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## Antibiotic Resistance Rates for Pseudomonas aeruginosa Clinical Respiratory and Bloodstream Isolates Among the Veterans Affairs Healthcare System from 2009 to 2013

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1	Antibiotic Resistance Rates for <i>Pseudomonas aeruginosa</i> Clinical Respiratory and					
2	Bloodstream Isolates Among the Veterans Affairs Healthcare System from 2009 to 2013					
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#### 1 Abstract

Pseudomonas aeruginosa is a major cause of healthcare-associated infections and resistance 2 3 among isolates is an increasing burden. The study purpose was to describe national resistance 4 rates for clinical P. aeruginosa respiratory and bloodstream cultures and the prevalence of 5 multidrug-resistant (MDR) P. aeruginosa within the Veterans Affairs (VA). MDR was defined as 6 non-susceptibility to at least one drug in at least 3 of the following 5 categories: carbapenems, 7 extended-spectrum cephalosporins, aminoglycosides, and piperacillin/tazobactam. We reviewed 8 24,562 P. aeruginosa respiratory and bloodstream isolates across 126 VA facilities between 2009 9 to 2013. Most isolates were collected from inpatient settings (82%). Resistance was highest in 10 fluoroguinolones (33%) and exceeded 20% for all classes assessed (carbapenems, extended-11 spectrum cephalosporins, aminoglycosides, and piperacillin/tazobactam). Resistance was higher 12 in inpatient settings and in respiratory isolates. Prevalence of MDR was 20% overall (22% for 13 inpatient isolates, 11% outpatient, 21% respiratory, 17% bloodstream). Our findings are 14 consistent with previous surveillance reports

#### 1 Body of the Text

#### 2 Introduction

3 Pseudomonas aeruginosa is a major cause of healthcare-associated infections.(1) P. aeruginosa 4 is a leading cause of severe Gram-negative infections, including pneumonia and bloodstream 5 infections, which are associated with high mortality rates. (2, 3) Antimicrobial resistance and 6 multidrug-resistance (MDR) among *P. aeruginosa* isolates collected from hospitalized patients 7 are increasing and threaten the appropriate treatment of patients with severe infections.(4, 5) P. 8 aeruginosa is also an important cause of community-acquired pneumonia in patients with 9 underlying lung disease, alcoholism and compromised immune function.(6-8) However, 10 surveillance of isolates from the community is less frequent than from healthcare settings and 11 nationwide resistance rates in community setting are less well understood.

12

The Veterans Affairs (VA) is the largest integrated healthcare system in the United States (US), providing care to approximately 9 million Veterans in 140 medical centers and 1200 outpatient clinics. Clinical antimicrobial susceptibility data from VA electronic datasets support a nationwide description of *P. aeruginosa* resistance.(9) The aim of this study was to assess national antibiotic resistance rates for clinical *P. aeruginosa* respiratory and bloodstream cultures, as well as determine the prevalence of MDR *P. aeruginosa* in the VA system.

19

#### 20 Methods

We evaluated antimicrobial susceptibility from all VA hospitals, long-term care units and outpatient facilities in the United States.(9) We included all *P. aeruginosa* blood and respiratory clinical cultures collected between January 1, 2009 to December 31, 2013 from patients aged 18 years or older.

25

1 We defined antibiotic resistance per the CDC Antibiotic Resistance Patient Safety Atlas 2 Phenotype Definitions.(10) We included the first isolate per person, per facility, per month.(10) 3 Antibiotic susceptibility was based on the reported microbiology results of the clinical culture. As 4 microbiology practices and susceptibility breakpoints are not standardized throughout the VA 5 system, we applied the 2014 Clinical Laboratory Standards Institute (CLSI) breakpoints to 6 determine non-susceptibility where numeric minimum inhibitory concentrations (MIC) data were 7 available.(11) Where MIC values were not available, we used the reported textual interpretation 8 (i.e., resistant [R], intermediate [I], or susceptible [S]).)(12) In cases of duplicate (same patient, 9 same isolate, same day), yet conflicting antimicrobial susceptibility results, we included the most 10 resistant result (i.e., R > I > S).(12)

11

12 We grouped individual antibiotic agents into five categories as follows: extended-spectrum 13 cephalosporins (ceftazidime and cefepime); fluoroquinolones (levofloxacin and ciprofloxacin); 14 aminoglycosides (amikacin, gentamicin, and tobramycin); carbapenems (imipenem, meropenem, 15 and doripenem), and piperacillin/tazobactam (piperacillin and piperacillin/tazobactam).(10) 16 Resistance was defined as an isolate that was not susceptible, thus either intermediate or 17 resistant, to at least one drug in that category.(10) Multidrug-resistance (MDR) was defined as 18 non-susceptibility to at least one drug in at least 3 of the 5 categories (extended-spectrum 19 cephalosporins, aminoglycosides, carbapenems, and piperacillin/tazobactam).(10)

20

We presented summary rates of antibiotic resistance for each of the five antibiotic categories assessed and prevalence of MDR among *P. aeruginosa* isolates. Antibiotic resistance for each antibiotic category was calculated as the number of non-susceptible isolates divided by the total number of isolates tested. Prevalence of MDR was calculated as the number of MDR isolates divided by the total number of isolates tested. We presented overall rates of antibiotic resistance

- and MDR over the entire study period, and presented rates by treatment setting, source, and CDC
  region. All analyses will be performed with SAS (SAS, Cary, NC, Version 9.2).
- 3

4 Results

5 We identified 24,562 P. aeruginosa isolates from 126 VA facilities over the 5-year study period; 6 82% were from inpatient settings. Most isolates were obtained from white (72%), male (97%), 7 Veterans 65 years and older (59%). Resistance was highest for fluoroquinolones (33%) and 8 lowest for the piperacillin class (piperacillin/tazobactam and piperacillin, 21%; Table 1). 9 Resistance to carbapenems, extended-spectrum cephalosporins, and aminoglycosides was 24-10 25%. Resistance was higher in inpatient settings (Table 1) and in respiratory isolates (Table 2). 11 Prevalence of MDR was 20% overall (22% and 11% for inpatient and outpatient settings, 12 respectively; and 21% and 17% for respiratory and bloodstream isolates, respectively).

13

Among inpatient cultures, resistance rates were highest in the Pacific region (fluoroquinolones 42%, carbapenems 35%, MDR 30%) and lowest in the Mountain (fluoroquinolones 27%, carbapenems 17%, MDR 14%) and New England regions (fluoroquinolones 27%, piperacillin class 17%, MDR 16%) (Figure 1). Outpatient resistance rates were highest in the Mid-Atlantic region (fluoroquinolones 31%, carbapenems 22%, MDR 21%) and lowest in the New England (fluoroquinolones 20%, carbapenems 10%, MDR 6%) and West South Central regions (fluoroquinolones 17%, carbapenems 11%, MDR 7%) (Figure 2).

21

22 Discussion

Treatment of *P. aeruginosa* infections are challenging due to intrinsic resistance and ability to develop resistance to multiple antimicrobial classes.(13, 14) These features limit treatment options and complicate selection of appropriate initial antibiotic treatment, which can have devastating consequences on patient outcomes.(14, 15) We observed rates of resistance in

excess of 20% for all antimicrobial classes assessed. Our findings are similar to previous surveillance reports, and in some cases, resistance was higher in our study.(4, 5, 13) The most recent study of 7,452 *P. aeruginosa* isolates from 79 US medical centers between 2012 to 2014 demonstrated non-susceptibility of 20% for piperacillin-tazobactam, 18% for meropenem, and 16% for ceftazidime, compared to our findings of 24% resistance for piperacillin-tazobactam and piperacillin, 27% for carbapenem, and 27% for extended-spectrum cephalosporins.(13)

7

8 Prior surveillance data suggests a trend towards stabilized or decreased antimicrobial resistance 9 to several agents among *P. aeruginosa* isolates in the US.(13, 16) Recent data from the VA 10 system has demonstrated this trend in deceased antimicrobial resistance among *P. aeruginosa* 11 isolates.(17) We observed similar resistance rates among bloodstream isolates to those 12 previously reported. We also found higher resistance rates among nosocomial isolates and 13 variations in resistance rates by CDC region.(17)

14

15 Overall, we demonstrated high rates of MDR among *P. aeruginosa* isolates (20%), with higher 16 rates in the inpatient vs. outpatient setting (22% vs. 11% outpatient) and pulmonary vs. blood 17 source (21% vs. 17% blood). National surveillance data from 2000 to 2009, including 205,526 P. 18 aeruginosa isolates from pneumonia and bloodstream infections, demonstrated prevalence rates 19 of MDR among P. aeruginosa isolates similar to our findings (22% for pneumonia; 15% for 20 bloodstream infections).(4) Among bloodstream isolates in a recent VA study, there was a lower 21 rate of MDR than we had observed.(17) Differences in methods used to define MDR likely explain 22 variations in reported MDR rates. While we used the CDC Patient Atlas MDR definitions requiring 23 non-susceptibility to at least one antibiotic in at least 3 different classes, the previous study 24 required resistance to all antibiotics tested in at least 3 different classes.(17)

25

26 Finally, our results from the outpatient setting are noteworthy. None of the antimicrobial classes

1 assessed provided greater than 10% anti-pseudomonal coverage and rates of MDR were 11% 2 nationally (Table 1), exceeding 20% in the Mid Atlantic region (Figure 2). Inappropriate initial 3 empiric antimicrobial treatment is thus an important concern in the treatment of community-onset 4 P. aeruginosa infections. Inappropriate initial empiric antimicrobial treatment is common 5 inpatients with community-acquired P. aeruginosa bloodstream infections and those with 6 pneumonia and it is associated with greater mortality.(18, 19) While combination therapy remains 7 controversial, it may be important approach to minimize inappropriate initial therapy, especially in 8 regions with the highest resistance rates.

9

10 Our findings add to previous work, highlighting antibiotic resistance among *P. aeruginosa* isolates 11 nationally. We demonstrated that resistance to five key and commonly used antimicrobial classes 12 was high despite treatment setting, culture source, and region. Due to the poor outcomes 13 associated with inappropriate treatment of severe P. aeruginosa isolates, facilities should 14 consider developing treatment pathways or policies, which potentially include use of combination 15 therapy and/or newer antimicrobial options, for infections in which MDR organisms are suspected. 16 Additionally, knowledge of specific risk factors for resistant and MDR P. aeruginosa isolates would 17 be important to help clinicians better care for patients with infections due to resistant pathogens, 18 and is an important next step to this work. Finally, antimicrobial stewardship programs are 19 mandated in the acute care setting in the VA, however increased efforts in the outpatient setting 20 are warranted and urgently needed. (20) Increased assistance with antibiotic selection could help 21 to manage these difficult to treat infections due resistant P. aeruginosa isolates and potentially 22 improve patient outcomes.

23

There are limitations to this observational, cross-sectional work. The inclusion of all positive *P. aeruginosa* respiratory and blood cultures enabled us to describe ecological resistance in the VA system, however, we did not distinguish between colonization from true infection. Additionally,

1 there is the potential for misclassification of community-acquired isolates, as we did not assess 2 healthcare contact prior to outpatient culture date. Another limitation is that our definition of 3 resistance was based on non-susceptibility. Therefore, isolates that were intermediate met our 4 definition of resistance, and as such we may have overestimated true resistance. However, our 5 definitions are consistent with those used by the CDC Patient Safety Atlas.(10) There is 6 heterogeneity among microbiology laboratories in the VA system and different testing methods 7 among labs may have impacted our findings. We applied CLSI susceptibility breakpoints where 8 MIC data was available, however MIC data was not available for all isolates. In such cases we 9 had to rely on the interpretation as provided by the testing microbiology laboratory. Finally, the 10 generalizability of the study population and results are limited to the VA, a fully integrated 11 healthcare system consisting of largely older, white male patients.

12

In summary, among nearly 25,000 clinical *P. aeruginosa* respiratory and bloodstream isolates, resistance to five key and commonly used antimicrobial classes (fluoroquinolones, carbapenems, extended-spectrum cephalosporins, aminoglycosides, and piperacillin group) exceeded 20% and 20% of isolates were MDR. Resistance was higher among isolates collected from the inpatient versus outpatient setting and from a respiratory source.

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- 16 Kerry L. LaPlante has received research funding, or acted as an advisor or consultant for
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## 1 Table 1. Antibiotic Resistance Rates for *Pseudomonas aeruginosa* Respiratory and Blood

# 2 Cultures among Veterans Affairs Inpatient and Outpatient Facilities by Treatment Setting

## 3 from 2009 to 2013

	Setting				
Antibiotic Category	Overall	Inpatient	Outpatient		
	33	36	23		
Fluoroquinolones	(23,938)	(19,634)	(4,304)		
	25	27	15		
Carbapenems	(21,176)	(17,424)	(3,752)		
	25	27	15		
Extended-spectrum cephalosporins	(24,068)	(19,758)	(4,310)		
	24	25	21		
Aminoglycosides	(24,514)	(20,094)	(4,420)		
	21	24	10		
Piperacillin/ piperacillin/tazobactam	(21,529)	(17,741)	(3,788)		
	20	22	11		
MDR per CDC definitions	(24,562)	(20,134)	(4,428)		
Total Number of Isolates	24,562	20,134	4,428		

4 CDC= Centers for Disease Control and Prevention; MDR= Multidrug resistant

5 Data are % non-susceptible (number of isolates tested)

6

7 Extended-spectrum cephalosporins category included ceftazidime and cefepime.

8 Fluoroquinolones category included levofloxacin and ciprofloxacin.

9 Aminoglycosides category included amikacin, gentamicin, and tobramycin.

10 Carbapenems category included imipenem, meropenem, and doripenem.

11 Piperacillins included piperacillin and piperacillin/tazobactam.

12 CDC MDR was defined as non-susceptibility to at least one agent in at least three of the

13 following 5 categories: aminoclycosides, carbapenems, extended-spectrum cephalosporins,

14 fluoroquinolones, and piperacillins.

## 1 Table 2. *Pseudomonas aeruginosa* Antibiotic Resistance Rates for Respiratory and Blood

- 2 Cultures among Veterans Affairs Facilities Nationally by Culture Source from 2009 to
- 3 **2013**

	Source			
Antibiotic Category	Overall	Lung	Blood	
	33	34	28	
Fluoroquinolones	(23,938)	(20.493)	(3,445)	
	25	25	20.8	
Carbapenems	(21,176)	(18,089)	(3,087)	
	25	25	21	
Extended-spectrum cephalosporins	(24,068)	(20,594)	(3,474)	
	24	25	18	
Aminoglycosides	(24,514)	(20.988)	(3,526)	
	21	22	18	
Piperacillins	(21,529)	(18,416)	(3,113)	
	20	21	17	
MDR per CDC definitions	(24,562)	(21,031)	(3,531)	
Total Number of Isolates	24,562	21,031	3,531	

4 CDC= Centers for Disease Control and Prevention; MDR= Multidrug resistant

5 Data are % non-susceptible (number of isolates tested)

6

7 Extended-spectrum cephalosporins category included ceftazidime and cefepime.

8 Fluoroquinolones category included levofloxacin and ciprofloxacin.

9 Aminoglycosides category included amikacin, gentamicin, and tobramycin.

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13 following 5 categories: aminoclycosides, carbapenems, extended-spectrum cephalosporins,

14 fluoroquinolones, and piperacillins.

1	Figure 1.	Pseudomonas	aeruginosa	Antibiotic	Resistance	Among	Veterans	Affairs
2	Inpatient Fa	acilities by CDC	Region					

- 3
- 4
- 5
- 6 AMG= Aminoglycosides; CDC= Centers for Disease Control and Prevention; E N Central= East

7 North Central Region; E S Central= East South Central Region; ES Ceph= Extended-spectrum

8 cephalosporin; FQ= Fluoroquinolone; MDR= Multidrug resistant; Mid Atlantic= Middle Atlantic

9 Region; Mountain=Mountain Region; New England= New England Region; Pacific= Pacific

- 10 Region; PIP= Piperacillins; S Atlantic= South Atlantic Region; W N Central= West North Central
- 11 Region; W S Central= West South Central Region
- 12

13 Data are % non-susceptible (total number of isolates tested). Not every antibiotic category tested

- 14 for every isolate tested.
- 15
- 16 Extended-spectrum cephalosporins category included ceftazidime and cefepime.
- 17 Fluoroquinolones category included levofloxacin and ciprofloxacin.
- 18 Aminoglycosides category included amikacin, gentamicin, and tobramycin.
- 19 Carbapenems category included imipenem, meropenem, and doripenem.
- 20 Piperacillins included piperacillin and piperacillin/tazobactam.
- 21 CDC MDR was defined as non-susceptibility to at least one agent in at least three of the
- 22 following 5 categories: aminoclycosides, carbapenems, extended-spectrum cephalosporins,
- 23 fluoroquinolones, and piperacillins.
- 24

1	Figure 2.	Pseudomonas	aeruginosa	Antibiotic	Resistance	Among	Veterans	Affairs
2	Outpatient	Facilities by CDC	C Region					

- 3
- 4
- 5
- 6 AMG= Aminoglycosides; CDC= Centers for Disease Control and Prevention; E N Central= East

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- 22 following 5 categories: aminoclycosides, carbapenems, extended-spectrum cephalosporins,
- 23 fluoroquinolones, and piperacillins.
- 24