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# Ozone production efficiencies of acetone and peroxides in the upper troposphere

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# **Ozone production efficiencies of acetone and peroxides in the upper troposphere**

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Abstract.  $HO_x$  concentrations in the upper tropical **troposphere can be enhanced by the presence of acetone and the convective injection of peroxides. These**  enhancements in  $HO_x$  might be expected to increase **ozone production by increasing the rate of the**  $HO_2$  **+ NO reaction. We show however that the convective en**hancements of hydrogen peroxide  $(H_2O_2)$  and methyl **hydroperoxide (CH3OOH) above steady state during the P EM West B campaign were largely restricted to air parcels of marine boundary layer origin in which the mean NO concentration was 8 pptv. The ozone production efficiencies of the two peroxides at such low NO concentrations are very small. Their impact on the ozone budget of the upper tropical troposphere during PEM West B was therefore probably modest. Unlike the peroxides, acetone in the upper tropical troposphere during PEM West B exhibited a positive correlation with NO. It also has a much larger ozone production efficiency**  than either  $H_2O_2$  or CH<sub>3</sub>OOH. It therefore has a much **greater potential for significantly increasing ozone production rates in the upper tropical troposphere.** 

## **1. Introduction**

**Recent measurements are giving rise to new insights in our understanding of the radical chemistry of the upper tropical troposphere. Singh et al. [1995] showed that acetone (CHsCOCHs) concentrations inthe upper tropical troposphere were large enough to make acetone**  photolysis a significant source of  $HO_x$  (=  $OH + HO_2$ ). **Direct measurements of OH and HO2 appear to confirm**  the importance of acetone photolysis as a  $HO<sub>x</sub>$  source **[Wennberg et al., 1998, McKeen et al., 1997]. There are however some cases in which observed OH and HO2 concentrations are larger than can be explained by invoking acetone photolysis in addition to the standard** 

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**Paper number 98GL01030. 0094-8534/98/98GL-01030505.00**   $HO<sub>x</sub>$  sources. Jaeglé et al. [1997] have argued that **these cases can be explained by the convective transport, and subsequent photolysis, of hydrogen perox**ide (H<sub>2</sub>O<sub>2</sub>) and methyl hydroperoxide (CH<sub>3</sub>OOH) from **the boundary layer into the upper tropical troposphere. This appears to confirm an earlier suggestion by Chatfield et al. [1984].** 

**There are a number of reasons for wanting to improve our understanding of the radical chemistry of the upper tropical troposphere. The OH radical is the main oxidant of the troposphere, and as such, plays an impor**tant role in determining its chemical composition. HO<sub>*r*</sub> **radicals also play a pivotal role in the catalytic cycle which produces ozone from carbon monoxide (CO) oxidation.** 

$$
HO_2 + NO \rightarrow OH + NO_2 \tag{1}
$$

$$
OH + CO + (O2) \rightarrow HO2 + CO2
$$
 (2)

$$
NO2 + h\nu + (O2) \rightarrow NO + O3.
$$
 (3)

$$
Net: CO + (2O2) \rightarrow CO2 + O3
$$
 (4)

**The rate of ozone production from this cycle can be increased by the presence of additional HO• sources [Folkins et al., 1997, Weanberg et al., 1998]. The large scale increases in ozone production associated with acetone and the convective injection of boundary layer peroxides into the upper tropical troposphere have been estimated by Prather and Jacob [1997].** 

**The ozone producing cycle given above (Reactions (1)**   $-$  (4)) requires the participation of  $NO_x$  ( $= NO + NO_2$ ). **This suggests that the amounts of ozone produced by**   $HO_x$  precursors such as acetone and the peroxides will depend on the ambient  $NO<sub>x</sub>$  concentration. In Figure 1, **CHaOOH is plotted against 1/NO using measurements from the 1994 Pacific Exploratory Mission (PEM) West B campaign [Hoell et al., 1997]. We have restricted at**tention to tropical (within 20° of the equator), and daylight (solar zenith angle less than 80<sup>°</sup>) conditions. The **solid circles were taken from near the surface (0-2 km), while the open circles were taken from the upper tro**posphere (9-12 km). CH<sub>3</sub>OOH is anticorrelated with



Figure 1. CH<sub>3</sub>OOH vs 1/NO(pptv) from PEM West **B between 20øS and 20øN, with solid (9-12 km) and**  open (0-2 km). The upper (1 km) and lower (10 km) **curves represent the dependence of CH3OOH on NO from a photochemical box model. Model NO refers to a day average (between sunrise and sunset) of the last day of a 10 day run.** 

**NO in both altitude intervals. The most likely origin**  of the anticorrelation in the upper troposphere is rapid **convective transport of air from the boundary layer, in which there is a pre-existing anticorelation driven by**  in situ chemistry. The upper curve in Figure 1 shows **the predicted dependence ofCH3OOH on 1/NO at 1 km from a photochemical model [Chatfield et al., 1996]. The model was run for 10 days, long enough to allow CH3OOH to reach steady state. The initial mixing**  ratios of O<sub>3</sub>, acetone, PAN, CO, CH<sub>4</sub>, HNO<sub>3</sub>, DMS, **C2H6, and C3Hs were 25 ppbv, 500 pptv, 20 pptv, 75 ppbv, 1.665 ppmv, 100 pptv, 5 pptv, 500 pptv, and 50 pptv respectively. The mixing ratios of 03, NOz, and N Oy were held fixed during the 10 day simulations. The temperature was 290 K, the relative humidity 50 percent, the latitude 15 øN, the surface albedo 0.1, and the date March 31. Although the upper i km model curve tends to underestimate CH3OOH somewhat, (due probably to insufficient hydrocarbons), it roughly captures the decrease of the 0- 2 km CH3OOH measurements toward higher NO mixing ratios. The lower curve was run for the same conditions as the upper curve, but at an altitude of 10 km. It consistently substantially underestimates the observed 9-12 km CH3OOH concentrations (open circles). This is in agreement with previous work**  demonstrating that peroxide concentrations in the up-



**Figure 2.** A scatterplot of  $CH<sub>3</sub>OOH$  versus  $H<sub>2</sub>O<sub>2</sub>$ . **Data is taken from the PEM West B campaign, 9-12 km, between 20øS and 20øN, and solar zenith angles**  less than 80<sup>°</sup>.

**per tropical troposphere are underestimated by steady state models [Jacob et al., 1996].** 

Figure 2 is a scatterplot of  $CH<sub>3</sub>OOH$  versus  $H<sub>2</sub>O<sub>2</sub>$ , **selected from upper tropospheric (9-12 km) PEM West B measurements using the same criteria as given above. Solid circles denote data points whose simultaneous NO mixing ratios were greater than 25 pptv, while open circles denote points with an NO mixing ratio of less than**  25 pptv. Almost all high NO points have  $H_2O_2$  and **CH3OOH less than 200 pptv, while almost all low NO points have both species larger than 200 pptv. This fig**ure again suggests that the largest convective enhance**ments in the two peroxides during PEM West B occurred in low NOz air parcels originating from the clean tropical marine boundary layer, where in situ chemistry most strongly favors peroxide formation.** 

Table 1 gives mean mixing ratios for various species in the low NO  $\left($  < 25 pptv with a mean of 8.5 pptv) "marine" and high  $NO$  ( $>$  25 pptv with a mean of 90.1 pptv) **"continental" categories. Methyl iodide (CH3I), considered a tracer of marine boundary layer air, is twice as high in low NO "marine" than high NO "continental"**  air. Both H<sub>2</sub>O<sub>2</sub> and CH<sub>3</sub>OOH are four times higher **in the low NO category. Acetone has a mildly positive correlation with NO, being 17 percent higher in "continental" air.** 

# **<sup>e</sup>Ozone Production Efficiency**

**In order to investigate the impact of the anticorrelation between the peroxides and NO on the amount of ozone produced by the peroxides, we use the concept of ozone production efficiency (OPE). As originally introduced by Liu et al. [1987], it refers to the number of ozone molecules produced per emitted molecule of NO•. Its remarkable feature is its dependence on the**  ambient  $NO_x$  concentration. When a  $NO_x$  molecule is

**Table 1. Chemical characteristics of marine (NO < 25**  pptv) and continental  $(NO > 25$  pptv) upper tropical **tropospheric air from the P EM West-B campaign. Latitude 20øS to 20øN, height 9-12 km, and solar zenith**   $angle < 80^{\circ}$ only. All mean mixing ratios in pptv unless **otherwise noted.** 

	$NO < 25$ pptv		$NO \geq 25$ pptv	
	Points	Mean	Points	Mean
NO	480	8.5	372	90.1
$\rm CH_{3}OOH$	381	481.9	276	104.4
$H_2O_2$	381	431.0	274	128.2
Acetone	30	303.2	89	353.4
CH <sub>3</sub> I	231	0.19	138	0.09
PAN	94	7.0	157	34.1
$CO$ (ppbv)	419	84.3	281	71.7
$O_3$ (ppbv)	472	20.1	333	30.9

**introduced into a chemical environment where the pre**existing  $NO<sub>x</sub>$  concentration is very low, it will produce many more ozone molecules than when the  $NO<sub>x</sub>$  con**centration is very high.** 

We extend the concept of OPE to the peroxides and **acetone as follows. First we run a baseline simulation with the same model conditions as given above. The**  mixing ratios of acetone, CH<sub>3</sub>OOH, and H<sub>2</sub>O<sub>2</sub> were ini**tially fixed at 500 pptv, 600 pptv, and 600 pptv respectively, but allowed to vary during the model run. The altitude was 12 km and the H20 mixing ratio 29 ppmv. We then ran three perturbation simulations, in each of**  which one of the three  $HO_x$  precursors was increased **by 10 pptv. The OPE was defined as the number of additional ozone molecules produced in the perturbed simulation over the baseline, divided by how much of**  the additional 10 pptv of  $HO<sub>x</sub>$  precursor was used up **after 10 days.** 

$$
\text{OPE}(\text{H}_2\text{O}_2) = \frac{[\text{O}_3]_{\text{perturb}} - [\text{O}_3]_{\text{baseline}}}{10 + [\text{H}_2\text{O}_2]_{\text{baseline}} - [\text{H}_2\text{O}_2]_{\text{perturb}}}
$$

**Square brackets refer to mixing ratios in pptv at the end of the 10 day run. Analogous expressions can be written for CHaOOH and acetone. In practice, the OPE's**  converge to constant values after 1-2 days, so that the definition is not very sensitive to the length of the simulations. The H<sub>2</sub>O<sub>2</sub> and CH<sub>3</sub>OOH perturbations are **damped out after several days. Acetone has a longer lifetime, and about half of the initial 10 pptv perturbation is used up after 10 days. The lifetime of ozone in the upper troposphere is quite long. The ozone in**creases associated with the perturbations in the  $HO_x$ **precursor concentrations therefore persists much longer than the perturbations themselves.** 

The OPE's of the three  $HO_x$  precursors were calcu**lated using the method described above for a range of**  fixed  $NO<sub>x</sub>$  mixing ratios. The results are shown in the **bottom panel of Figure 3. All three OPE's increase**  with  $NO_x$  for  $NO_x$  less than 1000 pptv. The main rea-



Figure 3. (top) The fractional loss of each species **over the first five days of the simulations that can be**  attributed to photolysis, plotted versus NO<sub>x</sub>. The bal**ance of the loss is due to OH attack. (middle) The fractional sink of OH and HO2 that can be attributed**  to reaction with CO and NO respectively. Ozone production efficiencies of H<sub>2</sub>O<sub>2</sub>, CH<sub>3</sub>OOH, and acetone versus  $NO<sub>x</sub>$ .

 $\text{OPT}(H_2O_2) = \frac{10 + [H_2O_2]_{\text{baseline}} - [H_2O_2]_{\text{perturb}}}$  tional to the number of times a  $H_2^*$  molecule con-<br>pletes the cycle given by Reactions (1) and (2) before **son for this is that increasing the NO concentration increases HO• recycling. The number of ozone molecules**  produced per  $HO_x$  molecule created is roughly proportional to the number of times a  $HO_x$  molecule com**being converted to some other molecule. Increasing the**  NO concentration increases  $HO_x$  recycling, and ozone **production, by increasing the likelihood that HO2 will react with NO. The fraction of HO2 reacting with NO**  is plotted versus  $NO<sub>x</sub>$  in the middle panel of Figure 3. **This fraction was calculated by taking the rate of Reaction (1) and dividing it by the sum of the rates of all reactions involving HO2. It is less than 10 percent for NOs less than i pptv, but increases to above 95 per**cent for NO<sub>x</sub> larger 1000 pptv. This increase is largely responsible for the increasing OPE's of the three  $HO_x$ precursors in this  $NO<sub>x</sub>$  interval.

> **Figure 3 also shows that the OPE's of acetone and**  and  $H_2O_2$  decrease with  $NO_x$  for  $NO_x$  larger than 1000 **pptv. This is due to the increasing importance of the**   $OH + NO<sub>2</sub>$  nitric acid formation reaction. As a  $HO<sub>x</sub>$ sink, this reaction decreases both  $HO_x$  recycling and **ozone production. The decrease in the relative impor-**

tance of the CO oxidation as an OH sink for NO<sub>r</sub> larger **than 1000 pptv is also shown in Figure 3.** 

In addition to  $HO_x$  production via photolysis, acetone and the peroxides can function as  $HO<sub>x</sub>$  sinks by **being subject to OH attack. The top panel of Fig**ure 3 plots the fractional destruction of the three  $HO_x$ **precursors that occurs via photolysis. For NOr less than 1000 pptv, OH concentrations increase with NOr. This increases the likelihood of OH attack on the three**   $HO<sub>x</sub>$  precursors, decreases the number of  $HO<sub>x</sub>$  precur**sors available for photolysis, and inhibits the growth of the OPE's. The OPE of acetone increases more rapidly**  with  $NO<sub>x</sub>$  than the other two because it is least suscep**tible to OH attack.** 

## **3. Discussion and Conclusions**

The central importance of ozone to tropospheric chem**istry derives from the fact that ozone photolysis is the strongest direct source of OH. Ozone is also an impor**tant greenhouse gas. We have demonstrated that  $HO_x$ **precursors can produce ozone in the upper tropical troposphere, and that the size of this production depends very strongly on the ambient NO concentration. Because of this strong dependence, it will be important to take into account observed correlations between NO**  and the various  $HO_x$  precursors when estimating their **impact on the ozone budget of the tropical troposphere. The anticorrelation between the peroxides and NO seen**  in PEM West B will diminish their impact on ozone, **while the impact of acetone will be increased because it is positively correlated with NO.** 

**There are however a number of questions that remain unresolved. It is unclear to what extent the correlations discussed in this paper apply to other tropical regions and seasons. For example, biomass burning can increase near surface concentrations of both per**oxides and NO. It also likely that the anticorrelations **between the peroxides and NO seen in the upper tropo**sphere during PEM West B were partly driven by in situ **chemistry, and not soley by transport from the boundary layer. OH concentrations usually increase with NO, so that peroxide concentrations in air parcels recently detrained from convective clouds will tend to decrease more rapidly when the NO concentration ishigher. And finally, the OPE estimates given here are probably upper limits. We have also done OPE calculations in**  which the  $NO<sub>x</sub>$  concentration was allowed to float (as opposed to the fixed  $NO_x$  simulations discussed here). In this case, the injection of additional  $HO_x$  precursors decreases the  $NO<sub>x</sub>$  lifetime. This is because higher OH **concentrations increase the rate of conversion of NOr to HNO3. Injecting additional acetone also tends to de**crease NO<sub>x</sub> by increasing PAN formation [Arnold et al., 1997]. Although the NO<sub>x</sub> decreases associated with additional amounts of  $HO_x$  precursors are small, they de**crease the ozone production efficiencies of acetone and the two peroxides by about 50 percent.** 

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