables (EBV)*

23 c. *Radiometric quality*, with signal to noise ratios (SNR) above 800, 14-bit digitization,  
24 absolute radiometric calibration < 2%, relative calibration 0.2%, polarization sensitivity  
25 <1%, high radiometric stability and linearity, and minimizing sunglint; and

26 d. *Temporal resolution* of hours to days.

27 We refer to these specifications as *H4* imaging. An agile satellite in a 3-day repeat low-  
28 Earth orbit could sample several hundred coastal habitats daily. Global coverage may be  
29 achieved with several *H4* satellites. Such information is required to sustain ecosystem  
30 services, including food provisioning and water security around the world.

31

32 Keywords

33 Coastal zone, wetland, aquatic, vegetation, ecology, remote sensing, hyperspectral, *H4* imaging,  
34 Essential Biodiversity Variables, EBV

35 Introduction

36 Water and life – no two features more completely define planet Earth and no two are more  
37 inextricably intertwined. This link is especially strong in the coastal zone, where life is diverse  
38 and productive at many levels of the food web. Yet monitoring changes in life and habitat in  
39 coastal habitats has been difficult. Field measurements on land or in adjacent shallow aquatic  
40 areas can be detailed and of high quality, but they are often limited by temporal frequency.  
41 Because they are expensive and hard to conduct, these studies and surveys typically cover only  
42 small areas. Thus, for the most part, the highly variable aquatic and emergent elements of coastal  
43 habitats, including wetlands, remain among the least sampled habitats on the Earth’s surface. The  
44 physical and biological elements of coastal habitats can change rapidly with many types of  
45 disturbance, such as extreme tides, extreme temperatures, lack of or too much fresh water, severe  
46 storms, and human use, pollution, or physical destruction.

47 Characterizing coastal habitats in a manner that is relevant to scientific, conservation, and  
48 other socio-economic goals requires measurements that are sensitive to temporal changes, that  
49 are cost-effective, and that allow for an assessment across large spatial scales. These criteria are  
50 the basis for Essential Climate Variables (Bojinski et al., 2014) and for systematic ecological  
51 observations using Essential Biodiversity Variables (EBV; Pereira et al., 2013). In this  
52 manuscript, we outline specifications for satellite remote sensing of coastal measurements that  
53 offer the potential for rapid, frequently repeated, and consistent high-quality observations to  
54 characterize changes in EBVs across a wide range of terrestrial and aquatic ecosystems. We  
55 specifically address EBV relevant to community composition and trait diversity. We refer to this  
56 remote sensing strategy as *H4* imaging because it is based on requirements for high spatial, high  
57 temporal, high spectral, and high radiometric quality, as described below.

58 Many terrestrial ecosystems are just as diverse and difficult to monitor as coastal aquatic  
59 areas. They contain mosaics of different habitats with assorted substrates and living elements  
60 spread over scales spanning tens of meters to kilometers. They can change rapidly due to the  
61 overlap in phenologies of different populations of organisms, or because of a disturbance like a  
62 fire or a hurricane. To characterize the diversity, composition, and function of both terrestrial and  
63 coastal ecosystems, we need the type of synoptic observations described here.

64

### 65 The Relevance of the Coastal Zone

66 Humanity benefits directly from marine resources concentrated along the coast, including  
67 obtaining clean water, food, energy, pharmaceuticals, and using spaces for recreation (Hay and  
68 Fenical, 1996; Mimouni et al., 2012; Malve, 2016). Areas within 100 km of the coast provide  
69 benefits equivalent to over 60% of the world's total Gross National Product, or over US\$26  
70 trillion every year (MEA, 2005a). Coastal areas include wetlands, broadly defined as biologically  
71 structured habitats where water saturation is a dominant factor in determining the plant and  
72 animal communities that occupy these areas. Wetlands include rocky shores, coral reefs, and sea  
73 grasses to a depth of 6 m at low tide per the definition used by the Ramsar Convention (Scott and  
74 Jones, 1995; Finlayson, 2016). This definition is loosely based on the classification developed by  
75 Cowardin et al. (1979) for the U.S. government. Coastal wetlands alone provide over US\$15  
76 trillion in annual benefits, including significant protection to human life and property (MEA,  
77 2005b; Barbier, 2016; Narayan et al., 2017). Yet, between 30 and 70% of wetlands were lost in  
78 the 20th century as a result of development, pollution, poor water management, and overfishing  
79 (Bromberg-Gedan et al. 2009; Bruland, 2008; Davidson, 2014; Hu et al., 2017). An additional

80 20-70% of coastal wetlands could be lost by 2080 because of sea level rise and continuing  
81 related human pressures (Nicholls, 2004; Gardner et al., 2015).

82 Many of the benefits that we derive from coastal ecosystems depend on the number of  
83 species, the abundance and biomass of organisms, the diverse interactions between organisms  
84 and the environment, and the number of different habitats in these areas (Malone et al., 2013).  
85 We have increasing evidence that biomass production increases with species richness in a wide  
86 range of marine and terrestrial ecosystems and not simply in response to abiotic effects (Duffy et  
87 al., 2017). Yet, changes in the community composition of lower trophic levels can have major  
88 impacts on higher trophic levels, determining the success or loss of animal populations such as  
89 fish, waterfowl, and marine mammals (Ji et al. 2010; Platt et al. 2003; Wood and Kellerman,  
90 2015; Santora et al., 2017). Top-down pressures due to the harvesting of top predators and other  
91 higher trophic levels also often have impacts that can cascade down the food web.

92 Characterizing how community structure and the phenology of organisms that use coastal  
93 ecosystems shifts due to human activities, biotic interactions, and processes associated with a  
94 changing climate is a core focus of current scientific research. Indeed, among the highest priority  
95 research questions in coastal ecology are: How will the diversity of life in coastal zones change  
96 with climate and with increased human uses? How will these changes affect the ecology and  
97 biogeochemistry of coastal and other marine habitats? What are the relationships between species  
98 diversity and ecosystem function? Addressing these questions is key to tracking progress toward  
99 conservation, management, and sustainable development (e.g. Agenda 2030). Today it is difficult  
100 to address these questions because measurements of biodiversity are often limited in temporal  
101 frequency and they cover only small areas. Many coastal habitats are remote or difficult to  
102 access, further limiting sampling opportunities. For example, the Ocean Biogeographic



103 Information System (OBIS; Appeltans et al., 2012), the pre-eminent open-access database for  
104 international marine biodiversity assessments, shows large areas of the coast and the surface  
105 ocean with no data (Figure 1). Information latency is also low: there is a 5-10 year lag before  
106 research data are delivered to OBIS (Figure 1, inset). This seriously hampers the ability to  
107 monitor for change and any possible national or international response to an environmental issue.

108 Answering the fundamental ecology questions mentioned in the previous paragraph requires  
109 characterizing and detecting change in specific elements of coastal ecosystems, including factors  
110 that can be the environmental and human drivers of change. For example, monitoring the  
111 diversity of life and detecting change in the ecology and biogeochemistry of coastal zones  
112 requires monitoring the EBVs of species populations, species traits, and community composition  
113 (Figure 2). Understanding and explaining ecological change requires the context of long-term  
114 measurements of environmental parameters such as temperature, discharge, and indicators of  
115 water quality, and quantifying their anomalies. Further, monitoring ecosystem structure EBVs  
116 (Figure 2) also requires assessing changes in human activities, as these may be the factor leading  
117 to change in EBVs. EBVs have to be estimated consistently over large areas and all around the  
118 world, and this is only possible from the vantage point of Earth-observing satellites.

119  
120 Characteristic Scales of Variation in Coastal Zones

121 Phytoplankton communities and their concentrations in coastal waters often change over  
122 scales of hours to days due to runoff, advection, mixing due to tides, currents, and winds, and to  
123 biotic interactions (Chen et al. 2010; Moreno Madriñán et al., 2012; Tzortziou et al., 2011).  
124 Several case studies have used spectrometers and other bio-optical devices deployed on  
125 platforms such as towers, boats, and aircraft to measure rapid changes in biodiversity and  
126 phenology (Adam et al., 2010; Pengra et al., 2007; Lantz, 2012). For example, Hestir et al.

127 (2015) documented changes in the concentration of cyanobacteria in lakes in Italy over scales of  
128 days with field spectroscopy data (Figure 3). Kudela et al. (2015) used field spectroscopy  
129 observations to show that phytoplankton blooms can be displaced by toxic cyanobacteria in only  
130 a few days in Pinto Lake, California. In order to detect long-term trends, such measurements of  
131 short-term variability are required over long periods of time. An excellent example of trends in  
132 an aquatic ecosystem was provided by Hunter-Cervera et al. (2016). They detected shifts in the  
133 timing of annual blooms of the phytoplankter *Synechococcus* with an automated submersible  
134 flow cytometer deployed at the Martha's Vineyard Coastal Observatory. Spring blooms occurred  
135 progressively earlier in the season as temperatures became warmer, and by 2012, the blooms  
136 began up to 20-days earlier than they had in 2003. At higher latitudes, shifts toward  
137 phytoplankton species more typical of warmer waters have also been documented (Hays et al.,  
138 2005; Dybas, 2006). Similarly, field studies of Nordic wetlands spectra show significant changes  
139 in vegetation colors in less than a week (Eklundh et al., 2011). Indeed, wetland species, including  
140 invasive species, can be identified by the change of spectral signatures over the growing cycle  
141 (Gilmore et al., 2008; Ouyang et al., 2013). The observations also demonstrate that phenology is  
142 a sensitive indicator of environmental change, but that observing such changes in phytoplankton  
143 or wetland vegetation requires sampling at frequencies of order of a week or faster to  
144 differentiate seasonal or longer-term changes relative to short-term variability.

145 The bio-optical methods used in the studies just described show that aspects of biodiversity  
146 and phenology are observable with remote sensing. Indeed, an extensive feasibility study  
147 conducted on behalf of the Committee on Earth Observing Satellites (CEOS; see Dekker and  
148 Pinnel, 2017) concluded that imaging spectrometers are the desired tool to conduct terrestrial and

149 ocean remote sensing of freshwater, estuarine, and coastal environments to characterize water  
150 quality, bathymetry, and benthic habitats.

151 The spatial variability of coastal habitats is also high. Dominant spatial variability of physical,  
152 biological, geological, and biogeochemical properties of coastal waters changes with distance from  
153 the coast (Bissett et al., 2014). In terms of horizontal distribution, close to the coast, these  
154 properties tend to have peak variability at between 70 and 600 m. Farther offshore, out to about 5  
155 km of the coast, features such as fronts and phytoplankton blooms show high variability around  
156 100-200 m. Observing and monitoring these features and their variability requires sampling at  
157 between about 30 and 100 m (Moses et al., 2016). At distances larger than 10 km from the coast,  
158 features shows typical scales of 1 km or larger; these can be detected with coarser resolution  
159 sensors (Bissett et al., 2004). Wetland habitats show variability at smaller spatial scales. Turpie et  
160 al. (2015) studied the impact of varying spatial resolution on mapping of coastal tidal wetland  
161 habitats. They concluded that a spatial resolution of approximately 30-m pixels or better is ideal to  
162 map wetlands. Coarser spatial resolution sensors smear and confound spectral and spatial patterns.

163 These spatial scales are sampled adequately by current sensors such as the Operational Land  
164 Imager (OLI) on the Landsat-8 satellite, operated by the US Geological Survey, and the  
165 MultiSpectral Instrument (MSI) on Sentinel-2A/B, operated by the European Space Agency under  
166 the Copernicus program (Vanhellemont and Ruddick, 2014; Pahlevan et al., 2017a). The  
167 combination of Landsat-8/OLI and Sentinel-2A/B allows the development of applications that  
168 require relatively high temporal frequency, i.e. observations every 4 days or more frequent.  
169 However, this sensor class lacks the spectral definition in the visible and near-infrared light (i.e.  
170 spectral resolution of 5 nm or better between 380 nm and 900 nm, and about 10 to 20 nm between  
171 900 and 2500 nm) needed to estimate the biodiversity of coastal organisms and habitats. Other

172 satellite sensors meet the required 5- to 10-nm spectral resolution, but lack in spatial detail, such as  
173 the 1-km spatial resolution planned for the PACE ocean color sensor (PACE SDT, 2012).

174 The NASA Hyperspectral Infrared Imager (HyspIRI) mission concept, the JAXA HISUI  
175 instrument, and the DLR Environmental Mapping and Analysis Program (EnMAP; Guanter et al.,  
176 2015) will also have 30-m spatial resolution (Turpie et al., 2015). HyspIRI is being designed to  
177 sample nominally every 16 days, and EnMAP and HISUI are designed to acquire targets of interest  
178 intermittently. Thus, they will lack temporal detail needed to observe changes over scales of days.

179

#### 180 Essential Biodiversity Variables in the Coastal Zone

181 Pereira et al. (2013; see also Geijzendorfer et al., 2015; Pettorelli et al., 2016; Kissling et al.,  
182 2017) proposed that EBVs can be grouped into six classes: genetic composition, species  
183 populations, species traits, community composition, ecosystem structure, and ecosystem function.  
184 Figure 2 highlights the classes of EBV that are well suited for remote sensing applications, like  
185 species populations, species traits, and ecosystem structure. The EBVs can be derived from surface  
186 spectral reflectance measurements in the visible and near-infrared light (i.e. from 380 nm to 2500  
187 nm). The EBVs would be based on the signatures defined by the absorption, scattering, and  
188 fluorescence emissions that depend on specific traits of groups of species populations or elements  
189 in each habitat (Asner et al., 2017, Colgan et al., 2010). Kissling et al. (2017) emphasize that  
190 progress in defining these EBVs is stimulated by the coordinated collection and sharing of in situ  
191 biodiversity observations (e.g., Jetz et al. 2012) and open access to satellite datasets (e.g., Skidmore  
192 et al. 2015). Indeed, in situ data are fundamental to algorithm development efforts that link  
193 observable geophysical quantities and EBVs.

194 Satellite sensors cover large areas quickly and repeatedly. Estimates of wetland extent have  
195 been periodically generated from Landsat since the early 1970s (Tiner et al., 2015; Turpie et al.,  
196 2015; McCombs et al., 2016). In this timeframe, satellite instruments have also routinely  
197 measured ocean currents, surface winds, precipitation, and color and temperature of the ocean  
198 surface (Muller-Karger et al., 2013). These observations have resulted in an unprecedented  
199 understanding of physical changes in the environment and have advanced our knowledge of  
200 coastal and oceanic ecosystems. The state of the art remote sensing research focused on marine  
201 biodiversity includes open ocean detection of diatoms and their phenology (IOCCG, 2014;  
202 Racault et al., 2012; Soppa et al. 2016), tracking of harmful algal blooms (e.g., Soto et al., 2016),  
203 and phytoplankton size distribution and functional group detection (Uitz et al., 2010; Mouw et  
204 al., 2012; Brotas et al., 2013; Bracher et al., 2017). Remote sensing is critically important to map  
205 and monitor coral reef extent and health (Andréfouët et al., 2005), but there remain fundamental  
206 problems in the discrimination between coral and benthic algae (Hedley et al., 2016).  
207 Governments around the world, organized under the Group on Earth Observations (GEO)  
208 Biodiversity Observation System (GEO BON), are defining strategies to estimate EBVs from  
209 space. However, we cannot obtain key information to evaluate the EBVs of coastal aquatic and  
210 wetland habitats shown in Figure 2 from current or past satellite sensors. These sensors have  
211 shortcomings in their combined spectral, spatial, and temporal resolution (Hestir et al., 2015;  
212 Bracher et al., 2017; Dekker and Pinnel, 2017).

213 Figure 2 shows that there is great potential to derive EBVs around the world using satellites  
214 with higher spectral, temporal, and spatial resolution. Such satellite measurements would move  
215 these products to routine use and increase the value chain of Earth observations.

216

217 Remote sensing is an important tool to monitor anthropogenic activities (e.g., land use and  
218 cover change, oil spills) and their impact in coastal zones (Muller-Karger et al., 2014; Dekker and  
219 Pinnel, 2017). Remote sensing also offers significant potential to help in the design and  
220 management of marine protected areas (Kacherlriess et al., 2014). These applications require  
221 measurement of the condition of marine habitats, including water quality, sea surface temperature,  
222 currents, and eddies, and assessing the spatial extent of biologically structured habitats (reefs,  
223 seagrass meadows, mangrove forests, salt marshes, etc.). These factors can all affect species  
224 diversity and productivity of these systems. Since the launch of the Coastal Zone Color Scanner  
225 (CZCS; Gordon and Morel, 1983) and the first Landsat sensors (Tiner et al., 2015) in the 1970's,  
226 the coastal zone has been observed remotely with multispectral imaging missions designed for  
227 bright terrestrial targets or relatively dark targets such as the surface of the open ocean. Sensors  
228 launched since then lack either the spectral, temporal, or the spatial characteristics of coastal  
229 ecological processes, and therefore are not sufficient to identify assemblages of species  
230 populations, measure the fast changes of communities living in coastal areas, or evaluate the spatial  
231 structure and integrity of typical coastal aquatic and wetland habitats. No space-based mission has  
232 yet been designed to study and monitor the canopy to benthos continuum of global coastal  
233 habitats (Dekker and Pinnel, 2017).

234

### 235 Essential Biodiversity Variables in Open Ocean Habitats

236 We currently derive bulk phytoplankton pigment and carbon concentration in the pelagic  
237 global ocean from satellite ocean color measurements with a spatial resolution of about 1 km  
238 (Figure 2). Since 1996, these estimates have been made using observations collected from a  
239 series of sensors. Long term (i.e. decades) records of ocean color are crucial to assess the effects of

240 natural and anthropogenic changes on oceans. The National Oceanic and Atmospheric  
241 Administration (NOAA) plans to continue the Visible Infrared Imaging Radiometer Suite  
242 (VIIRS) series on future Joint Polar Satellite System (JPSS) platforms, but this sensor does not  
243 measure radiance in the wavelengths of pigment fluorescence. This limits the ability to identify  
244 phytoplankton blooms in coastal waters affected by river discharge, where colored dissolved  
245 organic matter (CDOM) masks the spectral signature of chlorophyll. The US National  
246 Aeronautics and Space Administration (NASA) Plankton, Aerosol, Cloud, and ocean Ecosystem  
247 (PACE) mission will cover key gaps in the visible color spectrum (PACE SDT, 2012). PACE  
248 will have a nominal spatial resolution of 1 km and a spectral resolution of 5 nm from the  
249 ultraviolet to the near infrared. This could improve our ability to monitor biodiversity in pelagic  
250 ocean waters by quantifying phytoplankton functional types (IOCCG, 2014). This includes  
251 nitrogen-fixing organisms (e.g., *Trichodesmium*), calcifiers (coccolithophores), producers of  
252 dimethyl sulphide or DMS (e.g., *Phaeocystis*), silicifiers (e.g., diatoms), and harmful algal  
253 blooms.

254 PACE is expected to launch in the 2022-2023 timeframe and conduct observations over three  
255 to ten years. The European Space Agency has launched two multispectral Ocean and Land  
256 Colour Instruments (OLCI) as part of the Copernicus program to enable global ocean coverage  
257 every 1.5 days, not accounting for clouds. While the Sentinel-3 OLCI and PACE sensors offer  
258 improved capabilities to observe the global ocean, they are not designed to monitor coastal  
259 ecosystems. In coastal areas, the influence of the seafloor, land areas, and constituents that affect  
260 water quality are often confounded in the signals recorded by these coarse spatial resolution  
261 imaging devices. Thus, another class of sensors is required to adequately observe coastal zones.

262

263 Requirements for Observing Coastal Biodiversity and Ecosystem Change

264 Directly measuring EBVs (Figure 2) across the coastal zones of the world requires repeated  
265 observations of areas spanning hundreds to thousands of square kilometers, at a spatial resolution  
266 adequate to detect change across environmental gradients in aquatic and adjacent wetland settings.  
267 This requires high fidelity sampling in four different categories: *spatial resolution, spectral*  
268 *resolution, radiometric quality, and temporal resolution*. We refer to this demanding strategy as  
269 *H4* sensing. We examine each of these required dimensions below.

270 *High spatial resolution:* As mentioned above, Turpie et al. (2015) concluded that a spatial  
271 resolution higher than 30-m pixels is ideal to observe the emergent vegetation of coastal wetlands.  
272 This is an adequate resolution to map submerged biologically structured habitats like coral reefs  
273 and sea grass beds (Hedley et al., 2016; Wabnitz et al., 2010; Andréfouët et al., 2005), and to  
274 characterize coastal phytoplankton blooms and surface floating vegetation (Bissett et al., 2004;  
275 Moses et al., 2016).

276 *High spectral resolution:* NASA's Hyperion sensor operated on the Earth Observing 1 (EO-1)  
277 satellite as a technology demonstration between 2000 and 2017. It provided 30-m spatial resolution  
278 images with 220 bands from 400 to 2,500 nm, at 10 nm resolution and with signal-to-noise ratios  
279 intended for imaging bright land targets. Hyperion demonstrated the potential of high spectral  
280 resolution data to derive bathymetry, identify bottom types, and discriminate between wetland  
281 species in different coastal areas (Brando and Decker, 2003; Pengra et al., 2007). Pahlevan and  
282 Schott (2013) also demonstrated the higher-quality of Hyperion-derived chlorophyll-a  
283 concentrations compared to those derived from simulated Landsat sensors near the Niagara River  
284 discharge. In 2009, the US Office of Naval Research and NASA installed the Hyperspectral  
285 Imager for the Coastal Ocean (HICO) on the International Space Station (ISS; Davis and Tufillaro,  
286 2013). HICO had a spectral resolution of 5.7 nm from 400 to 900 nm, a spatial resolution of 100 m,



287 and a very infrequent revisit time for observing the same target on the ground. These limitations  
288 were in part due to the low-inclination orbit of the ISS, periodic maneuvers to raise and lower the  
289 space station, and limitations the operations schedule of the instrument. Although HICO ceased  
290 operations in 2014, it demonstrated the potential of high spectral resolution to derive bathymetry,  
291 bottom types, water optical properties, phytoplankton bloom types, suspended sediment type, and  
292 wetland vegetation maps (Ryan et al., 2014).

293 High spectral resolution has several other benefits. It enables algorithm development and the  
294 synthetic spectral reconstruction of different satellite sensor bands (e.g., Osterman et al., 2016).  
295 High spectral resolution is required to separate aquatic constituents by their light absorption,  
296 scattering, and fluorescence characteristics (PACE SDT, 2012). These include chlorophyll-*a*  
297 absorption at 435-438 nm and 660 nm, other pigment absorption features between 550 and 900  
298 nm, and fluorescence by chlorophyll-*a* and other pigments (Hu et al. 2005; Dierssen et al. 2015).  
299 Other derived products include CDOM and sediment concentration. Additional EBV of interest  
300 that may be derived from high spatial and spectral resolution data are coral, macrophyte, and  
301 wetland extent (Figure 2).

302 Deriving EBVs for coastal habitats requires measurements at ~5 nm resolution in the visible  
303 (VIS: 340–900 nm spectral range) and at ~10 nm resolution in the short-wave infrared (SWIR:  
304 900-2500 nm or at least bands at two or more wavelengths, including 1030, 1240, 1630, 2125,  
305 and 2260 nm). The SWIR measurements are required for differentiating wetland vegetation  
306 observations (Vaiphasa et al. 2005; Hestir et al., 2012), and are particularly critical for atmospheric  
307 correction algorithms over turbid waters (Jiang and Wang 2014; Frouin and Pelletier, 2015;  
308 Pahlevan et al., 2017b). Atmospheric correction approaches for a coastal mission can leverage the  
309 maturity of operational algorithms for ocean color missions (Ahmad et al. 2010), but need to be

310 updated to address coastal and inland aerosol types (Pahlevan et al., 2017b), hyperspectral data,  
311 and higher spatial resolution. Atmospheric correction should incorporate procedures to evaluate  
312 and correct sun glint (e.g., Devred et al., 2013; Botha et al., 2016) and the radiance reflected from  
313 adjacent pixels (adjacency effect) (e.g. Duan et al., 2015).

314 *High radiometric quality:* Retrieving estimates of constituent concentrations with better than  
315 20% accuracy requires signal-to-noise ratios similar to those proposed for PACE (Hu et al. 2012).  
316 The sensitivity specifications, however, need to consider that different coastal waters exhibit low  
317 radiance values in different parts of the spectrum and that this changes with time due to the co-  
318 occurrence of different colored vegetation, phytoplankton, substances, and shallow bottoms. The  
319 NASA PACE Science Definition Team (PACE SDT, 2012) concluded that ocean observations  
320 require a sensor with signal to noise ratios (SNR) > 1000 for visible radiance bands, absolute  
321 radiometric calibration << 2%, and relative calibration 0.2%. The existing high-spatial resolution  
322 missions, including Landsat-8 and Sentinel-2A/B, have SNRs on the order 300-400 in the 443nm  
323 channel and lower in the longer wavelengths (Pahlevan et al. 2014; Pahlevan et al. 2017a and b).  
324 The wide range of radiances reflected by coastal habitats, from very dark to very bright, also  
325 requires 14-bit digitization, sensor radiometric stability and linearity, and strategies to monitor  
326 these characteristics. Aquatic observations require minimal polarization sensitivity (<1%). Stray  
327 light, spectral out-of-band, and crosstalk signals, including instrument response-versus-scan,  
328 spectral smile, and residual polarization should be minimal, and should be carefully monitored  
329 over time. On-orbit variation in instrument radiometric response with time should be monitored,  
330 and adjusted. Sustained calibration needs to include frequent observations of the moon (e.g., once  
331 per day over at least half of the lunar cycle), stable on-board reference standards, and vicarious  
332 calibration and product validation efforts. Observations must include an active sun glint avoidance

333 and mitigation strategy, such as tilting  $> 20^\circ$  from surface specular reflection. The platform should  
334 exhibit minimal platform jitter with high pointing accuracy, and accurate band-to-band  
335 registration. Furthermore, standard and reference in situ radiometric measurements such as those  
336 available from the Marine Optical BuoY (MOBY) (Clark et al. 2003) should be available for a  
337 mission-long vicarious calibration.

338 *High temporal resolution:* Observations at frequencies of hours to days are required to  
339 measure change in the distribution of planktonic organisms due to tidal or other circulation,  
340 phenology, or change in community structure. While the biodiversity of some structured  
341 communities like coral reefs, sea grass meadows, or mangrove forests may be expected to  
342 change more slowly, disturbance due to pollution events, severe storms, or cold or warm  
343 temperature extremes can lead to rapid changes in organism distribution, traits, or habitat  
344 structure. High temporal resolution also increases the chance of observing targets often obscured  
345 by clouds (Mercury et al., 2012). The proposed NASA GEOstationary Coastal and Air Pollution  
346 Events (GEO-CAPE) mission would acquire high quality hyperspectral measurements three to  
347 four times per day of targeted tropical and subtropical coastal areas in North America and  
348 opportunistically in other locations in the hemisphere of regard, but at 250-375 m spatial  
349 resolution (Salisbury et al., 2016). The geostationary mission would not cover high latitude areas,  
350 and more than one satellite would be required to observe other areas around the world.

351

352 Implementing *H4* Remote Sensing

353       Implementing an *H4* observation system is within reach. There may be several strategies to  
354 increase the signal-to-noise ratios at the desired spatial resolution of 30 m for observations of  
355 coastal aquatic habitats and of biologically structured habitats. One possibility is to relax the  
356 spatial resolution requirement for coastal aquatic observations to about 60 to 100 m to match the  
357 scales of variability in coastal aquatic properties. This would help match a higher SNR  
358 requirement by binning 30 m pixels to this coarser resolution. A separate strategy to obtain  
359 higher signal to noise ratios at high spatial resolution is to alter the platform or sensor motion to  
360 scan aquatic targets slower than land or wetland targets (e.g., Osterman et al., 2016).

361       To increase revisit time, aquatic measurements may be collected within a range of viewing  
362 angles (e.g.,  $\pm 45^\circ$ ), following a strategy that mitigates sun glint. However, observations of  
363 above-water wetland vegetation require fixed viewing geometries to properly interpret the  
364 sequence of measurements in a time series of observations. Such off-nadir observations also help  
365 to minimize the contaminating effects of water reflections observed through wetland canopies  
366 and help improve biomass estimates (Turpie et al., 2015). A single, agile *H4* satellite in a 3-day  
367 repeat orbit could accommodate observations of several hundred coastal habitats distributed  
368 around the world every day, by consistently acquiring data with both along-track (for glint  
369 mitigation) and cross-track targeting (Osterman et al., 2016). Even one such device flown over a  
370 period of 3-5 years would enable the first comprehensive set of biodiversity proxies and estimates  
371 of their phenology in hundreds of coastal habitats around the world. Other possible solutions to  
372 achieve near-daily revisit times include one or several small, agile, satellite platforms that can  
373 point precisely with accurate knowledge of view geometry and location, flying in low-Earth

374 orbit. This would help define a baseline to evaluate past observations collected with less capable  
375 sensors, and to assess long-term changes.

376 Global *H4* coverage with one single sensor is not feasible. Scaling *H4* to obtain weekly or  
377 better comprehensive global coverage requires flying a multi-satellite mission. This would  
378 provide both greater geographic coverage and observations at sub-tidal frequencies. Operational  
379 resource management efforts, and an obligation to evaluate changes occurring over decadal and  
380 longer timeframes, would require sustaining *H4* over longer periods, similar to those provided by  
381 Landsat and other operational satellite series.

382 The *H4* concept poses challenges with respect to data downlink, management, processing,  
383 and distribution. A global coastal *H4* mission will require increased informatics, with  
384 significantly more on-board processing and storage capacity than is typical for current science  
385 applications. Further, some monitoring applications will require near-real-time access to the *H4*  
386 data. Commercial companies are actively addressing such big-data challenges with super-high  
387 spatial resolution (<0.5 m pixels) multispectral (typically 8 bands) satellite constellations  
388 designed to observe land targets. We can learn important lessons from these initiatives.

389

#### 390 Applications and Benefits

391 The need for biodiversity data is expressed in international treaties, including the Convention  
392 on Biological Diversity (CBD), the U.N. Sustainable Development Goals (including SDG 14),  
393 and the Ramsar Convention (MEA, 2005a and b; WOA, 2016). Similar treaties address the  
394 conservation of major fresh water bodies, such as the Laurentian Great Lakes. Of interest is  
395 using the concept of Essential Biodiversity Variables (EBVs) to monitor and assess long-term

396 changes in coastal ecosystems including coastal water quality, coastal zone bloom, wetlands  
397 biodiversity and benthic communities.to evaluate changes in fishery potential.

398 The need for global monitoring of marine biodiversity has been recognized by the Group on  
399 Earth Observations (GEO) and the Intergovernmental Oceanographic Commission (IOC; FOO,  
400 2012). GEO and the IOC have agreed to implement a Marine Biodiversity Observing System  
401 (MBON; Duffy et al. 2013) as an integral part of the GEO BON. *H4* also addresses the needs of  
402 terrestrial and fresh water studies (Schimel et al., 2015; Jetz et al., 2016). Combining *H4*  
403 observations with those from ocean color missions, land-observing missions, and in situ  
404 monitoring would expand the scope of coastal science. Example *H4* applications include:

405 (1) *Coastal Water Quality and Coastal Zone Blooms.* *H4* addresses the fundamental  
406 requirements of coastal ecology and resource monitoring programs of evaluating EBVs  
407 that inform about the quality, diversity, and productivity of coastal aquatic habitats as a  
408 function of nutrient inputs, light, and other physical and biotic factors. Specifically, *H4*  
409 will provide information on:

- 410 - Functional phytoplankton groups (red tide, coccolithophore, large and small  
411 phytoplankton cell concentration, etc.).
- 412 - Floating vegetation (*Sargassum*, other large algae, sea grasses)
- 413 - Seascapes (dynamic, multivariate biogeographic classification; e.g., Kavanaugh et al.,  
414 2016)

415 (2) *Wetland Biodiversity.* *H4* provides observations of wetland areal extent, canopy  
416 characteristics, species populations assemblages, and phenology, including change in emergent  
417 vegetation and water quality due to disturbance.

418       (3) *Benthic Communities*. *H4* monitors EBVs that track the areal extent, composition, and  
419 health of shallow subtidal foundation species (e.g., coral reef, seagrasses, kelp) and the integrity  
420 of benthic communities.

421       In summary, the combined open ocean, coastal, and wetland *H4* observation strategy will  
422 revolutionize applied ecological research and enable operational assessments and management  
423 applications that sustain coastal ecosystem services, including provisioning of food and clean  
424 water, around the world.

425

426 Acknowledgements

427 This manuscript is a contribution to the Marine Biodiversity Observation Network. The work  
428 was supported in part by the National Center for Ecological Analysis and Synthesis (NCEAS)  
429 and by the National Aeronautics and Space Administration (NASA grants NNX16AQ34G and  
430 NNX14AP62A, the National Ocean Partnership Program, and the US Integrated Ocean  
431 Observing System/IOOS Program Office). The manuscript has been reviewed by the National  
432 Exposure Research Laboratory of the Environmental Protection Agency and approved for  
433 publication. We appreciate the very thorough and constructive comments of the anonymous  
434 reviewers. Mention of trade names or commercial products does not constitute endorsement or  
435 recommendation for use by the U.S. Government. The views expressed in this article are those of  
436 the authors and do not necessarily reflect the views or policies of U.S. government agencies.

437



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776 FIGURES and FIGURE CAPTIONS

777

778 Figure 1. The Ocean Biogeographic Information System (OBIS) is the pre-eminent open-access  
779 database for international marine biodiversity assessments. This map shows the density of  
780 taxonomic records from the OBIS in 1x1° cells of the global ocean in near-surface pelagic and  
781 coastal waters (upper 20 m; n=10.8 million; Mollweide projection map of the number of records  
782 per km<sup>2</sup>; color bar in log<sub>10</sub> scale). Nearshore records represent benthic and water column data  
783 combined in waters from 0 m to 5 m bottom depth. Pelagic records are sampled from the surface  
784 ocean (upper 20 m) starting at a bottom depth of 5 m near the coast. The four inset maps show  
785 regions around the globe with dense OBIS records, yet these also demonstrate inconsistent  
786 spatial coverage. Right hand graphics: The shallow pelagic records (>5 m bottom  
787 depth) generally shows 2 to 3 orders of magnitude more observations than nearshore areas in most  
788 latitude bands. The sudden increase in nearshore records in the 2005-2010 timeframe is largely a  
789 contribution of observations collected in the Florida Keys region (USA). The overall decline in  
790 data after 2010 highlights typical delays in processing and reporting biological observations to  
791 OBIS. Systematic sampling by satellite remote sensing, combined with field observations,  
792 animal tracking, and modeling, promise to fill the widespread gaps in space and time and enable  
793 routine assessments of marine biodiversity in the world's coastal and pelagic zones.

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795 Figure 2. Current capabilities of remotely sensed data for measuring Essential Biodiversity  
796 Variables (EBVs; Pereira et al., 2013). The EBVs are a subset of the GOOS Essential Ocean  
797 Variables for biology and ecology (FOO, 2012). Legend: 'Unproven' indicates that methods have  
798 not yet been developed to collect these measurements from satellite/aerial data. 'Demonstrated in  
799 limited cases' are methods that have been demonstrated and which could be made operational with



800 the proposed H4 imaging approach. 'Routine use' indicates measurements that are produced  
801 regularly, and at present include distribution, abundance, and phenology of bulk phytoplankton  
802 only in the open ocean (i.e. derived chlorophyll-a concentration). 'Habitat model required' indicates  
803 EBVs that can be predicted on the basis of habitat correlations developed from remotely sensed  
804 data. 'NA' indicates that the observation is not applicable.

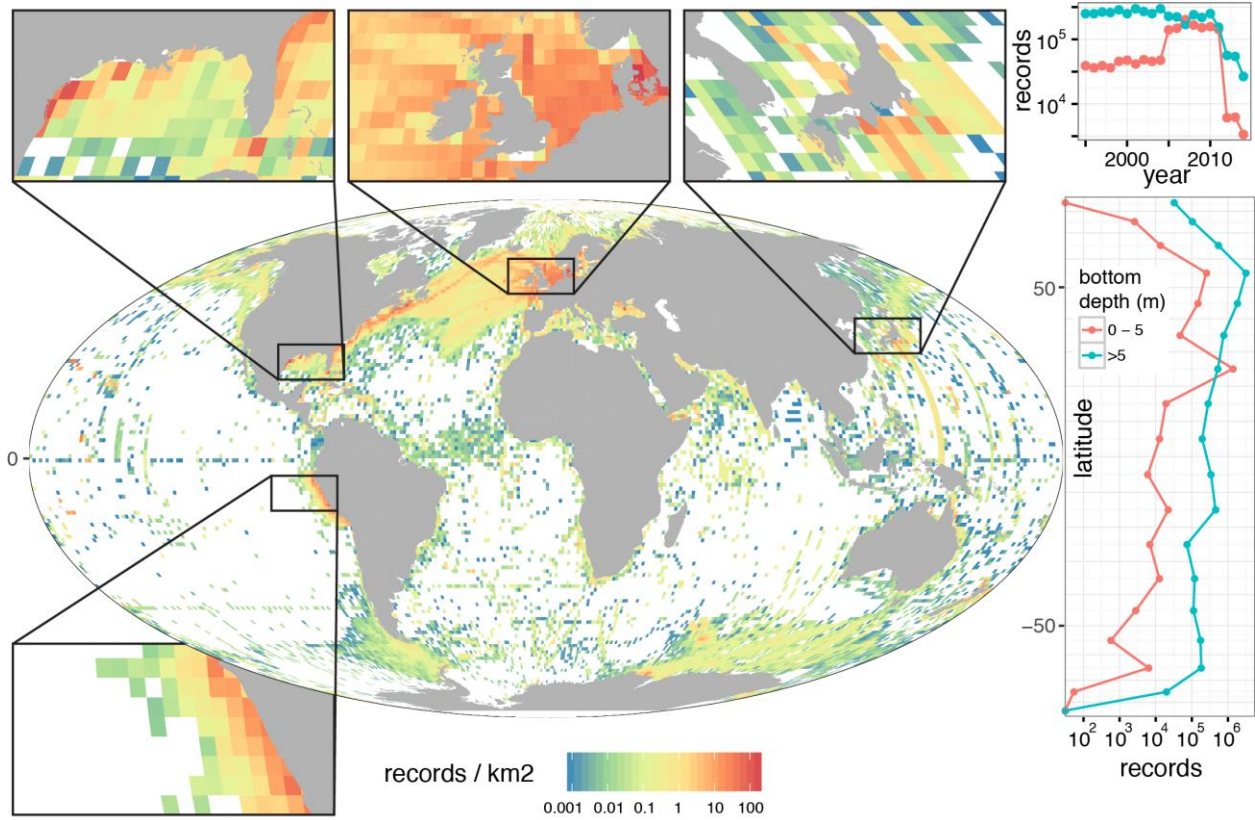
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806 Figure 3. Illustration of rapid changes in concentration of nuisance cyanobacteria, quantified as a  
807 *phycocyanin pigment index*. In situ measurements conducted every 15 minutes on a daily basis  
808 with a hand-held spectrometer were used to identify the organism in Upper Mantua Lake (Italy).  
809 Gaps in the time series are due to night and cloudy days. The frequency of sampling of a Landsat  
810 sensor (16 days), shown as grey vertical bars, would alias changes in the concentration of  
811 phytoplankton, sediment load, and other water quality factors. Orange vertical bars illustrate a 3-  
812 day sample frequency – i.e., five times the Landsat frequency. Some species of cyanobacteria  
813 can outcompete other phytoplankton, form noxious or toxic blooms, and ultimately reduce water  
814 quality for the rest of the food web and human consumption. (After Hestir et al. 2015.)

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Figure 1

Satellite Sensor Requirements for Coastal Essential Biodiversity Variables (EBV)

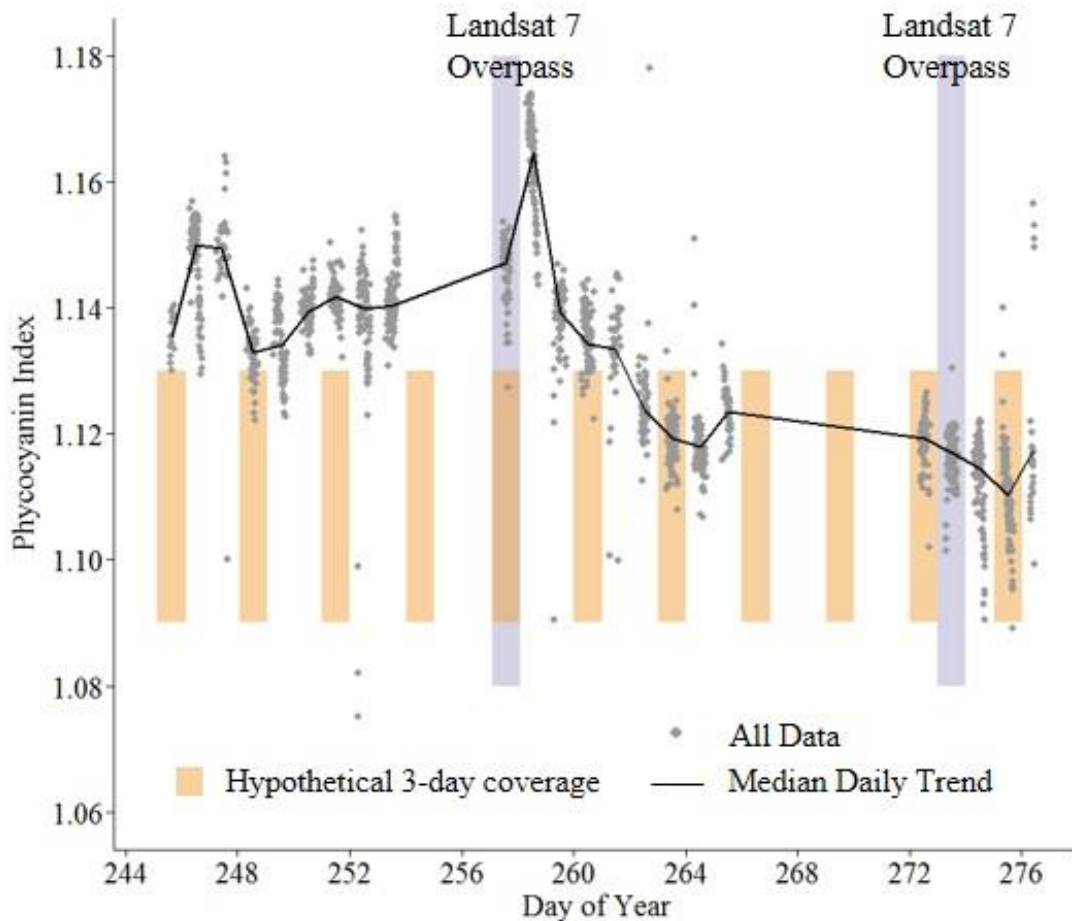
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EBV class	EBV	Habitat Type								Legend
		Wetland Vegetation	Benthic Communities			Pelagic Organisms				
		Mangrove/salt marsh	Seagrass	Macroalgae	Coral	Phytoplankton	HAB	Fish, Zooplankton	Apex predator	
<b>Genetic composition</b>	Population genetic diversity									Unproven
<b>Species populations</b>	Distribution					ROUTINE USE FOR OPEN OCEAN				Demonstrated limited cases
	Abundance									Routine use
	Size/vertical distribution									Habitat model required
<b>Species traits</b>	Pigments							NA	NA	
	Phenology					ROUTINE USE FOR OPEN OCEAN				
<b>Community composition</b>	Taxonomic diversity									
<b>Ecosystem structure</b>	Functional type									
	Fragmentation/heterogeneity					ROUTINE USE FOR OPEN OCEAN				
<b>Ecosystem function</b>	Net primary production							NA	NA	
	Net ecosystem production						NA	NA	NA	

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Figure 2

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Figure 3