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1 **Spatial Distribution, Air-Water Fugacity Ratios and Source Apportionment of**
2 **Polychlorinated Biphenyls in the Lower Great Lakes Basin**

3
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13
14
15
16 **Abstract**

17
18 Polychlorinated biphenyls (PCBs) continue to be contaminants of concern across the
19 Great Lakes. It is unclear whether current concentrations are driven by on-going primary
20 emissions from their original uses, or whether ambient PCBs are dominated by their
21 environmental cycling. Freely dissolved PCBs in air and water were measured using
22 polyethylene passive samplers across Lakes Erie and Ontario during summer and fall,
23 2011 to investigate their spatial distribution, determine and apportion their sources and to
24 asses the air-water exchange gradients. Average gaseous and freely dissolved \sum_{29} PCB
25 concentrations ranged from 5.0 to 160 pg/m^3 and 2.0 to 55 pg/L respectively. Gaseous
26 concentrations were significantly correlated ($R^2 = 0.80$) with the urban area within a 20
27 km radius. Fugacity ratio calculations indicated that the majority of PCBs are volatilizing
28 from the water thus acting as a secondary source for the atmosphere. Dissolved PCBs
29 were probably linked to direct PCB emissions from areas of concern. Positive Matrix
30 Factorization indicated that although volatilized Aroclors (gaseous PCBs) and unaltered

31 Aroclors (dissolved PCBs) dominate in some samples, on-going non-Aroclor sources
32 such as paints/pigments (PCB 11) and coal/wood combustion showed significant
33 contributions across the lower Great Lakes. Accordingly, control strategies should give
34 further attention to PCBs emitted from current use sources.

35

36 **Introduction**

37

38 Polychlorinated biphenyls (PCBs) are a class of persistent, bioaccumulative toxic organic
39 pollutants (PBTs) that were produced as complex mixtures, each containing more than 80
40 different congeners. In USA, mixtures were given the trade name Aroclor.¹ Their
41 production was banned in 1977 due to their hazardous properties.² Nevertheless, PCBs
42 are still detected in environmental samples worldwide.²⁻⁷ PCBs are released to the
43 environment from hazardous waste sites, improper disposal of industrial wastes and
44 consumer products, leaks from old transformers, landfills², as byproducts formed during
45 thermal combustion processes, in wastewater and from pigment manufacture.^{8, 9} These
46 activities occur in urban areas suggesting their importance as significant sources of
47 PCBs.¹⁰ In the atmosphere, volatilization of PCBs from on-going use, contaminated soil
48 and aquatic ecosystems (affected by the above mentioned anthropogenic activities), and
49 incineration processes have become the major sources. To establish effective control
50 strategies, their atmospheric sources need to be understood first. The sampling campaign
51 discussed here was set-up to determine and assess sources of PCBs across the lower
52 Great Lakes.

53

54 The Great Lakes Basin is an example of a freshwater ecosystem heavily influenced by
55 urban activities. PBTs have been widely detected in the Great Lakes ecosystem¹¹⁻²⁰
56 during the last three decades. Previous studies have indicated that urban centers are the

57 major emission sources of atmospheric PCBs at the Great Lakes.^{6, 13, 21, 22} As a
58 consequence, adverse effects were observed in aquatic life²³ and expected to affect
59 humans through the ingestion (fish consumption and drinking water) exposure route.

60
61 Determination of PCB emissions depends on accurate determination of the spatial
62 distribution, and variability of PCB congener concentrations. However, due to the
63 limitations in the conventional sampling technique, only few sampling sites are included
64 even in large sampling campaigns like the Integrated Atmospheric Deposition Network
65 (IADN) binational project.²⁴ Accordingly, it is difficult to accurately measure the spatial
66 distribution of PBT and the available datasets not enough to support current modeling
67 and source apportionment methods.

68
69 To overcome these challenges, low density polyethylene (LDPE) passive samplers have
70 proven to be an effective, simple and inexpensive tool for monitoring gaseous and truly
71 dissolved PBTs.²⁵⁻²⁸ As detailed elsewhere we utilized LDPE to investigate the spatial
72 distribution, sources and air-water exchange of organic contaminant across the lower
73 Great Lakes, including polycyclic aromatic hydrocarbons²⁷ (PAHs) and organochlorine
74 pesticides²⁸.

75
76 Air quality management requires accurate source identification of PBTs. Due to the lack
77 of PCB source profiles, apportionment of PCB sources using chemical mass balance
78 models will not be easily applicable. In contrast, receptor models can determine
79 contributions from all sources based on concentration measurements.²⁹ Although Factor
80 Analysis and Positive Matrix Factorization (PMF) have been used successfully to identify
81 the sources of PAHs, (see Khairy and Lohmann³⁰ and references therein), source

82 apportionment of PCBs has been scarce.^{3, 4, 29, 31} Here, we make use of the PCBs
83 determined from passive samplers to assess sources of PCBs to air and water of the lower
84 Great Lakes.

85
86 Although the temporal trends of atmospheric PCBs have been extensively investigated in
87 the Great Lakes, limited information is available about the spatial distribution of
88 atmospheric PCBs and the spatial and temporal trends of freely dissolved PCBs with a
89 high geospatial resolution within each of the lower Great Lakes. One recent study
90 investigated the spatial distribution of flame retardants and legacy pollutants in the Great
91 Lakes water.²⁰ However, southern parts of Lake Erie and Lake Ontario along the US
92 coast, which are highly influenced by urban activities, were not included.

93
94 In the current study, LDPEs were deployed in the air and water at Lake Ontario and Lake
95 Erie during three major sampling campaigns in 2011 (April–June; June–August;
96 August–October). The aims were to (i) investigate the spatial and temporal trends of the
97 gaseous and freely dissolved PCBs (ii) examine the influence of the meteorological
98 parameters and land use patterns on their spatial and temporal variations, (iii) apportion
99 the sources of PCBs, and (iv) calculate temporally and spatially resolved air-water
100 gaseous exchange fluxes of PCBs.

101 102 **Material and Methods**

103
104 Detailed description of the deployments of LDPE in air and water, meteorological data
105 used, the chemical analysis methodologies and preparation of the LDPE passive samplers
106 can be found elsewhere.²⁸ Details on the calculations of the gaseous and freely dissolved

107 concentrations of PCBs based on LDPE, quality assurance, multiple linear regression,
108 fugacity ratios calculations and positive matrix factorization are given in the Supporting
109 Information; a brief description is given below.

110
111 Samplers were deployed in air and water by volunteers during three different sampling
112 campaigns (Table S1) at 13 different locations along the southern coasts of Lakes Ontario
113 and Erie and 7 on-lake locations (Supplementary Figure S1). Water and air passive
114 samplers were placed in the same location whenever possible. Deployed air and water
115 samplers at Niagara, Fairport and Dunkirk were less than 500 meters apart, whereas
116 samplers at Cleveland and Gibraltar were 1-2 km apart from each other. Atmospheric
117 samplers were deployed in two inverted bowls (acting as a shelter from direct sun light
118 and precipitation) ~1–2 m above the ground and/or water surface, whereas water
119 samplers were deployed in water ~1 m below the surface. A total of 45 air samplers
120 (including duplicates) and 48 water samples were collected in the current study. 10 Air
121 samplers and 15 water samplers were either lost during deployment or not deployed by
122 the volunteers (Table S1).

123
124 After processing of the collected LDPE samplers²⁸, 29 PCB congeners (PCB 8, 11, 18,
125 28, 44, 52, 66, 77, 81, 101, 105, 114, 118, 123, 126, 128, 138, 153, 156, 157, 167, 169,
126 170, 180, 187, 189, 195, 206, 209) were analyzed using an Agilent GC 6890N with a DB-
127 5 MS fused silica capillary column (30 m × 0.25 mm i.d., 0.25 μm film thickness, J&W
128 Scientific) equipped with a Quattro micro GC tandem MS (Waters) according to the
129 method described in Khairy et al.³².

130

131 Procedural blanks, field blanks, matrix spikes, and duplicate samples were included in the
132 analysis. Most of the investigated PCBs were detected at very low concentrations in the
133 procedural and field blanks (Supplementary Table S2). Accordingly, samples were blank
134 corrected for PCBs. Limits of detection (LODs) were calculated as the mean plus three
135 standard deviations of the detected PCBs in the field blanks. LOD ranged from 0.07
136 pg/m^3 (PCB 189) to 21 pg/m^3 (PCB 8) in the air samples and 0.020 pg/l to 3.0 pg/l in the
137 water samples. Recoveries of the surrogate standards were $77.0 \pm 21.0 \%$ for $^{13}\text{C}_{12}$ -PCB
138 8, $82.0 \pm 19.0 \%$ for $^{13}\text{C}_{12}$ -PCB 28, $83.0 \pm 18.0 \%$ for $^{13}\text{C}_{12}$ -PCB 52, $84.0 \pm 14.0 \%$ for
139 $^{13}\text{C}_{12}$ -PCB 118, $85.0 \pm 14.0 \%$ for $^{13}\text{C}_{12}$ -PCB 138, $82.0 \pm 20.0 \%$ for $^{13}\text{C}_{12}$ -PCB 180 and
140 $86.0 \pm 16.0 \%$ for $^{13}\text{C}_{12}$ -PCB 209. Recoveries of PCBs in the matrix spikes (94–102 %)
141 are given in Supplementary Table S2.

142
143 **Multiple Linear Regression (MLR).** A MLR was performed stepwise using SPSS
144 (V20) to investigate the influence of land use patterns and meteorology on the spatial
145 distribution of atmospheric and freely dissolved PCBs. MLR was not performed for
146 PCBs with detection frequency < 50 % of the total number of samples (PCB 126, 128,
147 156, 157, 167, 169, 170, 189, 195 in the air samples and PCB 189 in the water samples).
148 Land use data for North America (NALC, 2005 Anderson Level II) was obtained from
149 the National Park Service Database maintained by the United States
150 (<http://science.nature.nps.gov/im/monitor/npscape>; see Supplementary data for more
151 details).

152
153
154

155 **Fugacity Ratios of PCBs.** Water fugacity (f_w) and air fugacity (f_a) were calculated
156 according to the following equations³³:

157
158
$$f_w = C_{iw} \cdot H \quad (1)$$

159
160
$$f_a = C_{ia} \cdot R \cdot T_a \quad (2)$$

161
162 where C_{iw} and C_{ia} are the freely dissolved and gaseous phase concentrations (pg/m^3) in
163 water and air, respectively, R is the gas constant ($\text{J mol}^{-1} \text{K}^{-1}$), T_a is the atmospheric
164 temperature (Kelvin), and H is Henry's law constant. H were calculated from the air-
165 water partitioning coefficients ($K_{AW} = H/RT$). The internally consistent, finally adjusted
166 K_{AW} values of Schenker et al.³⁴, which are recommended as the best available values³⁵
167 were used in the current study. Missing K_{AW} values for PCB congeners that were not
168 included in Schenker's study were obtained by correlating the available values against
169 those obtained from Mackay et al.³⁶

170
171 A propagated error analysis was used to assess uncertainties in calculated fluxes (see
172 Supporting Information for more details) Uncertainties ranged from 38 % (PCB 138) to
173 56 % (PCB 11).

174
175 **Positive Matrix Factorization (PMF).** To determine the sources of PCBs in the lower
176 Great Lakes, the newest version of the U.S. EPA positive matrix factorization (V5.0) was
177 used (see Supplementary data for more information on the model). PMF is a receptor
178 model used for quantifying the contribution of sources to samples based on the composition or
179 fingerprints of the sources assuming that a measured dataset conforms to a mass-balance of
180 a number of constant source profiles contributing varying concentrations over the time of
181 dataset.

182 **Preparation of the Data.** Measured concentrations of PCB congeners were entered
183 separately for each deployment period at each site. PCB congeners that were below the
184 limit of detection in > 50 % of the samples were excluded (see the MLR section above).
185 Concentration values that were below the LOD were set to LOD/2. The uncertainty was
186 calculated for each data point according to Aydin et al.²⁹, and entered into the model.

187
188 **Diagnostic Tools for the PMF Model.** To determine the optimal number of sources, two
189 major rules were applied: First, the number of factors that provide clear, physically
190 meaningful results while reducing matrix dimensionality as much as possible was
191 selected. Second, the number of factors that caused the theoretical Q value to be equal to
192 the true Q value (calculated by the model) was selected. To determine the PCB congeners
193 that can be used for source profiles, the coefficient of determination (R^2) measuring the
194 goodness of fit between the measured and modeled concentrations was used.

195
196 For gaseous PCBs, the initial matrix was composed of 38 samples x 20 species, whereas
197 for the freely dissolved PCBs, the matrix contained 26 samples x 29 species. Calculated
198 R^2 values for the gaseous PCB were all greater than 0.80 (Table S3) except for PCB 66
199 and 81. Accordingly, these two congeners were down-weighted to weak. In the water
200 samples, PCB 123, 114 and 195 were down weighted to weak (Table S4) and PCB 169,
201 206 and 209 to bad ($R^2 < 0.40$). Thus, the last three congeners (bad) were excluded and
202 the matrix was composed of 26 samples x 26 species.

203
204 **Identification of Resolved Factors.** To identify the resolved factors, spatial variation of
205 the factor scores, comparison of congener patterns with known sources, and presence of
206 the non-Aroclor congener PCB 11 were considered. The cosine theta similarity metric,

207 which is a measure of similarities between two vectors by measuring cosine the angle
208 between them, was used for the congener pattern matching.⁴ Profiles of unaltered Aroclor
209 mixtures (1221, 1232, 1016, 1242, 1248, 1254, 1260 and 1262) were obtained from
210 Frame et al.¹. Profile of Aroclor 1268 was obtained from Wyrzykowska et al.³⁷. Profiles
211 of PCBs in different combustion sources, municipal solid waste incineration, cement
212 plant emissions (35 PCB congeners measured), coke oven, landfill sites and electric arc
213 furnaces were all obtained from Ishikawa et al.³⁸. Profiles of PCBs emitted from wood
214 and coal combustion (48 congeners) were obtained from Lee et al.³⁹ and Conolly⁴⁰ (23 –
215 58 measured PCB congeners). Profiles were rescaled to match the congeners measured in
216 the current study. Profiles of volatilized Aroclor mixtures were also included considering
217 the phase- out of PCB production long time ago. Volatilized Aroclor mixtures were
218 obtained by multiplying the concentration of each congener in the mixture by its
219 corresponding subcooled liquid vapour pressure obtained from Schenker et al.³⁴. Missing
220 values were obtained by regressing available values from Schenker et al.³⁴ against those
221 from Falconer and Bidleman.⁴¹. Activity coefficients were set to 1.0 as proposed by
222 Schwarzenbach et al.⁴².

223

224 **Results and Discussion**

225

226 **Atmospheric Concentrations of PCBs.** Average gaseous \sum_{29} PCB concentrations of all
227 deployment periods per site ranged from 5.0-160 pg/m³ (Figure 1a). Concentrations of
228 PCBs were significantly greater (Mann–Whitney rank sum test, $p < 0.05$) for Lake Erie
229 (5.0–160 pg/m³) compared to Lake Ontario (13–46 pg/m³). This difference is attributed
230 to the higher detected gaseous PCB concentrations at the urban and rural sites. PCB
231 concentrations at the urban sites on Lake Erie (Buffalo and Cleveland; average: 122

232 pg/m^3) were significantly higher (Mann–Whitney rank sum test, $p = 0.001$) than
233 concentrations at Lake Ontario’s urban sites (Oswego and Rochester; average: 31 pg/m^3).
234 Average PCB concentration (28 pg/m^3) at the rural sites on Lake Erie (ERI at the
235 southern coast and Gibraltar at the southwestern part) was 2 folds higher than PCB
236 concentration (13 pg/m^3) at Cape Vincent (rural site on Lake Ontario). All the other
237 suburban and offshore sites showed comparable concentrations in both lakes.

238
239 The highest detected gaseous PCB concentrations were observed at Cleveland on the
240 southern coast of Lake Erie (Figure 1a) which was 2.0-35 folds higher than
241 concentrations observed at all other locations. Elevated gaseous PCB concentrations were
242 also observed at other urban sites, including Toledo, Buffalo (Lake Erie) and Rochester
243 (Lake Ontario) (Figure 1a). Gaseous PCB concentrations observed in the Lower Great
244 Lakes during each deployment period were comparable with no statistical significant
245 difference (ANOVA, $p = 0.079$) probably due to the long deployment periods (2 months
246 each) of the LDPE and the minor variations in the calculated average ambient
247 temperatures for each deployment period. (See Supplementary data and Table S5 and
248 Figure S2 for more details on the spatial distribution of gaseous PCBs and comparison
249 with gaseous concentrations previously detected in the region).

250
251 **Freely Dissolved Concentrations of PCBs.** \sum_{29} PCB concentrations (average of all
252 deployments at each site) ranged from 2.0 - 55 pg/L (Table S5). The maximum detected
253 PCB concentration was observed at Toledo at the western part of Lake Erie. Relatively
254 high PCB concentrations (23-37 pg/L) were also observed at the southern (Sheffield,
255 Cleveland, Fairport, Erie and Dunkirk) and eastern (Buffalo) coasts of Lake Erie and at

256 the southern coast of Lake Ontario (Niagara and Oswego) (Figure 1b). No statistical
257 significance difference was observed between measured freely dissolved PCB
258 concentrations in both lakes. Similar to atmospheric PCBs, no statistical significant
259 difference (ANOVA, $p = 0.772$) was observed when detected concentrations of freely
260 dissolved PCBs during each deployment period were compared. (See Supplementary data
261 and Figure S3 for more details on the spatial distribution of freely dissolved PCBs and
262 comparison with previously reported concentrations).

263
264 **Correlations of Atmospheric and Freely Dissolved Concentrations.** Multiple Linear
265 Regression was performed separately for the gaseous and freely dissolved PCBs. Σ_{29}
266 PCBs in the gas-phase were significantly correlated with the urban area within a 20 km
267 radius of each sampling site, which explained 80 % of the total variability in gaseous
268 concentrations (Table S6). This indicates that urban activities continue to be the major
269 source of PCBs to the atmosphere across the lower Great Lakes. As for PCB congeners,
270 the strength of the correlation between urban area and concentration varied as we
271 changed the radius used to characterize urban area at the site (Table S6), which was
272 previously observed by other researchers.⁴³⁻⁴⁵ As shown in Figure (S4), di-, tri- and tetra-
273 chlorinated biphenyls were released from longer range sources (15-25 km radius),
274 possibly due to the higher volatility of these lower chlorinated biphenyls. In contrast,
275 penta- (except PCB 105), hexa-, hepta-, nona- and deca-chlorinated biphenyls were
276 released from more local sources (3-5 km radius) owing to their lower volatility.

277
278 No significant correlations were observed for the majority of the freely dissolved PCB
279 congeners (Table S7). For congeners that showed significant correlations with urban area,

280 less than 50 % of the total variability in concentrations was explained by this relation, and
281 the standard error value for the regression parameter was high (Table S7). Clearly, PCBs
282 in air and water stem from different sources. This likely implies that knowing
283 atmospheric concentrations and sources of PCBs is not sufficient to understand or predict
284 concentrations in the lakes.

285
286 The observed spatial distribution pattern could be related to loadings from the numerous
287 areas of concern (Supplementary Figure S5) such as the Maumee River, Black River,
288 Cuyahoga River, Ashtabula River, Buffalo River, Hamilton Harbour and Niagara River
289 (all of which are contaminated with PCBs)⁴⁶⁻⁵⁰ and the water circulation patterns. Basin-
290 wide average concentrations of freely dissolved PCBs (Supplementary Figure S6) in
291 Lake Erie indicated no specific trends and that comparable PCB concentrations were
292 observed at the western, central and eastern basins. This implies that similar PCB
293 loadings occur at the three basins of Lake Erie, or that circulation and inventory outweigh
294 point sources.

295
296 Accordingly, PCBs are expected to be discharged with loadings from areas of concern
297 occurring at the three basins, which may explain the comparable basin-wide average
298 concentrations of PCBs, and the elevated PCB concentrations observed at the sampling
299 sites affected by loadings of AOCs (Toledo, Sheffield, Cleveland, Fairport and Buffalo).
300 Similarly, elevated concentrations of PCBs at Niagara and Oswego could be attributed to
301 loadings from the Niagara and Oswego Rivers at the southern coast of Lake Ontario.^{51, 52}
302 Niagara could also be influenced by the urban activities occurring at Toronto and
303 Hamilton given the counterclockwise circulation of water at the western part of Lake

304 Ontario. Sediment resuspension and atmospheric deposition could act as secondary
305 sources contributing to the enrichment of the water with PCBs.

306
307 **Water Quality Concerns.** To assess the water quality in both lakes, concentrations of
308 PCBs were compared with different water quality standards. Freely dissolved PCB
309 concentrations from the current study were below the NYSDEC water consumption
310 standard (90 ng/l), and below the U.S. EPA National recommended water quality criteria
311 for the protection of human health from the consumption of fish and water (64 pg/l)⁵⁴
312 which is based on 10^{-6} cancer risk criterion. Yet freely dissolved PCB concentrations
313 were much greater than the NYSDEC standards for the consumption of water and fish
314 (1.0 pg/l)⁵³, which were previously applied by Marvin et al.⁴⁹ for monitoring water
315 quality in the lower Great Lakes. Accordingly, PCBs could be considered contaminants
316 of concern in Lake Erie and Lake Ontario although a decline in the freely dissolved PCB
317 concentrations (compared to previous measurements) was observed in the current study.
318 However, difference in the analytical methodologies and measured concentrations (freely
319 dissolved in the current study versus whole concentration in the guidelines) should be
320 considered when this comparison is used.

321
322 **Source Factors of PCBs.** Seven sources were identified for each of the gaseous and
323 freely dissolved PCBs (Figure 2). The relationship between the measured and modeled
324 concentrations for the gaseous and freely dissolved PCBs was significant ($p < 0.001$) and
325 the slope of the regression line was close to unity in the majority of the congeners
326 indicating a close agreement (Supplementary Tables S2 and S3).

327

328 For gaseous PCBs, the first factor (Figure 2a) was responsible for 20 % of the mass of
329 PCBs with high loadings on PCB 11 (66 % of the species) and PCB 28 (18 %). PCB 11 is
330 a non-Aroclor congener and is present in paints/pigments.⁵⁵ Accordingly, we selected
331 factor 1 to represent volatilization from paints (painted surfaces and/or wastewater
332 effluents containing paints). PCB 11 represented on average 16 % of the total
333 concentrations of atmospheric PCBs in the current study, which is in good agreement
334 with the output obtained from the PMF model (20 %). However, the PMF model could
335 have overestimated the contribution of PCB 11 as only 29 out of 209 PCB congeners
336 were measured in the current study, biasing it towards PCB 11 and paint/pigments as
337 sources of PCBs. To investigate this, total PCB concentrations were calculated according
338 to the German DIN Norm 12766-2⁵⁶. If the total PCB concentration is used, average
339 contribution of PCB 11 decreases from 16 % (using the congeners measured in the
340 current study) to 8.0 % indicating that this source could be overestimated by as much as a
341 factor of 2.0.

342
343 The second factor (Figure 2b) was responsible for 11 % of PCB mass. This factor showed
344 the highest similarity with the profiles of domestic wood combustion^{39, 40} ($\text{Cos } \theta = 0.92 -$
345 0.93) and coal combustion⁴⁰ ($\text{Cos } \theta = 0.91 - 0.92$) and thus this factor probably
346 represents coal/wood combustion.^{39, 40} In the eight states surrounding the five Great
347 Lakes, there are more than 144 coal-fired power plants⁵⁷ and domestic burning of wood is
348 a common activity in North America especially in more rural areas.⁵⁸

349

350 Factor 3 (Figure 2c) explained 6.0 % of the variability in the dataset; it showed the
351 highest similarity with volatilized Aroclor 1248¹ (Cos θ = 0.92). Aroclor 1248 comprised
352 7.0 % of US Aroclor production between 1957 and 1977.⁵⁹

353
354 Factor 4 was heavily loaded on PCB 123 and the lower chlorinated PCBs (Figure 2d).
355 This factor identified 22 % of the total mass of PCB and had the highest similarity with
356 unaltered Aroclor 1242¹ (Cos θ = 0.91) and Aroclor 1016¹ (Cos θ = 0.90). Aroclor 1016
357 is so similar in the congener pattern to Aroclor 1242⁴ and accordingly, this factor may
358 represent contribution from unaltered lower chlorinated mixtures. Aroclor 1242
359 comprised 51 % of US Aroclor production between 1957 and 1977⁵⁹ and was commonly
360 used in paper industries.⁶⁰ This factor had also some loading on PCB 11, which may
361 indicate that this factor is associated with treated wastewater or stormwater input into the
362 area.

363
364 Factor 5 (Figure 2e) was similar to volatilized Aroclor 1260¹ (Cos θ = 0.91), and
365 explained 12 % of the total variability in the dataset. Aroclor 1260 represented 11% of
366 US production of PCBs⁵⁹ and its use was limited to transformers, hydraulic fluids, as a
367 plasticizer in synthetic resins, and dedusting agents.⁴

368 Factor 6 (Figure 2f) identified 25 % of the total variability in the data. Its profile is
369 similar to volatilized Aroclor 1254¹ (Cos θ = 0.89). This technical mixture represented
370 16% of U.S. PCB production of Aroclors and was used in the widest variety of
371 applications.⁵⁹

372
373 Factor 7 explained 4.0 % of the variability in the gaseous concentrations and was heavily
374 loaded on the higher chlorinated PCBs (Figure 2g) and moderately loaded on PCB 18, 28,

375 77 and 101. The profile of this source factor did not show any similarity with the
376 investigated source profiles from literature and thus we assume that this factor either
377 represents volatilization of several Aroclor mixtures (including 1268) or a signature of
378 particulate PCBs. This factor was named “other”.

379
380 We indicated that the PMF model in the current study may have overestimated the
381 contribution from the paint/pigment source by a factor of two. This implies that the
382 contributions of each of the other extracted sources may have been underestimated by a
383 factor up to a factor of two, but likely less for the dominant sources. For sources other
384 paints/pigments, the congeners measured in this study typically accounted for 30-40% of
385 total PCBs.

386
387 PMF source profiles of the freely dissolved PCBs are shown in Figure 2h-n. The first
388 factor (Figure 2h) explained 15 % of the total PCBs and showed high loadings on PCB 11
389 (64 % of the species), 81, 105 and 157 and moderately loaded on PCB 128, 138, 153 and
390 153. PCB 11 is known to be a non-Aroclor congener. Du et al.⁶¹ indicated that PCB 11 is
391 produced as a by-product from the manufacture of the yellow pigment which is used in
392 ink, paint and textile printing. They used this congener as a tracer of contamination from
393 wastewater/stormwater in Delaware River. Similarly, Rodenburg et al.⁶² indicated that
394 concentrations of PCB 11 in NY/NJ Harbour varied as a function of the flow of
395 wastewater effluents. Accordingly, the factor was selected to represent
396 wastewater/stormwater inputs. PCB 11 represented on average 8.0 % of the \sum_{29} freely
397 dissolved PCBs in the Lower Great Lakes, which may indicate that the PMF model
398 overestimated the contribution from this source possibly due to the contributions from the

399 other PCB congeners. Additionally, the limited number of congeners measured in the
400 current study could result in overestimating this source by up to a factor of 3.0 if all PCB
401 congeners had been measured.

402
403 The second factor (Figure 2i) explained 13 % of the total variability in the data and was
404 heavily loaded on PCB 28, 81, 156 and 157 and moderately loaded on PCB 8, 18, 52, 44,
405 66, 118 and 128. This pattern was close to the profile of PCBs in wood/coal combustion
406 ($\text{Cos } \theta = 0.93$). The similarity is based only on the resolved PCB congeners in this
407 profile, which represented 16-27 % by weight of the total PCB congeners reported for
408 this source.

409
410 The other five resolved factors (Figure 2j-n) represented unaltered Aroclor 1260 (Figure
411 2j; $\text{Cos } \theta = 0.90$), unaltered Aroclor 1242 (Figure 2k; $\text{Cos } \theta = 0.96$), volatilized Aroclor
412 1260 (Figure 2l; $\text{Cos } \theta = 0.91$), volatilized Aroclor 1254 (Figure 2m; $\text{Cos } \theta = 0.89$) and
413 unaltered Aroclor 1254 (Figure 2n; $\text{Cos } \theta = 0.89$) comprising 15 %, 12 %, 11%, 16 %
414 and 18 % respectively of the total mass of PCBs in the dataset.

415
416 As for the gaseous PCBs, we assume that the limited number of the freely dissolved PCB
417 congeners measured in the current study together with the overestimated contribution of
418 the wastewater/stormwater source have resulted in an underestimated contributions for
419 each of the other extracted sources by a factor < 3.0 .

420
421 **Source Apportionment of PCBs across the Lower Great Lakes.** Average normalized
422 contributions of the PMF source factors for the three deployment periods are shown in
423 Figure 3. For simplicity, all unaltered Aroclor mixtures were summed together and

424 presented as one figure each for the gaseous (Figures 3c) and freely dissolved PCBs
425 (Figure 3g). Volatilized Aroclors were treated in the same manner (Figure 3d, h). The
426 source representing volatilization from paints/pigments is presented as normalized
427 concentrations of PCB 11. For gaseous PCBs, volatilized Aroclors (mainly Aroclor 1254)
428 were the major contributors in all the locations at Lake Ontario (Figure 3d) comprising 59
429 – 79 % of the total gaseous PCB concentrations. Coal/wood combustion (Figure 3b)
430 showed significant contribution at Cape Vincent, Oswego and Niagara (10 – 20 % of the
431 total gaseous PCB concentrations), which are influenced by emissions from the coal-fired
432 power plants close to these sampling locations (Figure S7), and the prevailing
433 southwesterly wind. Volatilization from paints/pigments source factor showed significant
434 contributions at all the sites on the southern and northern coasts of Lake Erie (12-34 %)
435 and at Niagara on Lake Ontario (15 %). Unaltered Aroclor 1242 showed significant
436 contribution only at the urban site Oswego.

437
438 Lake-wide average percent contributions at Lake Erie indicated that volatilized Aroclors
439 were the major contributors to the total gaseous PCB concentrations comprising 44 % of
440 the total concentration followed by, Aroclor 1242 (28 %), coal/wood combustion and
441 volatilization from paints/pigments (17 % each). This supports the observation made
442 above that urbanization, which is linked to volatilization of PCBs from current and
443 former use, is the main source of PCBs.

444
445 However, different patterns were observed at the sampling sites (Figure 3a-d). Volatilized
446 Aroclors (mainly 1254 and 1260) dominated (72 – 100 % of the total PCB concentration)
447 at Buffalo and ERI-2 (onlake site at the northern part). Comparable contributions from

448 the four sources (paints/pigments, coal/wood combustion, Aroclor 1242 and volatilized
449 Aroclors) were observed at Dunkirk, Fairport, Sheffield and Toledo comprising 15 - 25
450 %, 13 - 19 %, 22 - 32 % and 21 - 36 % respectively of the total gaseous PCB
451 concentrations. A similar pattern was observed at Gibraltar at the southwestern part of
452 Lake Erie except that paints/pigments source showed only a minor contribution (5.0 %)
453 of the total PCB concentration. At Erie and ERI-3 (onlake site on the northwestern part of
454 Lake Erie), volatilized Aroclors and paints/pigments sources comprised 84 - 100 % of
455 the total gaseous PCB concentrations. Additionally, volatilization from paints/pigments
456 was the main source of gaseous PCBs at the on-lake site ERI-2. This supports the results
457 from the PMF, as the open lake sites will receive PCB from long-range transport only.

458
459 The two sites at Cleveland showed a different pattern, where Aroclor 1242 was the major
460 source comprising 36 - 62 % of the total concentrations. (Figure 3c). All the other
461 sources showed comparable contributions (Figure 3a,b,d).

462
463 Output of the PMF model for the freely dissolved PCBs indicated that volatilized
464 Aroclors (mainly 1260) and unaltered Aroclors (mainly 1254) were the major sources of
465 freely dissolved PCBs at all the sites on Lake Ontario and at Buffalo on Lake Erie (Figure
466 3g-h) comprising 71 - 81 % of the total PCBs. This shows the importance of direct
467 emissions of PCBs into Lake Erie, likely from the areas of concern. Particularly at
468 Grimsby (Ontario) and Buffalo, contributions from unaltered Aroclors (53 - 60 %) were
469 ~ 2 - 3 folds higher than volatilized Aroclors (18 - 27 %). PCBs associated with
470 coal/wood combustion and volatilized Aroclors were the major sources at Cleveland, and
471 Toledo on Lake Erie comprising 50 - 68 % of the total freely dissolved PCB

472 concentrations (Figure 3e-f). These results are in-line with expectations due to the heavy
473 industrialization and urbanization for these sampling locations. At the onlake sites (ERI-4
474 and ERI-6), coal/wood combustion was the dominant source comprising 42 – 45 % of the
475 total freely dissolved PCB concentrations followed by comparable contributions from the
476 other three sources. This likely represents atmospheric deposition of (particle-bound)
477 PCBs. Similar to gaseous PCBs, comparable contributions from all the sources were
478 observed at Fairport and Dunkirk on Lake Eire (Figure 3e-h). At Erie, 57 % of the freely
479 dissolved originate from unaltered Aroclors, and comparable contributions from the other
480 three sources were observed.

481
482 Based on the spatial distribution of the source contributions for gaseous and freely
483 dissolved PCBs, we can easily observe that sources related to urban activities
484 (combustion, wastewater and unaltered Aroclors) showed higher contributions at Lake
485 Erie compared to Lake Ontario especially at the southern and southwestern coasts (Figure
486 3a-h). The relatively significant contribution from wastewater/stormwater in the freely
487 dissolved PCBs at the southern part of Lake Erie could be attributed to effluents
488 discharged from areas of concern close to those sites and the existence of several
489 wastewater treatment plants.²⁷ In Contrast, volatilized Aroclors showed higher
490 contributions at Lake Ontario. This implies that there is a still ongoing significant
491 influence of urban activities on the levels of PCBs in Lake Erie. Additionally, significant
492 contributions from non-Aroclor sources were observed at the majority of the sites
493 indicating that contamination with PCBs in the lower Great Lakes is shifting from the
494 legacy Aroclor-like signature to the current use (non-Aroclor) sources.

495

496 **Gaseous Exchange of PCBs.** Water-air fugacity ratios (f_w/f_a) are presented in
497 Supplementary Figure S8. Calculated fugacity ratios were outside the uncertainty range
498 for the majority of the samples indicating a non-equilibrium situation (either
499 volatilization or deposition) between air and water concentrations ($f_w/f_a \neq 1$). However,
500 some chlorinated biphenyls (PCB 8, 11, 18, 28, 52, 44, 66, 101, 118) were within the
501 uncertainty range at several sampling sites (Grimsby during the third deployment period,
502 Buffalo, Dunkirk during the first deployment period, Cleveland, Fairport during the third
503 deployment period and Sheffield). Accordingly, PCB fugacity ratio within the uncertainty
504 range was considered at equilibrium.

505
506 Fugacity ratio was higher than one for the tetra- through hepta-chlorinated biphenyls in
507 62 – 100 % of the samples indicating net volatilization from the water to the air. Net
508 deposition ($f_w/f_a < 1$) was observed for PCB 206 in the majority of the samples (67 %)
509 and for PCB 11, 18 and 206 in 32 – 38 % of the samples. Calculated fugacity ratios were
510 within the equilibrium (uncertainty) range for PCB 8, 11, 18, 28, 52 and 118 in 23 – 33 %
511 of the samples. Net deposition and equilibrium was mainly observed at Buffalo,
512 Cleveland, Dunkirk and Gibraltar (Lake Erie) and Grimsby (Lake Ontario).

513

514 **Implications**

515 Over 40 years after their production ceased in the US, PCBs across Lakes Erie and
516 Ontario continue to exceed threshold values meant to protect the public from adverse
517 effects. The Great Lakes Restoration Initiative is meant to change this. Our results
518 indicate the on-going emissions of atmospheric PCBs from urban areas, and the release of
519 freely dissolved PCBs from the numerous areas of concern along both lakes. Although

520 the legacy Aroclor-like signature is still observed, other non-Aroclor signatures such as
521 PCB 11, PCB 209 and PCBs emitted from coal/wood combustion were observed and
522 showed significant contributions at the urban, suburban, rural and on-lake sites. The
523 historical trend of PCB 11 is unknown and little is known about its toxicity. However, it
524 is produced as a byproduct in the current paint manufacturing. We thus conclude that
525 sources of PCB contamination in the Lower Great Lakes is shifting towards current use
526 sources. This could be a challenge for political actions as sources of PCB 11 and 209
527 differ from Aroclor sources and therefore control strategies designed to lower the loads of
528 most other PCB congeners are not likely to be successful in lowering PCB 11 and 209
529 loads. Albeit, measuring only a limited number of PCB congeners may overestimate
530 contributions from the non-Aroclor congeners and underestimated contributions from
531 Aroclor congeners in the source apportionment studies. In the current study, the relative
532 percent differences between the contributions of the paint/pigment source based on 29
533 versus total PCB concentrations were 44 % and 67 % respectively for the gaseous and
534 freely dissolved PCBs. We therefore support the recommendation of Rodenburg et al.⁶²
535 that monitoring programs (including IADN) should measure all possible PCB congeners
536 in at least a subset of samples and should measure PCB 11 in all samples. As PCBs are
537 regulated in USA as the sum of all 209 congeners, further attention should be given to
538 non-Aroclor congeners.

539

540 **Supporting Information**

541 Details on spatial distribution of PCBs, air-water fugacity ratios, PMF and MLR can be
542 found in the supplementary data. This material is available free of charge via the Internet
543 at <http://pubs.acs.org>.

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551

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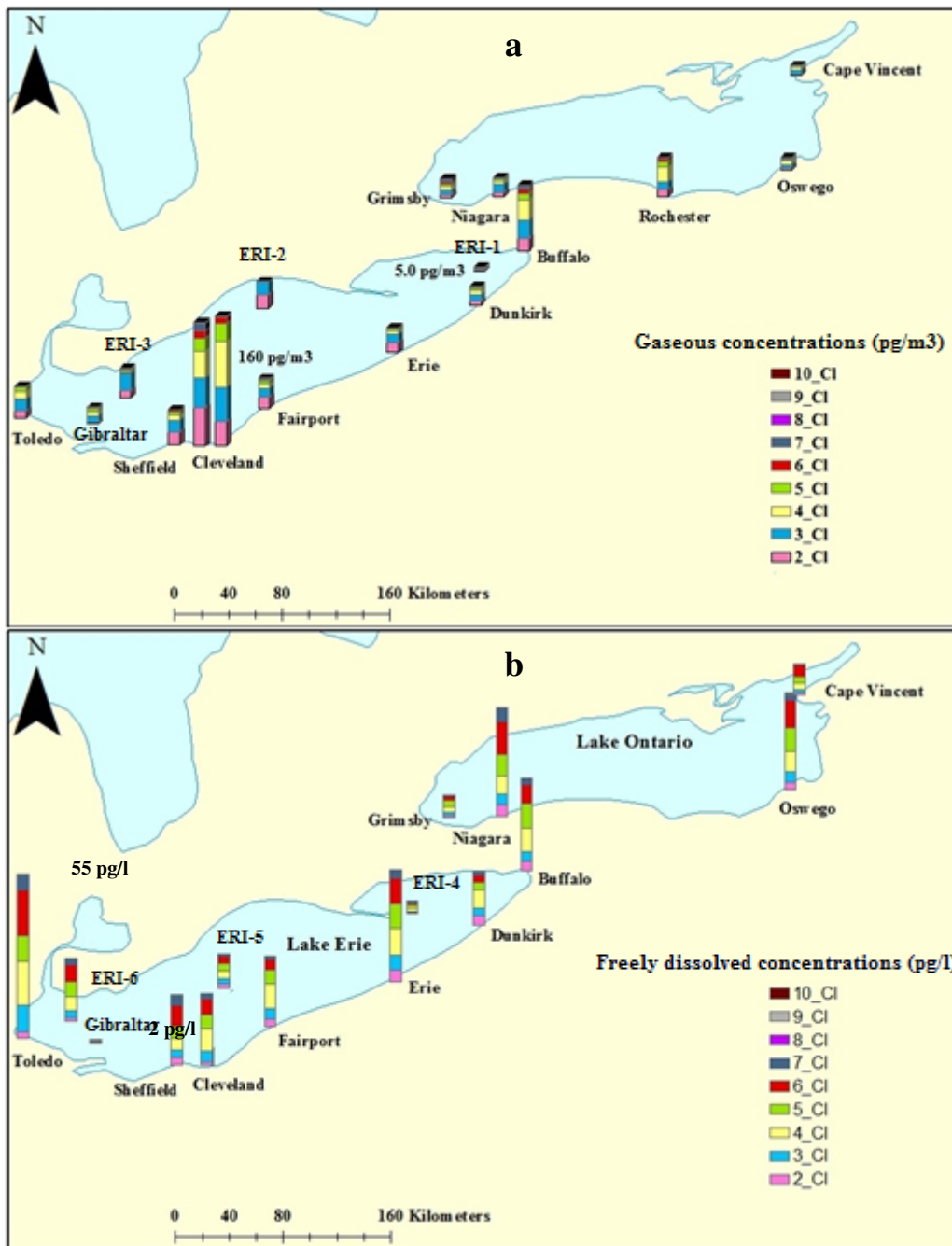


Figure 1: Spatial distribution of gaseous (a) and freely dissolved (b) concentrations of the Σ_{29} PCBs at the lower Great Lakes.

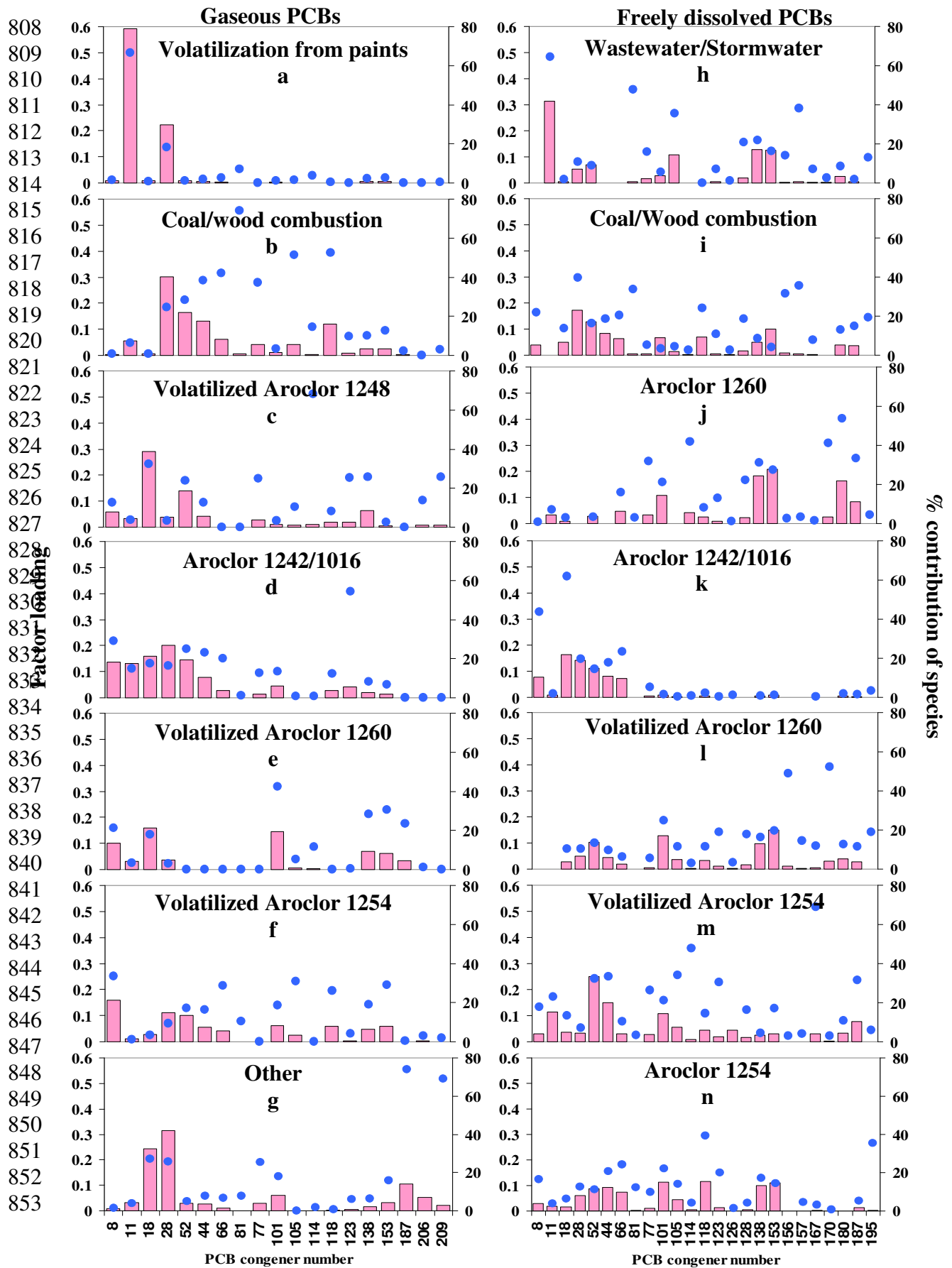
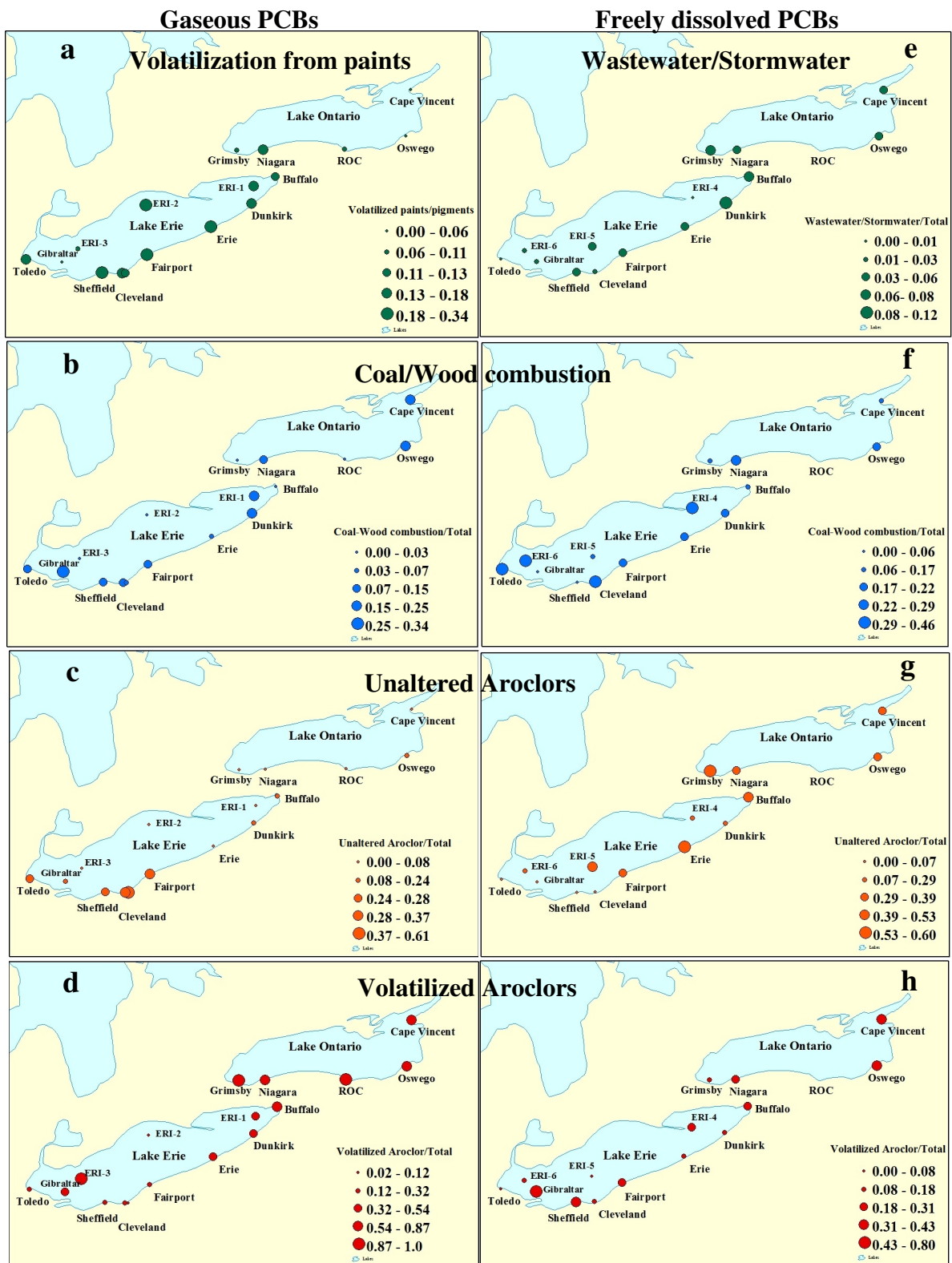


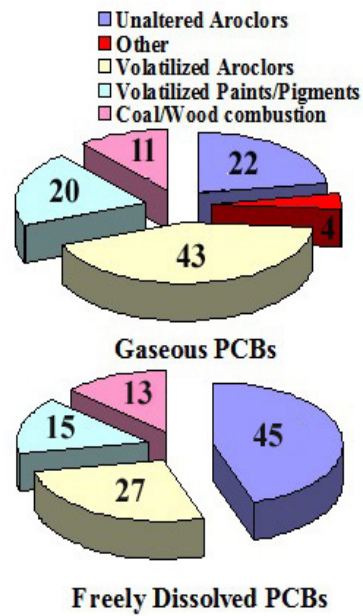
Figure 2: Positive matrix factorization source profiles of gaseous (a-g) and freely dissolved (h-n) PCBs. Bars represent factor loading and dots represent % contribution of each congener on each source factor.

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898 Figure 3: Average normalized contributions of the PMF source factors for the three deployment
899 periods from total gaseous (a-d) and freely dissolved (e-h) PCBs at each sampling
location. Figure 3a and 3e were presented based on the concentrations of PCB 11 in the
samples rather than the output of the PMF model.

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