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6-17-2015

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Dan Zheng, Hongyi Li, Yang Shen, Jing Tan, Longbin Ouyang and Xinfu Li. (2016). Crustal and upper mantle structure beneath the northeastern Tibetan Plateau from joint analysis of receiver functions and Rayleigh wave dispersions. Geophysical Journal International, 204(1), 583-590. Available at:<http://gji.oxfordjournals.org/content/204/1/583.short>

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# Crustal and Upper Mantle Structure Beneath the Northeastern Tibetan Plateau from Joint Analysis of Receiver Functions and Rayleigh Wave Dispersions

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# Geophysical Journal International

*Geophys. J. Int.* (2016) **204,** 583–590 doi: 10.1093/gji/ggv469 GJI Seismology

# **Crustal and upper mantle structure beneath the northeastern Tibetan Plateau from joint analysis of receiver functions and Rayleigh wave dispersions**

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Accepted 2015 October 26. Received 2015 October 26; in original form 2015 June 17

### **SUMMARY**

The crustal and upper mantle velocity structure in the northeastern Tibetan Plateau is obtained from joint analysis of receiver functions and Rayleigh wave dispersions. The resulting velocity model reveals a close correlation between the thick  $(>60 \text{ km})$  crust and the presence of an intracrustal low-velocity zone beneath the Qiangtang and Songpan-Ganzi terranes as well as the northwestern Qilian orogen. However, the high  $V_p/V_s$  ratio of the crust is found only beneath the Qiangtang and Songpan-Ganzi terranes. The crustal low velocity zone does not appear in the west Qinling and southeastern Qilian orogens, which have a relatively thin (∼50 km) crust, indicating that crustal channel flow is not the primary mechanism by which the northeastern Tibetan Plateau grows. A continuous low velocity zone from the mid-to-lower crust down to 160 km beneath the eastern Kunlun fault suggests an induced local mantle upwelling after partial detachment of the lithosphere.

**Key words:** Body waves; Surface waves and free oscillations; Seismic tomography; Asia.

#### **1 INTRODUCTION**

The northeastern Tibetan Plateau (NET) is characterized by mountain ranges with a NW–SE strike and surrounded by active thrust and sinistral strike-slip faults—the Altyn Tagh fault, the eastern Kunlun fault, and the Haiyuan fault (Fig. [1\)](#page-3-0)[.](#page-3-1) It is a complex, heterogeneous region that has experienced crustal shortening and thickening as well as magmatism (e.g. Tapponnier *et al*. [2001\)](#page-9-0). North of the Qaidam basin, the Qilian orogen is composed of complicated Early Palaeozoic arcs and has undergone cumulative shortening of ∼360 km, with a shortening rate of 13 mm  $yr^{-1}$  in the northeast direction (Yin & Harrison [2000\)](#page-9-1). To the south, the strike-slip eastern Kunlun fault, which has experienced a late Quaternary slip rate of  $>10$  mm yr<sup>-1</sup> in the west to 2 mm yr<sup>-1</sup> in the east, represents a transition zone from a low-relief and high-altitude plateau in the south to a northern active region (Kirby *et al*. [2007\)](#page-9-2).

A number of models have been proposed to explain the tectonic processes of the Tibetan Plateau. For instance, in the crustal channel flow model, the lower crust of the eastern plateau moves eastward and is diverted into northeast and southeast around the Sichuan basin (Royden *et al*. [1997;](#page-9-3) Clark & Royden [2000\)](#page-8-0). The rigid block model suggests that the subduction is accompanied by extrusion along the left lateral strike-slip faults (Tapponnier *et al*. [2001\)](#page-9-0). Both models have supporting geophysical observations (e.g. Yao *et al*. [2008;](#page-9-4) Li *et al*. [2009;](#page-9-5) Bai *et al*. [2010\)](#page-8-1). A recent study suggests that localized rigid block motion and crustal flow are two reconcilable crustal deformation mechanisms in the eastern Tibetan Plateau, as a combination of the two deformation modes could contribute significantly to the plateau expansion (Liu *et al*. [2014\)](#page-9-6).

In the NET, research results seem to be more controversial. Previous results of surface wave tomography and receiver functions suggest that the crust and mantle structure in the NET is very heterogeneous (e.g. Bao *et al*. [2013;](#page-8-2) Jiang *et al*. [2014;](#page-8-3) Li *et al*. [2014\)](#page-9-7). Magnetotelluric observations found that a finger-like crustal melt penetrates north from the Songpan-Ganzi terrane and weakens the crust beneath the Kunlun Shan (Pape *et al*. [2012\)](#page-9-8). Ambient noise tomography by Li *et al*. [\(2014\)](#page-9-7) showed that the mid-to-lower crustal LVZ thinned out around the eastern Kunlun fault, while Jiang *et al*. [\(2014\)](#page-8-3) observed a weak crust in the Qinling Orogen facilitating the northeastern extrusion of the LVZs. Receiver functions near the Qaidam basin showed a south-dipping velocity discontinuity in the upper mantle, which is interpreted as the top of the subducting Eurasia mantle lithosphere (Kind *et al*. [2002\)](#page-8-4), however, Yue *et al*. [\(2012\)](#page-9-9) observed a slightly northward dipping Moho underneath the Qaidam basin. Moreover, the shear wave splitting observation showed that a one-layer anisotropy model with a fast anisotropic direction trending NW–SE in the western and northern NET, is required to fit the model, but two anisotropic layers in the eastern NET (Li *et al*. [2011\)](#page-9-10). Furthermore, the connections between the LVZ in the crust and upper mantle and the Moho depth and their

<span id="page-3-0"></span>

Figure 1. The stations used in this study. Black triangles represent regional stations operated by the China Earthquake Administration (CEA), blue triangles are stations deployed by the Northeastern Tibet Seismic experiment (NETS), red triangles are stations deployed by A Seismic Collaborative Experiment of Northern Tibet (ASCENT), and red line marks the location of profile AA' shown in Fig. [7.](#page-8-5)

<span id="page-3-1"></span>

**Figure 2.** (a) Epicentres of teleseismic events for receiver functions used in this study. (b) Epicentres of teleseismic events for two-plane waves used in this study. Red dots represent earthquakes, and the blue triangle represents the centre of the array.

implications for local tectonics remain open to debate due to limitations of different datasets and/or methods. In this paper, we combine seismic data from different data sources to reveal the high-resolution crust and mantle structure. Specifically, we obtain the velocity structure from the surface down to 150 km from joint analysis of receiver functions and fundamental-mode Rayleigh wave dispersions.

## **2 DATA AND METHODS**

We use a joint inversion technique that combines three types of datasets (receiver functions, phase velocities of fundamental mode Rayleigh wave measured from ambient noise and teleseismic earthquake arrivals) from 223 seismic stations deployed by a number of groups from 2007 to 2010 (Fig. [1\)](#page-3-0) to image the crustal and upper mantle structure in the NET. Since receiver functions are mostly sensitive to velocity discontinuities and surface wave dispersion

measurements are more sensitive to volumetric velocity variations, such a joint inversion can significantly improve the resolution compared to those obtained using a single type of data.

847 teleseismic earthquakes with magnitude larger than 5.5 are used for *P*-wave receiver functions analysis (Fig. [2a](#page-3-1)). A bandpass filter of 0.05–2 Hz with a Gaussian parameter of 3 is applied. Then receiver functions are calculated with a time-domain iterative deconvolution algorithm (Ligorria & Ammon [1999\)](#page-9-11). In total 21 054 receiver functions at 143 stations are obtained. Finally, the *H–k* stacking method (Zhu & Kanamori [2000\)](#page-9-12) is applied to acquire the Moho depth and  $V_p/V_s$  ratio (Fig. [3\)](#page-4-0).

Vertical ambient noise data recorded at 211 stations from May 2007 to July 2010 are used to extract short- to intermediate-period surface waves. Phase velocity dispersion curves are measured using automatic frequency time analysis (Ritzwoller & Levshin [1998\)](#page-9-13). About 3000 high quality Rayleigh wave phase velocity dispersion

<span id="page-4-0"></span>

**Figure 3.** The checkerboard tests of the teleseismic surface waves.

curves in the period range of 10–40 s are extracted. Checkerboard tests show that the estimated lateral resolution is about 0.5◦.

Following the data processing procedure given by Yang & Forsyth [\(2006a,](#page-9-14)[b\)](#page-9-15), 100 teleseismic events with magnitudes larger than 5.5 and epicentral distances between 30◦ and 120◦ (Fig. [2b](#page-3-1)) are selected for two-plane wave tomography. Then, Rayleigh wave dispersions from 25 to 83 s are extracted. Checkerboard tests show that the lateral resolution with the teleseismic arrivals is about 2◦ except the marginal area (Fig. [3\)](#page-4-0). Rayleigh wave dispersion measurements from ambient noise and two-plane wave methods are then combined to generate Rayleigh wave phase velocity maps from 10 to 83 s.

By simultaneously inverting the Rayleigh wave dispersions and receiver functions with the method of Julia<sup>et al.</sup> [\(2000\)](#page-8-6), we construct a 3-D shear wave velocity model from the surface down to 160 km depth. During the joint inversion, the starting model is a simple half space model with a constant shear wave velocity of 4.6 km s−1, a *V*p/*V*<sup>s</sup> ratio of 1.75, and a density of 3.3 kg m−3. The layer thickness is 1 km from the surface to the depth of 80 and 5 km beneath the depth of 80 km. Thus, we did not define the Moho depth

in the initial model, but rather rely on the joint inversion of receiver functions and surface wave dispersion to determine the Moho independent of the *H–k* stacking. Moreover, for the receiver functions used in the joint inversion, we discard the stations with only a few receiver functions (<4). For example, the joint inversion results for the station D10 are illustrated in Fig. [4.](#page-5-0) As shown in the figure, the Moho discontinuity is clearly identified by the velocity change and the inverted model generally fits the observed data. The crustal thickness obtained from the joint inversion is generally consistent with those obtained from the *H–k* stacking (Fig. S1).

## **3 RESULTS**

The Moho depths estimated from receiver functions are given in Fig.  $5(a)$  $5(a)$ . The crustal thickness shows an inverse relation with topography, reflecting general isostatic compensation of the topography (Fig. S2). The deepest Moho (at ∼65–75 km depth) in this study area is observed beneath the Songpan-Ganzi and Qiangtang terranes. In the Qaidam basin, however, the Moho becomes

<span id="page-5-0"></span>

**Figure 4.** (a) The dispersion curve fitting: black crosses denote observed phase velocities and red lines represent predicted phase velocities. (b) The inverted *S* wave velocity structure for station D10 located at 32.949◦N, 100.727◦E. (c) Receiver function fitting: black and red lines represent observed and predicted receiver functions, respectively. The values to the right of the curves are ray parameters.

shallower to ∼50–55 km depth. The NW Qilian has a relatively deep Moho (∼60–65 km depth), but the Moho interface is at ∼45– 50 km depth in the southeastern Qilian.

velocities are clearly lower than to the south of the eastern Kunlun fault (Fig. [6d](#page-7-0)).

Fig. [5\(](#page-6-0)b) illustrates the variation of the  $V_p/V_s$  ratio of the crust across the NET. The  $V_p/V_s$  ratios are relatively high with an average value of 1.8 in the Qiangtang terrane and west Songpan-Ganzi terrane, where thickened crusts are observed. In contrast, beneath the NW Qilian orogen, which also has a relative thick crust, the  $V_p/V_s$  ratios are much lower, with an average value of 1.73. The detailed crustal thickness,  $V_p/V_s$  ratio and the error of each station are attached in Table S1 of the supplementary file.

Our inverted *S* wave velocity results display prominent velocity heterogeneities in the crust and mantle (Figs [6](#page-7-0) and S3). As shown in Fig. [6\(](#page-7-0)a), from 20 km down to 40 km, the Qaidam basin is clearly delineated with high velocity. The Songpan-Ganzi and Qiangtang terranes, however, show extremely low velocity features. Moreover, we observe that the NW Qilian orogen, which has a deeper Moho and higher elevation, exhibits a lower velocity than the southeastern Qilian orogen. In the lower crust (Fig. [6b](#page-7-0)), the velocity pattern is quite similar to that in the mid-to-lower crust. From 80 to 120 km depth, the Qilian orogen is characterized by high velocity zones, while the eastern Kunlun fault shows continuous low velocity anomaly from the mid-to-lower crust to the upper mantle. Beneath the Songpan-Ganzi and Qiangtang terranes, the upper mantle has alternating high and low velocities (Fig. [6c](#page-7-0)), unlike the widespread distribution of the LVZ in the crust above (Fig. [6a](#page-7-0)). From 120 to 160 km depth, the Qiangtang and Songpan-Ganzi terranes are obviously delineated with high velocities (Fig. [6d](#page-7-0)), but the eastern Kunlun fault (east of 98◦E) still has low velocities, which are also observed by Liang *et al*. [\(2012\)](#page-9-16) using finite frequency traveltime tomography. Moreover, to the north of the eastern Kunlun fault

### **4 DISCUSSIONS**

The NET has experienced complicated crustal deformation during the India and Eurasia continental collision (Yin & Harrison [2000\)](#page-9-1). The  $V_p/V_s$  ratio is associated with the composition of crustal materials. The mafic crustal composition would lead to a high *P*-wave velocity and a high  $V_p/V_s$  ratio in an averaged column (Hacker *et al*. [2000\)](#page-8-7). Mafic crustal rocks usually have a higher Poisson's ratio, hence a higher  $V_p/V_s$  value, than felsic rocks (e.g. Pan & Niu [2011\)](#page-9-17). As the  $V_p/V_s$  increases with a rising fluid fraction, partial melting also has great influences on the  $V_p/V_s$  (Watanabe [1993\)](#page-9-18). We find the LVZ in the crust of both the NW Qilian orogen and the Songpan-Ganzi terranes. However, the  $V_p/V_s$  in the Songpan-Ganzi and Qiangtang terranes is significantly higher than in the Qilian orogen. The distribution of the high  $V_p/V_s$  is generally consistent with the widespread LVZ beneath the Songpan-Ganzi and Qiangtang terranes. The magnetotelluric observations showed that a high conductive layer was observed at 30–40 km depth beneath the northern Tibet, which was considered as a result of partial melting in the crust (Wei *et al*. [2001\)](#page-9-19). The presence of extensive Cenozoic potassic magmatism in the Songpan-Ganzi and Qiangtang terranes indicates that the magma is from the upper mantle (Chung *et al*. [2005\)](#page-8-8). The LVZ and higher  $V_p/V_s$  in the crust of the Songpan-Ganzi and Qiangtang terranes may be associated with a more mafic crust and crustal partial melting.

The isolated crustal LVZ in the NW Qilian orogen generally shows higher velocity (∼4–5 per cent) than that beneath the

<span id="page-6-0"></span>

**Figure 5.** Estimated Moho depth (a) and  $V_p/V_s$  ratios (b) from receiver functions.

Songpan-Ganzi and Qiangtang terranes. We also notice that in the NW Qilian orogen the elevation is ∼400–500 m lower and the Moho depth is ∼5–10 km shallower than in the Songpan-Ganzi and Qiangtang terranes. The NET experienced rapid uplift and active NE–SW shortening during the Late-Cenozoic (Chen *et al*. [2000\)](#page-8-9). The NET could be considered as a growing part of the plateau and the relatively higher velocity in the crustal LVZ in the NW Qilian orogen compared with that of the Songpan-Ganzi and Qiangtang terranes is considered as an indication of an early stage of the LVZ (Bao *et al*. [2013\)](#page-8-2). Based on zircon U-Pb geochronology and geochemistry study in Xiaoliugou located in the central part of NW Qilian orogen, Zhao *et al*. [\(2014\)](#page-9-20) reported that local monzogranite containing radioactive elements may indicate felsic magma in the crust. Therefore, the more felsic crustal composition and possibly less wide-spread presence of crustal melt could explain why the  $V_p/V_s$  in this area is not as high as that in the Songpan-Ganzi and Qiangtang terranes[.](#page-7-0)

If the crust channel flow model is the primary mode of the plateau growth in the NET, it would predict a mid-to-lower crust LVZ beneath the Songpan-Ganzi and Qiangtang terranes as well as the adjacent west Qinling and southeastern Qilian orogens, but not the NW Qilian orogen because of the Qaidam basin. In this study, however, we observe the crustal LVZ in both the NW Qilian orogen and the Songpan-Ganzi and Qiangtang terranes where the Moho is deeper than 60 km. Beneath the west Qinling and southeastern Qilian orogens, which have a relatively thin (∼50 km) crust, we do not observe such a crustal LVZ (Figs [5a](#page-6-0), b and S3a). These observations

indicate that crustal channel flow is not the primary mechanism by which the NET grows.

Previous GPS studies (Zhang *et al*. [2004\)](#page-9-21) suggest that the rate of shortening between the Qinling orogen and the Songpan-Ganzi terrane is 8.7  $\pm$  1.8 mm yr<sup>-1</sup> and the GPS velocity field turns to east sharply around 95◦E to 100◦E near the eastern Kunlun fault. In this paper, the shear wave velocity structure shows an evident shear wave LVZ that appears not only in the mid-to-lower crust, but also in the upper mantle beneath the eastern Kunlun fault. The resistivity model based on magnetotelluric study (Pape *et al*. [2012\)](#page-9-8) shows a highly conductive feature from the Moho to 150 km (Unsworth *et al*. [2004\)](#page-9-22), which corresponds well with the LVZ in the upper mantle of the western Qiangtang and Songpan-Ganzi terranes and the eastern Kunlun fault in our study. At the depth of 40 km, a temperature of more than 1000◦C is found in the mid-to-lower crust of the eastern Kunlun fault, Songpan-Ganzi and Qiangtang terranes (Sun *et al*. [2013\)](#page-9-23). Temperatures greater than adiabatic temperature at 100 km depth, 1300 ◦C, are also observed in the eastern Kunlun fault near 100 ◦E and the western Qiangtang and Songpan-Ganzi terranes near 93 °E, while those in the surrounding areas are between 1100 and 1300 ◦C (An & Shi [2007\)](#page-8-10). In addition, Li *et al*. [\(2008\)](#page-9-24) observed low *P*-wave speed beneath the Songpan-Ganzi, Qiangtang terranes and eastern Kunlun fault at 100–300 km depth. A low Pn velocity belt extending from west to east beneath the Qiangtang and Songpan-Ganzi terranes and then turning southward is reported by Liang  $&$  Song [\(2006\)](#page-9-25), who suggested that the consistency between the surfacial geological boundary and rapid velocity change boundary

<span id="page-7-0"></span>

**Figure 6.** Inverted shear wave velocity from 20 to 40 km (a), from 40 km to Moho (b), from 80 to 120 km (c) and from 120 to 160 km (d).

in the uppermost mantle may reflect a strong crustal and uppermost mantle coupling in deformation. Surface wave tomography by Li *et al*. [\(2013\)](#page-9-26) also found that at 120 km depth there is a striking low-velocity anomaly underneath the turning of the eastern Kunlun fault, and they related it to the partial melting due to local mantle upwelling after partial detachment of the lithosphere.

Studies on the mantle transition zone thickness based on receiver functions (Yue *et al*. [2012\)](#page-9-9) showed tha[t](#page-7-0) beneath the Lhasa terrane, the mantle transition zone has been thickened (∼270 km at 32◦N, 93<sup>◦</sup>E), while it becomes much thinner around the Qiangtang terrane (∼220 km at 34◦N, 93◦E) and the eastern Kunlun fault (∼240 km at 35◦N, 99◦E). They observed a 410-km depression in the northern Tibet and a depressed 660-km discontinuity in the central Tibet, and suggested that the sinking of an oceanic plate in the mantle transition zone may explain the thickness variation of the mantle transition zone. However, depending on the strength and duration of small-scale mantle convection, such a mechanism may or may completely erode and remove the mantle lithosphere above the regions of mantle upwelling. In our study, the continuous LVZs from the crust to as low as  $4.1-4.2 \text{ km s}^{-1}$  in the upper mantle beneath the eastern Kunlun fault, the Songpan-Ganzi and part of the Qiangtang terrane suggest very little remaining mantle lithosphere in those areas (Fig. [7\)](#page-8-5). The scenario is most consistent with the detachment of the lithosphere root that has completely or near completely removed the mantle lithosphere and the induced local mantle upwelling after the delamination of the lithosphere. The thickened crust or lithosphere in the NET could lead to a gravitationally unstable lithosphere, for which detachment/delamination is possible. Once delamination occurs, the induced counter flow would cause mantle upwelling and associate[d](#page-8-5) partial melting. This interpretation is supported by the distribution pattern of Cenozoic granites in northern Tibet which spreads from the centre of the plateau to the surrounding, indicating that the magma may originate from the local deep detachment (Mo *et al*. [2005\)](#page-9-27).

## **5 CONCLUSIONS**

In this study, we show a joint inversion of receiver functions and Rayleigh wave dispersion measurements derived from teleseismic earthquakes and ambient noise data during 2007–2010 recorded by 223 stations. The main conclusions are:

<span id="page-8-5"></span>

**Figure 7.** The shear wave velocity structure along the profile AA' shown in Fig. [1.](#page-3-0) (a) Shear wave velocity in the top 80 km depth, showing mainly crust velocity variations. Inverted blue triangles represent stations. Red dots are the Moho depth from receiver functions. (b) Shear wave velocity in the upper mantle.

(1) A 3-D shear wave velocity model shows that the eastern Kunlun fault and the west Qiangtang and Songpan-Ganzi terranes are featured by a continuous LVZ from the mid-to-lower crust to 160 km. This feature, along with other geological and geophysical evidence, is consistent with the induced localized mantle upwelling after the delamination of the lithosphere, an observation providing new constraints for the deformation mechanism of the crust and upper mantle of the NET.

(2) The presence of a local crustal LVZ in the NW Qilian orogen, which has a relatively thick Moho (>60 km), suggests that the crustal LVZ is more likely related to crust thickening. No crustal LVZ is found under the west Qinling and southeastern Qilian orogens, which are featured with a relatively thin (∼50 km) crust. These observations indicate that crustal channel flow is unlikely the primary mode by which the NET grows.

## **ACKNOWLEDGEMENTS**

We thank the PIs and team members of the NETS and ASCENT experiments for gathering those data in the NET and also the CEA for offering the waveform data. Valuable comments by two anonymous reviewers and the editor are greatly appreciated. This work was funded by National Science Foundation of China under Grant Nos 41174050, 40804007, the U.S. National Science Foundation under Grant No. 0738779, and the Fundamental Research Funds for the Central Universities.

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## **SUPPORTING INFORMATION**

Additional Supporting Information may be found in the online version of this paper:

**Figure S1.** The H-k stacking and the joint inversion results of the three stations: the Songpanganzi terrane (a), Qiangtang terrane (b) and Qilian orogen (c).

**Figure S2.** The crustal thickness generally shows an inverse relation with topography. With the increase of topography, the Moho deepens.

**Figure S3.** Shear wave structure from surface down to 20 km (a), from the Moho down to 80 km (b).

**Table S1.** The crustal thickness, the  $V_p/V_s$  ratio, and the error of each station in this study.

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