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Ecological consequences of early Late Pleistocene megadroughts in tropical Africa

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Departments of ^{*}Geosciences and ^{||}Ecology and Evolutionary Biology, University of Arizona, Tucson, AZ 85721; [‡]Department of Geosciences, University of Nebraska, Lincoln, NE 68588; [§]Department of Biology, University of Wisconsin, Eau Claire, WI 54702; [¶]Department of Geology, University of Akron, Akron, OH 44325; ^{**}Department of Earth Sciences, Syracuse University, Syracuse, NY 13244; ^{††}Large Lakes Observatory and Department of Geological Sciences, University of Minnesota, Duluth, MN 55812; ^{‡‡}Graduate School of Oceanography, University of Rhode Island, Narragansett, RI 02882; and ^{§§}Department of Earth Sciences, University of Bergen, N-5007 Bergen, Norway

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Extremely arid conditions in tropical Africa occurred in several discrete episodes between 135 and 90 ka, as demonstrated by lake core and seismic records from multiple basins [Scholz CA, Johnson TC, Cohen AS, King JW, Peck J, Overpeck JT, Talbot MR, Brown ET, Kalindekaffe L, Amoako PYO, et al. (2007) *Proc Natl Acad Sci USA* 104:16416–16421]. This resulted in extraordinarily low lake levels, even in Africa's deepest lakes. On the basis of well dated paleoecological records from Lake Malawi, which reflect both local and regional conditions, we show that this aridity had severe consequences for terrestrial and aquatic ecosystems. During the most arid phase, there was extremely low pollen production and limited charred-particle deposition, indicating insufficient vegetation to maintain substantial fires, and the Lake Malawi watershed experienced cool, semidesert conditions (<400 mm/yr precipitation). Fossil and sedimentological data show that Lake Malawi itself, currently 706 m deep, was reduced to an ≈125 m deep saline, alkaline, well mixed lake. This episode of aridity was far more extreme than any experienced in the Afrotropics during the Last Glacial Maximum (≈35–15 ka). Aridity diminished after 95 ka, lake levels rose erratically, and salinity/alkalinity declined, reaching near-modern conditions after 60 ka. This record of lake levels and changing limnological conditions provides a framework for interpreting the evolution of the Lake Malawi fish and invertebrate species flocks. Moreover, this record, coupled with other regional records of early Late Pleistocene aridity, places new constraints on models of Afrotropical biogeographic refugia and early modern human population expansion into and out of tropical Africa.

cichlid evolution | Lake Malawi | Out-of-Africa Hypothesis | paleoclimate | paleolimnology

Long, continuous records of Pleistocene paleoecological change in Africa are rare, despite their importance for addressing paleoclimatic, biogeographic, and evolutionary controversies in the tropics (1, 2). For Africa, understanding of Pleistocene environmental history comes from a variety of wetland, lake core, and outcrop records (3–7), which are of geologically short duration and/or low stratigraphic resolution, as well as from analyses of deep-sea cores (8, 9), which integrate information from large areas. To evaluate hypotheses about environmental history at the landscape scale (≈10⁴–10⁶ km²), and their potential influence on species' distribution and diversification histories (10), there is a need for records that merge the continuity and duration of deep-sea cores with the relatively rapid sedimentation and high temporal resolution found in lakes.

Here we describe paleoecological results from drill cores recently collected at Lake Malawi, a large (29,500 km²), deep (706 m) African rift lake located in the southern African tropics (9°–14°S). Today, Lake Malawi lies close to the southern extent of the Intertropical Convergence Zone. The lake's watershed experiences a mesic climate, with highly seasonal rainfall [800–2,400 mm/yr (11)]. Lowland vegetation is dominated by wet Zambezian woodlands, replaced by evergreen and then montane forests with increasing elevation (12). Lake Malawi itself is hydrologically open,

drained by the Shire River, although 82% of total water loss is through evaporation (13). It is currently a dilute (240–250 μS/cm conductivity), stratified water body, with negligible nutrient concentrations in surface waters, leading to very high water clarity (14).

In 2005, we collected drill cores from two sites in Lake Malawi (15, 16). The deep-water central basin, site 1 (11°17.66'S, 34°26.15'E, 592 m water depth) was drilled to provide a relatively complete record even during extreme low-lake stands. Four cores were retrieved from this site, one of which (MAL05-1C, 76 m in length) forms the basis for this study. The north basin, site 2 (10°01.06'S, 34°11.16'E, 361 m) was located where earlier studies (4) had shown the potential for a high-resolution record covering the last ≈100 ka. Seismic data indicating deep-water unconformities and low-stand deltas, plus total organic carbon/total inorganic carbon, saturated bulk density, and faunal data from the deeper site, yield a coherent record of extremely low lake levels (–550 to –600 m relative to modern water depths) from ≈135 to 127 ka, and again from 115 to 95 ka, with lake levels rising in a stepped and sometimes reversing fashion thereafter and reaching near-modern levels ≈60 ka (16). This occurrence of unprecedented megadroughts was manifested as well in our shallower drill site in Lake Malawi and in long core records from Lake Tanganyika and from Lake Bosumtwi in West Africa (16). In contrast to the extreme low lake levels experienced in the early Late Pleistocene, the aridity of the LGM appears to have been much less severe, with lake levels on the order of 30–200 m below modern from ≈35 to 15 ka (17). The present study demonstrates the profound ecological consequences of these extreme drought intervals and discusses their implications for both lacustrine species flock evolution and anatomically modern human demography and expansion out of Africa.

Results

Screen-Washed Samples (Fig. 1). *Ostracodes.* Low-diversity ostracode assemblages were found in several intervals of core 1C before 62 ka. All of these assemblages are exclusively benthic/epibenthic taxa that cannot survive under conditions of sustained lake floor anoxia, a condition that currently exists in Lake Malawi in water depths >200–250 m (14). Fossil transport from a shallower water source to deep water can be discounted, given the extreme fragility of the ostracode fossils and because the anoxic part of the lake is under-

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The authors declare no conflict of interest.

This article is a PNAS Direct Submission. D.H. is a guest editor invited by the Editorial Board. Abbreviations: DCA, detrended correspondence analysis; LGM, Last Glacial Maximum; PAR, pollen accumulation rate; PCA, principal components analysis.

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most of the last 60 ka. Because of their ability to take refuge from predators by vertical migration into anoxic and/or low-light, deep-water habitats (23), they are particularly abundant in stratified tropical lakes, and the abundance of their fossils is correlated with the extent of lake-floor anoxia above a core site (24).

Charred particles. Charred-particle abundance varies over four orders of magnitude in the core 1C record, providing an excellent indicator of fire frequency near the drill site. Between ≈ 115 and 96 ka, charcoal abundance drops to near zero (largely corresponding with the *Limnocythere* ostracode-dominated lake interval), pointing to a period of extreme aridity, when the landscape converted periodically to semidesert, with disconnected plant cover and limited fuel beds incapable of sustaining major fires. In southern Africa, the modern precipitation threshold for fire-sustaining fuel beds is ≈ 400 mm/yr (25), suggesting that precipitation was this low or lower during the no-charcoal phase of the megadrought. Charcoal abundance rises dramatically after 96 ka, reaching near-modern (pre-20th century) levels by ≈ 60 ka, with a minor drop during the LGM.

Principal components analysis (PCA). Data from PCA of screen-washed samples [see supporting information (SI) Text and SI Table 1 for mineralogy data] yields a coherent picture of paleoecological change at Lake Malawi over the last ≈ 150 ka (Fig. 1). The first PCA axis (PC1) for all analyzed variables (those displayed plus those from ostracode taphonomy results, percentage adults, percentage broken valves, and percentage whole carapaces) explains 32.3% of the data variance and contrasts strongly positive loading variables (percentage *Limnocythere* spp., percentage total ostracode abundance, percentage adult ostracodes, and percentage broken valves) with strongly negatively loading variables (chaoborid, charcoal, and vivianite abundance). These results show that PC1 is primarily a lake-level/watershed moisture availability axis, consistent with the illustrated paleolake-level constraints (16) that can be placed either on the core or on Lake Malawi itself for the last ≈ 150 ka. Prolonged low lake levels and aridity occurred between 105 and 95 ka, with shorter episodes at ≈ 111 –108 ka and ≈ 127 –132 ka. Low stands are associated with shoreline indicators that lake level had dropped at least to the core site elevation. Lesser episodes of aridity are indicated by smaller peaks after the main megadrought period ended, from 95 until ≈ 60 ka. In contrast, the LGM, a time of well documented aridity throughout tropical East Africa (26, 27), is manifested by only a very small rise in PC1 scores, consistent with the much smaller lake-level decline at that time.

Diatoms. Light microscopy revealed a diverse diatom assemblage, with 112 taxa enumerated. All assemblages are dominated by plankton and tychoplankton (accidental plankton) forms, and abrupt transitions in the dominant species are common (Fig. 2). Stratigraphically constrained cluster analysis quantitatively defined eight zones, which conform to major shifts in the axis of maximum variance as determined by detrended correspondence analysis (DCA) (DCA1; 13% of total variance) (see SI Tables 2 and 3). DCA1 sample scores are typically high before ≈ 85 ka and low after ≈ 74 ka.

Diatom zones D-1 to D-4 (150–85 ka). Diatom zones D-1 and D-3 are dominated by *Aulacoseira* species that are common at low abundances in modern Lake Malawi (28–30). Increased abundances of *Aulacoseira* species in the modern lake are often associated with shallower water environments and higher silicate concentrations in the water column (14, 31–33). The dominance of *Aulacoseira* species before ≈ 85 ka suggests that the lake was much shallower and more frequently mixed than today. Diatom zones D-1, D-3, and D-4 are characterized by the highest DCA1 scores, associated with increased abundances of *Cyclotella meneghiniana*. This taxon has never been reported as abundant in the modern flora of Lake Malawi but occurs elsewhere today in East Africa in saline or moderately alkaline, nitrogen-rich waters (34–36). In large lakes, it tends to dominate the nearshore plankton when chloride inputs are

high and salinity levels fluctuate rapidly (37). The presence of this species as a common component of fossil assemblages suggests saline waters associated with drastically lower water depths.

Gradual increases in abundance of *C. meneghiniana* in the upper half of zones D-1 and D-3 suggest fluctuating salinity and low silicate concentrations associated with rising lake levels and less frequent mixing. Abundant *Stephanodiscus* species and the abrupt disappearance of *C. meneghiniana* throughout most of zone D-2 indicate deeper lake conditions than those observed in zone D-4, where *C. meneghiniana* remains the dominant form throughout and waters probably remained somewhat saline. The appearance of *Cyclotella ocellata*, a facultative plankter often considered to be littoral, at the top of zone D-2 suggests declining lake levels. Although there are no modern analogues in East Africa where *C. ocellata* is a dominant taxon, fossil assemblages rich in *C. ocellata* have been reported from Lakes Abhé and Tanganyika, where it was associated with water depths ranging from 100 to 150 m (38, 39). **Diatom zones D-5 to D-8 (85–10 ka).** The upper four diatom zones differ markedly from the lower four zones, as illustrated by the negative shift in DCA1 scores. This transition coincides with the disappearance of *C. meneghiniana* and *C. ocellata* at the top of D-4, an abrupt increase in the relative abundance of *Stephanodiscus* species, and gradual increases in other species that are common in low abundances in the modern and Holocene flora of Lake Malawi (28–30). These transitions suggest a shift toward much higher lake levels. Lake levels continued to fluctuate widely throughout zones D-5 and D-6 but never fell to the levels observed in the lower four diatom zones. Zones D-7 and D-8 show a decrease in sample-to-sample variability and a gradual increase in the abundances of *Stephanodiscus* species, suggesting that lake levels continued to rise and that the stratification of the lake became more pronounced, resulting in less frequent mixing of the water column.

Palynology. Between 120 and 75 ka, the pollen record shows a predominance of grass, with diverse yet sparsely represented arboreal, herbaceous, and wetland components surrounding Lake Malawi (Fig. 3 and see SI Table 4). Pollen spectra include both deciduous and evergreen arboreal taxa (*Celtis*, *Acalypha*, and *Moraceae*), as well as representatives from a lowland Zambezi miombo woodland ecosystem (*Brachystegia*, *Uapaca*, *Isobertinia*, and *Faurea*). Particularly striking is the relatively high pollen accumulation rate (PAR) values for *Podocarpus* and other montane indicators such as *Ericaceae*, *Olea*, *Juniperus*, and *Ilex*. Within this interval, *Podocarpus* PAR and percentages even exceed Poaceae at selected depths (35–38%), and the combined montane taxa represent an average of 20% of the grains deposited at the core site. In contrast, at no time during the last 35 ka did *Podocarpus* pollen percentages exceed 12% of the total pollen (ref. 40 and the present study). Samples from within the *Podocarpus* forest of the Drakensburg Mountains contain only $\approx 40\%$ *Podocarpus* pollen (41). These data, in conjunction with our results, strongly suggest substantial expansion of montane forest taxa to lower elevations within the highlands north and west of Lake Malawi (42). Such expansion would require cooler conditions, at least seasonally. The combined pollen and charred-particle data suggest that an ecosystem similar to the high-elevation semideserts of South Africa today probably existed near the lake shore, with expanded montane forests at higher elevations (43).

The substantial changes in total PAR after 105 ka inversely mirror the terrigenous component (percentage sand fraction) of sediment, with accumulation rates falling by an order of magnitude when Lake Malawi was very shallow. Because sedimentation rates do not increase along with the increase in sand, the decline in PAR at these times probably is not due to dilution or grain degradation but instead reflects a real change in pollen productivity and/or transport to the coring site. The percentage of broken/crumpled grains remains $\approx 8\%$ throughout the entire 120- to 75-ka interval.

From 105 to 95 ka, PARs fall to an average of <250 grains per

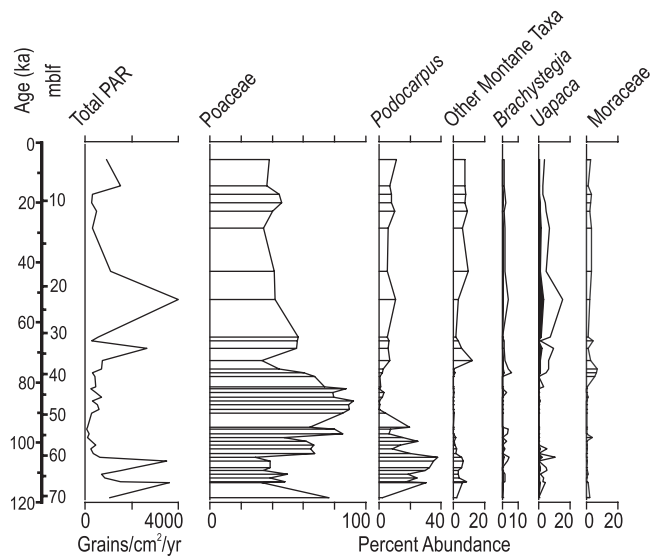


Fig. 3. Summary palynostratigraphy of core 1C. (See [SI Table 4](#) for details and additional taxa.) Other montane taxa include *Olea*, *Kiggelaria*, *Ilex*, *Juniperus*, *Apodytes*, and *Syzygium*. *Brachystegia* and *Uapaca* plots are shown with actual percentage values and at 5 \times exaggeration.

square centimeter per year. The scant pollen deposited at the coring site is increasingly dominated by Poaceae, whereas *Podocarpus*, other montane taxa, and Zambezi miombo woodland indicators like *Uapaca* diminish. The continued presence of *Brachystegia* suggests a transition to the drier miombo (rainfall <1,000 mm), in which trees are sparse and most if not all of the subdominant trees are absent (12). *Typha* pollen is found consistently within this interval, suggesting that emergent wetlands occurred along the lowered lake shore (see [SI Table 4](#)). By the end of this interval, pollen from *Brachystegia* and montane taxa is absent except for a few, potentially reworked, *Podocarpus* grains.

After \approx 93 ka, PARs increase, although they remain much lower than before 105 ka, presumably reflecting post-megadrought recovery and expansion of plants. Notably, the montane taxa, as well as *Brachystegia* and pteridophytes, do not rebound. The limited deposition of montane taxa throughout the 93- to 75-ka interval suggests that extreme aridity of the megadrought extending up to high elevations may have killed off montane taxa that previously flourished. With minimal source areas nearby to “restock” the isolated mountain highland “islands,” the montane communities could not reestablish immediately, despite increasing moisture as suggested by rising lake levels. During the last 70 ka, the vegetation within the Lake Malawi pollen source area remains fairly consistent with diverse lowland and montane plant communities. Notable is the lack of any substantial shift in plant types during the LGM.

Discussion

In sum, our findings demonstrate that the Lake Malawi region experienced extreme aridity episodically between 135 and 70 ka, with diminished climatic and ecologic variability after 70 ka. Patterns of variability are broadly consistent between terrestrial and lake paleoecologic changes and are also consistent with precessional forcing for the drill site (ref. 16 and Fig. 4). During the most arid intervals, Lake Malawi became a shallow alkaline, saline lake, with lake levels at least as low as -580 m, and its surrounding watershed was converted into a semidesert. In conjunction with previous studies (16, 44–46), these results document a wide-ranging, tropical African event of broad ecological significance. Furthermore, our continuous and regionally significant record of aridity during this period shows it to have been much more severe than during the LGM, a period assigned

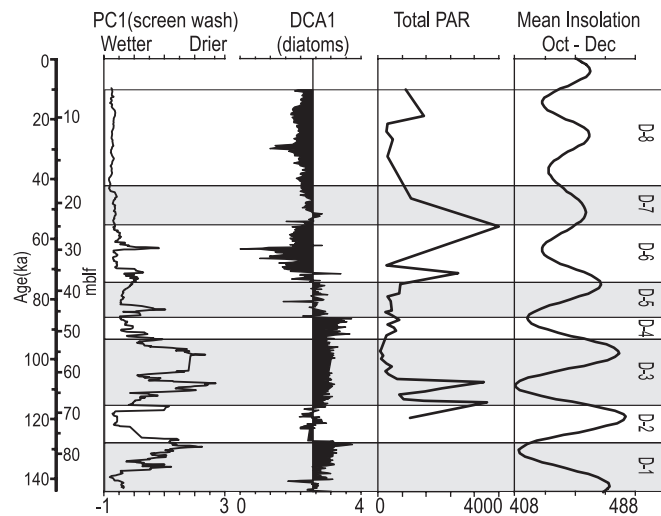


Fig. 4. Comparison of major summary paleoecological records for core 1C. From left to right: PC1 for screen-wash data, DCA1 for diatom data, total PARs, and rainy season solar insolation (W/m^2) for the drill site.

great importance in hypotheses regarding the impacts of aridity on African ecosystems and evolution (3, 47). Although LGM aridity is clearly demonstrable throughout tropical Africa, our findings suggest that its impact on lake and terrestrial ecosystems would have been less than that of the preceding early Late Pleistocene megadroughts.

Unlike the smaller lake-level falls suggested for the LGM (27), the early Late Pleistocene conversion of Lake Malawi to a relatively shallow lake would have eliminated most rocky shoreline habitat in the lake, leaving the majority of the lake’s shoreline with a muddy or sandy bottom. This alters our understanding of the physical setting for evolution of the lake’s endemic fauna. The estimated 500–1,500 species of cichlid fishes in Lake Malawi are intensively studied as model systems for speciation, with sexual selection based on visual mate choice as a preferred hypothesis for the elevated diversity (48). A shallow and probably turbid, well mixed lake with reduced visibility (as suggested by the diatom flora) might impede cichlid mate choice and negatively impact species maintenance and coexistence (49). These conditions could have significantly affected the rocky-dwelling littoral cichlid communities (*mbuna*) that currently account for 20–50% of the extraordinary diversity of the lake’s cichlids. Simultaneous lake-level falls at nearby Lake Tanganyika during both the megadrought [on the order of 400–600 m (16, 50)] and the LGM (100–250 m) would not have had as great an impact on the extent of rocky habitat required for its endemic littoral fauna, or on water chemistry, given the much greater depth and volume of that lake (see [SI Text](#) and [SI Fig. 5](#) for further discussion).

Prior attempts to date major diversification events within the cichlids (47, 51–53) have been based on the timing of the post-LGM lake-level rise and on much older, weakly constrained outcrop (54) or seismic data (55, 56) from the two lakes. The megadrought low-stand demonstrated here for Lake Malawi provides a much more robust constraint on genetic divergence within the cichlid phylogeny, implying rates of evolution four to eight times slower than those that assume a major role for the LGM in Lake Malawi speciation. Conversely, the most recent megadrought is much younger than nuclear (57) or mitochondrial (47) molecular clock estimates of *mbuna* and non-*mbuna* cichlid divergence (0.7 ma and 0.57–1.0 ma, respectively). Our results also suggest that the concept of synchronous cichlid diversification events in the three largest African lakes (Malawi, Tanganyika, and Victoria) bears reconsid-

eration given their probable different responses to the megadrought (Tanganyika 800–1,000 m deep, moderately saline, extensive rocky coastal habitat assuming a near-modern basin configuration, possibly subdivided into multiple basins; Malawi, 100 m deep and saline, little rocky habitat, subdivided at times; Victoria, no record but probably dry) and the LGM arid phase [Malawi and Tanganyika, closed and undivided deep basins with abundant rocky habitat; Victoria, dry (27)] (see *SI Text and SI Fig. 5* for further discussion).

Our terrestrial ecological record, when coupled with other regional records of widespread southern tropical African aridity during the megadrought interval, has additional implications for early Late Pleistocene human demography and archaeology in Africa. The Lake Malawi record shows a shift from a period of high-amplitude climate variability between 140 and 70 ka, with at least two distinct episodes of desert-like conditions in tropical Africa, followed by a period of lowered variability over the last 70 ka, with generally more humid climates throughout that period, including the somewhat arid LGM (16). Whereas extreme variability in African climate regimes before 70 ka has been reported elsewhere in subSaharan Africa, it has primarily been in the context of unusually wet conditions [e.g., dated high stand deposits in the Kenyan rift (6)], in contrast to our evidence for extreme aridity. This interregional variability will make it difficult to identify specific region(s) (e.g., centers of modern forests) as persistent Afrotropical refugia throughout the Middle/Late Pleistocene. More likely, refugia themselves migrated in parallel with the intense climate swings, consistent with genetic evidence for chimpanzees (58). In this regard it is noteworthy that, simultaneous with the peak period of tropical African aridity [recorded at Lakes Malawi, Tanganyika, and Bosumtwi (16) and in the records of expanding deserts and active dune fields in both West Africa and the northern Kalahari (44–46)], abundant evidence exists for human occupation in southern and northern Africa, as well as in the Levant, whereas directly dated sites in tropical Africa are rare (59–62). The long African lake core records also make it less likely that the period of minimal human populations in equatorial Africa can be linked to events occurring after 80 ka (63). Furthermore, we propose that the expansion of more humid climate biomes is consistent with most models of both human and chimpanzee population expansion (64–67). The end of arid conditions in tropical Africa closely coincides with the onset of aridity elsewhere on the continent and in the Levant (9, 68, 69). Thus, a likely period for human population expansion out of equatorial Africa (especially up a Nilotic corridor from tropical to North Africa) would have been during the climatic “crossover” time, between ≈ 90 and 70 ka, when intermediate precipitation regimes would have prevailed throughout Africa. This interval is long enough to accommodate a zone of contiguous habitability during a genetic “sweep” up the Nile (65) and early enough to accommodate even early proposed arrivals of humans into Australia (70). It is also consistent with the idea (71) that the earlier (≈ 125 ka) documented occurrence of modern humans in North Africa and the Levant represents ultimately unsuccessful “excursions” out of Africa.

Methods

Core collection, geochronology, and archiving are described elsewhere (15, 16). After splitting, core MAL05-1C was sampled at 16-cm intervals (≈ 300 -yr resolution) for screen-wash and diatom analysis and at 1-m intervals for pollen samples. In total, 467 samples were collected for screen-wash and diatom analysis and 40 for palynology.

Screen-Washed Samples. Weighed wet sediment samples were disaggregated in deionized water and sieved using a 125- μ m stainless steel sieve. Wet weights were determined for a separate aliquot from each sample, which was oven-dried and reweighed to determine water content and to calculate original dry weights for sieved samples. After sieving, residues were counted at 90 \times for ostracodes [total number per sample, taphonomic condition (percentage adult, broken, carbonate coated, and reduction/oxidation stained), percentage major genera based on 100 valve counts, charred particles (total abundance per sample), chaoborid fragments, and percentage mineralogy in sand fraction]. Fossil identifications were confirmed by using a Philips (Eindhoven, The Netherlands) XL30 environmental scanning electron microscope. Fossil abundance, taphonomic, and mineralogic data from the screen-wash fractions were analyzed by PCA using CANOCO 4.5 (72). First axis PCA scores were smoothed by using a five-point running average of stratigraphically adjacent samples.

Diatoms. Diatom samples were prepared from all samples for light microscope analysis by using standard sample digestion, extraction, and mounting techniques (73). Clay-rich samples were immersed briefly (15–30 s) in an ultrasonic water-bath to disaggregate the sample and allow for complete digestion. When possible, a minimum of 300 diatom valves was identified from each sample interval; highly dissolved or sparse samples with <100 diatom valves counted were excluded from statistical analyses, leaving 463 samples.

Diatom percentage abundance data were screened to remove species that did not occur in abundances of at least 1% in two samples. After the screening, percentage abundance data for the remaining 51 diatom species were square-root transformed and analyzed by stratigraphically constrained cluster analysis (CONISS) using PSIMPOLL 4.10 (74) and by DCA using CANOCO 4.5 (72).

Palynology. Sediment samples (0.5 cm³) were processed for pollen, in accordance with standard methods (75). Before final dehydration, a calibrated micropolystyrene spike was added to each sample to allow calculation of pollen concentrations (76). For each sample, 600 identifiable grains were counted. Identified grains were grouped into vegetation types on the basis of habitat preference of the parent plant. PARs were determined as number of grains per square centimeter per year, using mean pollen concentrations and the combined depth–age model.

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