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Moise, L., Terry, F., Ardito, M., Tassone, R., Latimer, H., Boyle, C., Martin, W. D., & De Groot, A. S. (2013). Universal H1N1 influenza vaccine development. *Human Vaccines & Immunotherapeutics*, 9(7), 1598-1607. Available at: http://dx.doi.org/10.4161/hv.25598

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**Human Vaccines & Immunotherapeutics** 

ISSN: 2164-5515 (Print) 2164-554X (Online) Journal homepage: http://www.tandfonline.com/loi/khvi20

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**To cite this article:** Leonard Moise, Frances Terry, Matthew Ardito, Ryan Tassone, Howard Latimer, Christine Boyle, William D. Martin & Anne S. De Groot (2013) Universal H1N1 influenza vaccine development, Human Vaccines & Immunotherapeutics, 9:7, 1598-1607, DOI: <u>10.4161/hv.25598</u>

To link to this article: http://dx.doi.org/10.4161/hv.25598



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# Universal H1N1 influenza vaccine development Identification of consensus class II hemagglutinin and neuraminidase epitopes derived from strains circulating between 1980 and 2011

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Keywords: epitope, T cell, influenza, seasonal influenza, pandemic influenza, H1N1, universal influenza vaccine, immunoinformatics, computational immunology, vaccine

Immune responses to cross-conserved T cell epitopes in novel H1N1 influenza may explain reports of diminished influenzalike illnesses and confirmed infection among older adults, in the absence of cross-reactive humoral immunity, during the 2009 pandemic. These cross-conserved epitopes may prove useful for the development of a universal H1N1 influenza vaccine, therefore, we set out to identify and characterize cross-conserved H1N1 T cell epitopes. An immunoinformatic analysis was conducted using all available pandemic and pre-pandemic HA-H1 and NA-N1 sequences dating back to 1980. Using an approach that balances potential for immunogenicity with conservation, we derived 13 HA and four NA immunogenic consensus sequences (ICS) from a comprehensive analysis of 5,738 HA-H1 and 5,396 NA-N1 sequences. These epitopes were selected because their combined epitope content is representative of greater than 84% of prepandemic and pandemic H1N1 influenza strains, their predicted immunogenicity (EpiMatrix) scores were greater than or equal to the 95th percentile of all comparable epitopes, and they were also predicted to be presented by more than four HLA class II archetypal alleles. We confirmed the ability of these peptides to bind in HLA binding assays and to stimulate interferon- $\gamma$  production in human peripheral blood mononuclear cell cultures. These studies support the selection of the ICS as components of potential group-common H1N1 vaccine candidates and the application of this universal influenza vaccine development approach to other influenza subtypes.

### Introduction

Despite intense efforts at epidemiological tracking and computational modeling, the timing and severity of influenza outbreaks remain difficult to predict, as illustrated by the last two influenza seasons. On average, influenza-like illness (ILI) reports exceed the Centers for Disease Control and Prevention's (CDC) national baseline for a period of 12 weeks. During the 2011–2012 season, there was only one week of ILI reports above baseline. In contrast, the 2012–2013 season saw the earliest outbreak since 2003–2004, and roughly 15 weeks of ILI reports above baseline were documented.<sup>1</sup>

Vaccination is the only public-health means for reducing the impact of influenza morbidity and mortality by offsetting the uncertainties of timing and virulence arising from uncontrollable complexities of population, behavioral, viral, and environmental factors. Vaccination is also considered a cornerstone approach for pandemic preparedness. The principle approach to influenza vaccine design focuses on raising antibodies that prevent hemagglutination. Generally, vaccination does induce hemagglutinating antibodies but these antibodies are neither cross-reactive with other strains, nor persistent. Furthermore, vaccination against influenza is only moderately effective. A meta-analysis using data from randomized, controlled trials conducted over 12 seasons and published between 1967 and 2011 demonstrated that trivalent influenza vaccination (TIV) in adults aged 18–65 years provided only moderate protection (59%) over eight seasons and significantly lower levels in other seasons.<sup>2</sup> Live attenuated influenza vaccine (LAIV), which stimulates both cellular and humoral immunity, showed higher efficacy (83%) in children aged 6 to 17 years, but not in adults. To improve on the shortcomings of existing influenza vaccination approaches, novel vaccine approaches that aim to provide universal protection are needed.

We became interested in the concept of cross-reactive T cell epitopes for influenza during the 2009 H1N1 pandemic. At that time, the Centers for Disease Control and Prevention reported that seasonal flu vaccines did not elicit cross-reactive neutralizing antibodies against the emerging pandemic (H1N1) 2009.<sup>3</sup> When

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**Figure 1.** Informatic-driven identification of influenza H1N1 hemagglutinin and neuraminidase immunogenic consensus sequences. Results of the step-wise computational process of screening H1-HA and N1-NA sequences for conserved and potentially immunogenic epitopes and constructing immunogenic consensus sequences are shown.



**Figure 2.** Conservation distribution of influenza H1N1 hemagglutinin and neuraminidase 9-mers. Pre-pandemic and pandemic H1-HA (**A**) and N1-NA (**B**) sequences were parsed into overlapping nine amino acid frames with a one amino acid frameshift, and unique 9-mers were evaluated for percent coverage of source antigens at 100% sequence identity using the Conservatrix algorithm. Inset: Close-up of the 75–100% coverage range.

early clinical reports released during the 2009 A(H1N1) pandemic suggested the novel influenza was more virulent among children and adults under 65 years than the elderly, we hypothesized that cellular responses to cross-reactive T cell epitopes might explain the unexpected disease distribution. The apparent lack of B cell epitope conservation in novel H1N1 and absence of cross-reactive antibodies raised by the seasonal vaccine H1N1 strain at the time supported this idea.

Thus, we set out to identify cross-conserved T cell epitopes in the pandemic and the 2008-2009 seasonal vaccine hemagglutinin (HA) and neuraminidase (NA) antigens, as soon as the first pandemic influenza sequences became available, using immunoinformatic methods.<sup>4</sup> The HLA class II epitope predictions were later confirmed experimentally using peripheral blood mononuclear cells from human donors not exposed to the pandemic virus,5 illustrating that pre-existing CD4+ T cells elicit crossreactive effector responses against the pandemic H1N1 virus. In addition, they demonstrated that the computational tools were 90% accurate in predicting CD4<sup>+</sup> T cell epitopes and their HLA-DR-dependent response profiles in donors that were chosen at random for HLA haplotype. As HA and NA antigens are the principle components of seasonal trivalent inactivated and subunit influenza vaccines and CD4<sup>+</sup> T cells support both humoral and cellular influenza immunity, we have now performed a significantly expanded immunoinformatic analysis of the H1-HA and N1-NA sequence space to identify HLA class II-restricted immunogenic consensus sequences covering isolates dating back to 1980 from the end of the 2009 pandemic. The novel antigens were validated in HLA binding and T cell assays in preparation for future vaccine efficacy studies in HLA transgenic mice. We provide a detailed report on the methods used to define these highly cross-conserved influenza vaccine epitopes. The method may be of interest for the design of future H7N9, H5N1, and H3N2 vaccines.

### Results

9-mer conservation and HLA binding potential. Influenza H1N1 HA and NA sequences dating back to 1980 were computationally screened in a step-wise process to identify conserved and potentially immunogenic epitopes (Fig. 1). A total of 5,738 influenza A H1-HA sequences were collected, comprising 4,110 (71.6%) pandemic 2009 and 1,628 (28.3%) pre-pandemic sequences. A total of 3,200,273 9-mers were parsed from these sequences and duplicates were removed, leaving 16,247 unique 9-mers (0.5%). Of the unique HA 9-mers, 3,396 were predicted by EpiMatrix to bind to at least one of eight archetypal HLA class II alleles (20.1%). One hundred and sixty-eight 9-mers were found in more than 75% of the input HA proteins (Fig. 2A), covering an average of 99% and 95% of pandemic and pre-pandemic sequences, respectively. A set of 5,396 influenza A N1-NA sequences was also assembled, including 3,574 (66.2%) pandemic and 1,822 (33.8%) pre-pandemic, post-1980 sequences. From these N1-NA sequences, 2,488,564 9-mers were parsed, 11,065 of which were classified as unique (0.4%). Of the unique NA 9-mers, 2,147 (19.4%) were predicted to bind to at least one

HLA class II allele. One hundred and twenty-two 9-mers were found in more than 75% of the input NA proteins (Fig. 2B), covering 99% of pandemic and 96% of pre-pandemic sequences.

Interestingly, a second cluster of "high-range" conserved 9-mers was observed in the conservation distribution of both protein sets (Fig. 2). Three hundred 87 HA 9-mers (2.3%) and 339 NA 9-mers (3.1%) were found in 50–74% of input sequences. These sequences may represent regions that tolerate limited variability on the level observed in antigenic shifts. The remaining 15,692 HA (96.5%) and 10,604 NA peptides (95.8%) were found in < 50% of input sequences with 14,671 HA and 9,744 NA peptides conserved in < 1% of unique 9-mers, as is expected for these highly variable antigens.

ICS construction and selection. Drawing from the set of 9-mer sequences with >75% conservation, a total of 19 immunogenic consensus sequences (ICS) derived from HA and eight ICS from NA were constructed by the EpiAssembler algorithm. This algorithm has been used to identify consensus sequences from highly variable proteins that are balanced for immunogenicity and conservation.<sup>6,7</sup> One drawback to the approach is that given the large sampling of protein isolates, identifying multiple similar, though not identical, 9-mers that derive from the same region of HA or NA and retain significant HLA binding potential is highly likely. Indeed, 6 ICS from the HA set were eliminated due to redundant core peptide sequences. In this case, we selected the sequence that had the highest conservation from the set of redundant sequences. A final set of 13 HA and four NA ICS epitopes was retained based on the previously defined characteristics: high influenza strain coverage (>85% conservation among input proteins), excellent immunogenicity scores (EpiMatrix cluster scores > 10), and promiscuous HLA binding potential (Fig. 3; Table 1). All HA and NA ICS are homologous to published HLA ligands and/or HLA DR-restricted T cell epitopes, according to a BLAST screen of the Immune Epitope Database at 90% coverage.<sup>8</sup>

By sequence comparison, we evaluated the potential for the selected H1N1 ICS to trigger immune responses from pre-existing T cells that bear TCR specific for epitopes contained in host or commensal antigens. We normally eliminate any such crossreactive epitopes because activation of T cells cross-reactive with self or with commensals in the context of immunization may lead to unexpected immune responses that limit efficacy. For example, activation of cross-reactive host-specific natural regulatory T cell activation may dampen vaccine responses. Alternatively, T cells that express T cell receptors (TCRs) trained on commensal antigens may lead to induction of cross-reactive T cells with effector phenotypes, an event that may perturb immune homeostasis. By BLAST analysis, no homology between influenza and human sequences was identified in any of the final 17 ICS selections. Even though 12 ICS were observed to be homologous to murine, commensal, and other pathogen (non-influenza) sequences, they were not eliminated from the final set selected for experimental validation. None of these ICS bear TCR-facing sequences that are fully conserved with their murine and commensal homologs and preserve MHC binding potential, suggesting a low probability for cross-reactivity. Using a new tool that evaluates TCR facing residues, JanusMatrix,9 we found no ICS shares a T cell



**Figure 3.** Immunogenic consensus sequence influenza H1N1 strain coverage and HLA binding potential. ICS construction yielded 13 H1-HA and four N1-NA sequences with >85% coverage of input proteins and EpiMatrix cluster scores >10, representing significant HLA binding potential. Bars represent EpiMatrix cluster score and open circles percent strain coverage.

receptor-facing pattern with predicted mouse MHC-binding sequences, despite H1-1 and N1-1 containing 9-mers with seven out of nine identities. Potential cross-reactivity between H1-7, H1-9, N1-1, and N1-4 ICS and human commensal sequences was also considered to be negligible, using JanusMatrix. In contrast, a screen of non-influenza pathogens uncovered multiple 9-mers with 7 out of 9 identities, although few were well matched by HLA allele and TCR-facing side chains. The "other pathogen" that matched most often to ICS peptides was Salmonella Typhi, with similar sequences observed in H1-2, -3, -4, -5, -8, and -13. Notably, in a screen of H5N1 influenza HA and NA sequences, five HA and four NA ICS were found to be homologous. This suggests that this methodology has identified influenza sequences that are both highly conserved and potentially immunogenic, enhancing their utility as universal influenza vaccine candidate epitopes.

HLA binding. ICS peptides were assayed in vitro for their capacity to bind multiple HLA types, including DRB1\*0101, DRB1\*0301, DRB1\*0401, DRB1\*0701, DRB1\*1101, and DRB1\*1501. Of the 108 ICS peptide-HLA binding interactions assayed, 3% bound with very high affinity, 23% bound with high affinity, 26% bound with moderate affinity, 30% bound with low affinity, 2% with very low affinity, and 15% had no affinity for the HLA tested (Fig. 4).

All (100%) of the peptides bound as predicted to at least three HLA alleles, 89% to at least four HLA alleles, 72% to at least five, and 39% to all six. A non-binder was defined as a confirmed prediction (true negative) if the peptide had an EpiMatrix Z-score that was lower than the defined cut-off (1.64) for its associated HLA allele. Positive predictions were defined as epitopes scoring  $\geq$  1.64 on the EpiMatrix Z-scale and binding HLA at any affinity. The concordance of computational predictions and binding assay results was evaluated with classification of peptide-HLA binding pairs as either true positive, false positive, true negative, or false negative. Overall, the concordance with predictions (both positive and negative) was 83%. With respect to each allele assayed,

Α												
Hemagglutinin Immunogenic Consensus Sequences % Coverage											IEDB	
#	HA POS	N	CORE PEPTIDE	C TERM	# Hits	CLUSTER SCORE	# EpiBars	All H1N1	Pandemic H1N1	Pre- Pandemic H1N1	HLA Binding	T Cell Assay
		TERM						2273 Unique Sequences	1410 Unique Sequences	870 Unique Sequences		
1	112	FIQ	YEELREQLSSVS	AFE	9	14.78	1	98.7%	98.7%	97.0%		Y
2	440	AEM	LVLLENERTLDY	YDS	10	16.02	1	99.2%	98.9%	99.2%	Y	Y
3	523	ESA	RIYQILAIYSTVAS	TLV	15	25.59	3	99.6%	99.0%	99.2%		Y
4	396	VNS	IIEKMNTQFTAVG	KEF	8	11.07	1	98.9%	98.4%	99.2%	Y	Y
5	527	IYQ	ILVIYSTVASSLVL	SVS	20	35.15	4	98.6%	98.1%	99.2%		Y
6	463	YEK	VRSQLKNNAKEIG	NGC	12	22.54	2	99.0%	96.3%	98.5%		Y
7	548	GAV	SFWMCSNGSLQ	FRI	7	11.78	1	97.4%	95.8%	99.7%		Y
8	432	FMD	IWTYNAELLVLL	DNE	7	10.15	1	99.7%	99.3%	100.0%	Y	Y
9	38	ERN	VTVTHSVNLLE	EKH	8	13.13	1	95.1%	92.4%	99.0%		Y
10	19	TLS	IGYHANNSTDT	EDT	6	10.35	1	99.7%	99.6%	99.5%		Y
11	326	STR	LRMVTGLRNVPSIQS	KGL	17	28.36	3	93.3%	92.0%	93.3%	Y	Y
13	386	QNA	INRITNKVNSVI	KKM	8	11.54	2	96.8%	98.2%	94.5%		Y
19	337	NVP	FIQSRGLFGAIAGFI	EGG	12	19.73	2	99.5%	99.2%	99.1%	Y	Y
B												

IEDB Neuraminidase Immunogenic Consensus Sequences % Coverage Pre-Pandemic HLA T Cell All H1N1 Pandemic Binding H1N1 Assay H1N1 NA Ν С CLUSTER # # Hits # CORE PEPTIDE POS TERM TERM SCORE **EpiBars** 2273 870 1410 Unique Unique Unique Sequences Sequences Sequences Υ 1 129 CRN **FFLTQGALLN** DRH 12 23.64 2 98.4% 99.1% 97.8% 79 SVL **SVKLAGNSSLCS** 2 Υ 2 TSG 12 22.78 89.2% 92.1% 82.8% 2 Y 3 17 llG LILQIGNIISI WPV 14 27.31 93.2% 96.6% 89.7% 4 439 SGS **IISFCGVNSDTV** DWS 11.19 80.6% 95.4% Y 7 1 65.5%

Key features of HA and NA ICS produced by the EpiAssembler algorithm are presented, including position in the native antigen sequence relative to Influenza A/California/04/2009 (HA/NA POS), N- and C-terminal flanking residues (NTERM/CTERM), core immunogenic peptide sequence (CORE PEPTIDE), EpiMatrix 9-mer-to-HLA-allele hits (#HITS), overall peptide promiscuous binding potential where  $\geq 10$  is significant (CLUSTER SCORE), number of 9-mers with promiscuous binding potential where  $\geq 1$  is significant (#EpiBars). Peptides previously shown to bind HLA-DR (IEDB: HLA Binding) or to stimulate HLA-DR-restricted T cell responses (IEDB: T Cell Assays) as reported in the Immune Epitope Database are noted. Conservation is summarized by classification (Pandemic vs. Pre-Pandemic) and overall. % Coverage describes how many of the individual protein isolates are represented with at least one of the HLA-binding 9-mers in an ICS.

the values are 88% for DRB1\*0101, 76% for DRB1\*0301, 88% for DRB1\*0401, 78% for DRB1\*0701, 82% for DRB1\*1101, and 82% for DRB1\*1501. These HLA-binding and epitope prediction results are consistent with previously published studies using the same algorithms and assay conditions.<sup>10,11</sup>

Discrepancies between computational predictions and experimental results are expected, in part, because immunoinformatic algorithms are not 100% accurate. A recent retrospective evaluation of epitope mapping algorithm accuracy showed EpiMatrix was > 75% accurate across all the HLA class II alleles studied here.<sup>12</sup> In comparison with other major prediction tools, EpiMatrix compared favorably, with equal or greater accuracy both overall and for individual alleles. Additionally, prediction/ experimental discrepancies are introduced in binding assays by epitope-specific factors, including peptide design and the unique physical and chemical properties of individual peptides that, for example, may contribute to aggregation.

T cell reactivity. To further support the choice of these immunogenic consensus sequences as potential vaccine candidates, we stimulated human peripheral blood mononuclear cells (PBMCs) with ICS peptides and measured cytokine production to demonstrate that the peptides are immunoreactive. While HLA binding assays establish that a sequence can be presented to T cells, cytokine measurements demonstrate epitope antigenicity. Over a

nine-day period, we expanded antigen-specific T cells from five young adult subjects, with unknown influenza infection and vaccination history, by stimulation with a pool of HA and NA ICS peptides. Cells were then re-stimulated with pooled or individual ICS peptides for measurement of interferon- $\gamma$  (IFN $\gamma$ ) production by ELISpot assay. Cultured ELISpot assays were performed because ex vivo responses were not robust, suggesting that antigen-specific T cell precursor frequencies were too low to observe without amplification (data not shown). Cultured ELISpot responses were significantly greater than ex vivo responses and were considered positive when (1) the number of IFN $\gamma$  spotforming cells exceeded 50 per million PBMCs cultured, (2) spot counts were at least twice background, and (3) spot counts were statistically different from "no stimulus" measurements (p < 0.05). All subjects responded to the pool of ICS peptides (Fig. 5; Table 2). Apart from Subject 844, all pooled peptide re-stimulations elicited robust numbers of IFNy-producing cells, ranging from 1127 to 4737 per million PBMCs with stimulation index values at least three times the cutoff value of two. It is possible Subject 844 did not respond strongly to the ICS peptides because of no prior history of H1N1 exposure or vaccination. These data show that H1N1 cross-reactive memory T cell precursors do exist and have the potential to be substantially expanded by vaccination with universal H1N1 antigens.

Individual ICS peptides stimulated significant IFNy production in all subjects but Subject 844 (Table 2). Excluding this subject, positive responses per subject ranged from 24% to 65% over all 17 ICS peptides. By source antigen, positive responses ranging from 31% to 69% per subject were observed for the 13 HA ICS peptides and 0% to 50% for the four NA ICS peptides. Thirteen out of the 17 ICS peptides (76%) stimulated positive responses in at least one subject. By source antigen, 10 out of 13 HA ICS (77%) and 3 out of 4 NA ICS (75%) peptides were positives. Two ICS peptides, HA-3 and HA-8, were immunoreactive in all cases except Subject 844, suggesting that T cells specific for these sequences may be immunodominant. Unexpectedly, four ICS peptides stimulated no responses in any subjects. The small cohort size of the study with limited HLA diversity may explain this result. Alternatively, these sequences may stimulate type 1 helper T cell cytokines other than IFN $\gamma$ , such as interleukin-2 and tumor necrosis  $\alpha$ . Additionally, vaccination may increase the numbers of precursor cells to the level needed for detection by cultured ELISpot. Thus, positive responses may be observed in a larger cohort with broader HLA coverage, comparing multiple cytokine responses in samples drawn before and after vaccination. Future studies will address these factors.

### Discussion

Cross-reactive T cell epitopes such as the ones identified here may have played a significant role in containing the human impact of the 2009 influenza H1N1 pandemic. Despite studies showing pandemic H1N1 was highly pathogenic in laboratory animals and shared few B cell epitopes with most seasonal H1N1 viruses,<sup>13</sup> the virus triggered only mild symptoms in middle-aged and elderly adults and, fortunately, failed to cause widespread

ICE Dontido	Seguence	IC50 (µM) by HLA-DRB1 allele										
ics Peptide	sequence	*0101	*0301	*0401	*0701	*1101	*1501					
H1-1	FIQYEELREQLSSVSAFE	18.57	15.51	43.20	8.23	57.46	4.44					
H1-2	AEMLVLLENERTLDYYDS	0.62	0.29	2.66	3.34	10.13	0.12					
H1-3	ESARIYQILAIYSTVASTLV	0.96	177.61	6.11	0.28	0.72	0.0016					
H1-4	VNSIIEKMNTQFTAVGKEF	1.08	31.78	1.44	1.07	1.18	0.46					
H1-5	IYQILVIYSTVASSLVLSVS	7.60	27.15	18.60	0.60	0.30	1.77					
H1-6	YEKVRSQLKNNAKEIGNGC	NB	14.22	NB	232.49	1.99	NB					
H1-7	GAVSFWMCSNGSLQFRI	1.41	8.98	1.95	0.26	0.53	0.62					
H1-8	FMDIWTYNAELLVLLDNE	0.28	NB	0.79	NB	0.18	0.000003					
H1-9	ERNVTVTHSVNLLEEKH	36.45	NB	63.44	0.39	NB	20.08					
H1-10	TLSIGYHANNSTDTEDT	NB	NB	NB	NB	NB	13.80					
H1-11	STRLRMVTGLRNVPSIQSKGL	0.14	54.76	6.45	5.68	1.57	0.22					
H1-13	QNAINRITNKVNSVIKKM	68.81	96.27	96.70	4.47	2.94	0.54					
H1-19	NVPFIQSRGLFGAIAGFIEGG	0.47	95.60	87.13	NB	NB	0.36					
N1-1	CRNFFLTQGALLNDRH	0.0027	NB	3.48	0.16	12.60	6.36					
N1-2	SVLSVKLAGNSSLCSTSG	11.55	36.33	29.41	NB	41.28	0.76					
N1-3	IGLILQIGNIISIWPV	14.23	4.13	7.38	3.21	1.15	22.25					
N1-4	SGSIISFCGVNSDTVDWS	11.56	24.17	29.70	29.07	6.68	0.11					
Very High Affinity: ICso < 0.1 µM												
High Affinity: 0.1µM < ICso < 1µM												
Moderate Affinity: 1µM< IC <sub>50</sub> < 10µM												
				Lo	w Affinity:	10µM < IC50	< 100µM					
				1	/ery Low Aff	finity: IC <sub>50</sub> >	100µM					
					No	on Binder						

**Figure 4.** ICS peptide – HLA DR binding affinities. Peptide identifiers and sequences are noted in the first and second columns, respectively.  $IC_{s_0}$  values in  $\mu$ M units were calculated from curves fitted to dosedependence competition binding data for each peptide-HLA DR allele pair. Peptide binding affinity is shown according to the following classification:  $IC_{s_0} < 0.1 \ \mu$ M (black),  $0.1 \ \mu$ M  $< IC_{s_0} < 1 \ \mu$ M (dark gray),  $1 \ \mu$ M  $< IC_{s_0} < 10 \ \mu$ M (gray),  $10 \ \mu$ M  $< IC_{s_0} < 100 \ \mu$ M (light gray),  $IC_{s_0} >$  $100 \ \mu$ M (lightest gray).  $IC_{s_0}$  values too high to accurately measure under binding conditions tested are considered non-binders (NB; shown in white cells).

morbidity and mortality.14 One explanation for this unexpected observation is that pre-existing influenza-specific CD4<sup>+</sup> T cells generated cross-reactive responses against the virus that were capable of limiting disease severity and virus spread in individuals lacking cross-protective humoral immunity. This hypothesis is supported by a number of in vitro and in vivo studies: independent studies demonstrated cytotoxic T lymphocytes (CTLs) and CD4+ T cells raised against the seasonal H1N1 viruses, A/ Brisbane/59/2007 and A/New Caledonia/20/99, respectively, were capable of responding against whole protein antigens from the pH1N1 virus.<sup>5,15-17</sup> In mice and in humans, memory T cells to conserved epitopes have been shown to confer protection to heterotypic infection.<sup>18,19</sup> In addition, cross-reactive human T helper cell responses were observed for HLA-DR4 epitopes.<sup>20</sup> Moreover, ferrets infected with seasonal H1N1 influenza, though lacking sterilizing immunity, were protected from disease upon subsequent pH1N1 infection.<sup>21</sup> During the pandemic, a lower hospitalization rate and lower reports of H1N1 infection among recent seasonal vaccinees was observed in a case-control study in Mexico.<sup>22</sup> And finally, a T cell-driven influenza vaccine was recently shown to be protective against influenza challenge in human studies.<sup>23</sup> Taken together, these studies support the usefulness of including influenza antigens that can elicit cross-strain T helper cell responses in a universal influenza vaccine.

The activation of helper T cells is also critically important to the magnitude, quality, and kinetics of antibody response.<sup>24</sup> In the absence of functional (memory) CD4<sup>+</sup> T cells, mouse studies have shown that the rate of viral clearance upon secondary



Figure 5. Antigen-specific human IFN<sub>2</sub> ELISpot responses to computationally identified influenza HA and NA immunogenic consensus sequences. ICS were assayed for T cell reactivity by IFN<sub>Y</sub> ELISpot assay using PBMCs isolated from normal human donors (n = 5). An ELISpot response was considered positive if three criteria were met: (1) spotforming cells (SFC) per million PBMC were at least 50 over background; (2) SFC per million PBMC were at least 2-fold over background; and (3) antigen-stimulated SFC numbers were statistically different (p < 0.05) from non-stimulated counts. (A) The numbers of SFC over background per million PBMCs that secrete IFNy in response to individual and pooled influenza HA and NA ICS are presented. Individual subject responses are represented by dots and the average response across subjects by horizontal lines. The 50 SFC over background per million PBMCs cutoff is denoted by the dotted line. (B) The ELISpot response stimulation index, representing the ratio of antigen-stimulated SFC counts to non-stimulated counts, is presented. Stimulation index values per individual subject are represented by dots and the average values across subjects by horizontal lines.

infection slows considerably, beyond the degree seen in the primary response.<sup>25-27</sup> Also in mice, cross-reactive memory T helper cells have been shown to contribute to cross-strain antibody responses.<sup>28</sup> In human populations, cross-reactive T cell responses have been observed between circulating strains of influenza and epidemic strains (such as H5N1) in the absence of cross-reactive antibodies.<sup>29</sup> Both cross-reactive CTLs and T helper cells have been identified by a number of investigators.<sup>30,31</sup> T cell responses to conserved epitopes may be particularly important when new strains of influenza emerge.

The comprehensive approach to defining highly conserved H1N1 sequences described here builds on our initial analysis and identification of cross-conserved H1-HA and N1-NA T-cell epitopes that was published during the 2009 influenza A(H1N1) pandemic. A comparison of the results from these two independent analyses shows that the wider net cast for H1N1 sequences in the present study yielded a set of sequences, some of which are similar and some different from the original screen. Nine of 13 ICS derived from this collection of HA antigens and three of four NA ICS newly derived in the present work are similar to those identified in the initial analysis. Additionally, we previously identified three cross-conserved immunogenic sequences from each antigen, which were not sufficiently conserved in the larger sequence data set to be represented in the final selections made here. This comprehensive set of more highly conserved H1N1 sequences will be further tested in in vivo studies with HLA transgenic mice, before moving them forward into formulation studies for vaccine development purposes.

We used HLA binding as a proxy for immunogenicity, although binding is not an absolute indicator of immunogenicity potential. The results showed that the ICS peptides are promiscuous binders, suggesting they may be broadly immunoreactive. As well, they showed that the immunoinformatic predictions were highly (83%) accurate. Furthermore, in vitro T cell responses to 76% of the individual ICS peptides were observed in a small cohort of healthy subjects. In addition, the results confirmed epitopes that have been previously published, based on a search of the IEDB. While our report focuses on HLA class II-restricted CD4<sup>+</sup> T helper epitopes, CTLs are also required for viral clearance, some of which may be CD4<sup>+</sup>.<sup>32</sup> Taken together, the combination of epitope prediction and HLA binding and immunoreactivity data here shows that these cross-conserved influenza sequences have promiscuous HLA binding and antigenicity properties required to identify broadly reactive, crossprotective H1N1 influenza-specific T cells raised in infection or vaccination and to serve as immunogens in a universal H1N1 influenza vaccine.

The level of conservation of these sequences among H1N1 sequences extending from 1980 to 2011 is quite remarkable and illustrates the capacity of epitope-mapping tools to discover high-value sequences for vaccine design. The immunoinformatics approach described here allows for the identification and characterization of cross-conserved T cell epitopes for any set of source sequences. Notably, this universal influenza vaccine development approach may be applied to other influenza subtypes (H7, H5, for example). We have also developed tools that identify highly cross-conserved CD8<sup>+</sup> T cell responses and have validated their accuracy.<sup>33-35</sup> Highly efficient algorithms, such as these, may be useful for accelerated development of vaccines against emerging infections in the context of newly emerging infections or bioterror events.<sup>36</sup>

An important safety feature of the vaccine design approach described here is that T cell epitopes that have a high degree of cross-conservation with human genome are taken into consideration and eliminated from the list of epitopes to be tested and included in vaccine constructs, as there is at least initial evidence

	844 (DR1, DR4)		1489 (DR1, DR4)			1003 (DR4, DR4)			720 (DR1, DR7)			1442 (DR4, DR13)			%	
	SFC	SI	p-value	SFC	SI	p-value	SFC	SI	p-value	SFC	SI	p-value	SFC	SI	p-value	Subjects Positive
HA-1	143	1.30	0.346	267	1.23	0.129	397	5.17	< 0.001	293	11.00	0.001	507	0.85	0.217	40%
HA-2	137	1.24	0.506	207	0.95	0.741	177	2.30	0.002	310	11.63	< 0.001	540	0.91	0.327	40%
HA-3	117	1.06	0.863	603	2.78	< 0.001	1180	15.39	< 0.001	280	10.50	< 0.001	2297	3.87	< 0.001	80%
HA-4	137	1.24	0.529	610	2.82	0.005	1000	13.04	< 0.001	587	22.00	< 0.001	1023	1.72	< 0.001	60%
HA-5	97	0.88	0.711	170	0.78	0.171	70	0.91	0.733	103	3.88	< 0.001	480	0.81	0.042	20%
HA-6	120	1.09	0.797	120	0.55	0.035	30	0.39	0.036	13	0.50	0.121	687	1.16	0.116	0%
HA-7	150	1.36	0.301	163	0.75	0.143	107	1.39	0.142	57	2.13	0.184	760	1.28	0.029	0%
HA-8	153	1.39	0.231	810	3.74	< 0.001	1217	15.87	< 0.001	163	6.13	0.034	1277	2.15	< 0.001	80%
HA-9	133	1.21	0.521	210	0.97	0.856	160	2.09	0.003	43	1.63	0.063	530	0.89	0.365	20%
HA-10	190	1.73	0.081	263	1.22	0.301	147	1.91	0.008	53	2.00	0.032	513	0.87	0.185	20%
HA-11	203	1.85	0.080	820	3.78	< 0.001	837	10.91	< 0.001	177	6.63	0.001	777	1.31	0.034	60%
HA-13	110	1.00	1.000	190	0.88	0.529	97	1.26	0.316	50	1.88	0.036	497	0.84	0.071	0%
HA-19	83	0.76	0.477	170	0.78	0.154	3763	49.09	< 0.001	73	2.75	0.028	3643	6.14	< 0.001	60%
NA-1	140	1.27	0.390	200	0.92	0.580	127	1.65	0.147	143	5.38	0.009	630	1.06	0.470	20%
NA-2	143	1.30	0.346	230	1.06	0.740	270	3.52	< 0.001	117	4.38	< 0.001	517	0.87	0.226	40%
NA-3	147	1.33	0.318	260	1.20	0.279	200	2.61	0.006	33	1.25	0.553	537	0.90	0.494	20%
NA-4	150	1.36	0.270	210	0.97	0.873	103	1.35	0.385	27	1.00	1.000	580	0.98	0.795	0%
ICS Pool	223	2.03	0.026	2457	11.34	< 0.001	4813	62.78	< 0.001	1153	43.25	< 0.001	3971	6.69	< 0.001	100%

Immunoreactivity data for influenza H1N1 ICS peptides are presented from cultured IFNY ELISpot assays performed for five human subjects. An ELISpot response was considered positive if three criteria were met: (1) spot-forming cells (SFC) per million PBMC were at least 50, (2) SFC per million PBMC were at least 2-fold over background, and (3) SFC per million PBMC were statistically different from "no stimulus" measurements by the Student's t-test (p < 0.05). Results that meet individual criteria are highlighted in gray. Results that meet all three criteria are denoted in bold. Column headers: human subject ID code and HLA class II type in parentheses; numbers of spot forming cells per million PBMCs that secrete IFNY in response to individual and pooled ICS peptides (SFC); stimulation indices (SI); and Student's t-test results (p-value); and percent of subjects responding. Row labels: peptide ID. Epitopes are grouped according to their source antigen, either HA or NA. It is possible Subject 844 did not respond strongly to the ICS peptides because of no prior history of H1N1 exposure or vaccination.

that such epitopes may be immunopathogenic (refs. 9, 37, 38 and Losikoff P et al., in preparation), or tolerated by the immune system, or they may stimulate regulatory T cell responses.<sup>9</sup>

Accumulating evidence suggests that the sequences identified here may stimulate influenza-specific T helper cells that can limit disease through activation of cellular and humoral immune mechanisms reported to be critical for immunity. Not only do CD4<sup>+</sup> T cells play a role in the rate of viral clearance,<sup>25</sup> but memory helper T cells specific to a previous influenza strain contribute to distinct cross-strain antibody responses.<sup>28</sup> Thus, influenza vaccine strategies that focus the T cell response on cross-reactive sequences may harness cellular and humoral mechanisms with the potential to provide group-common protection against disease.

### Materials and Methods

Immunoinformatics. Sequence collection. Hemagglutinin and neuraminidase sequences were obtained from the NIAID Influenza Research Database (http://www.fludb.org).<sup>39</sup> H1N1 HA and NA sequences isolated from human hosts and deposited between January 1980 and June 2011 were downloaded and annotated by origin. Swine origin viral isolates were designated "Pandemic," whereas all other isolates were designated "non-Pandemic H1N1," according to the SOP for New Pandemic (H1N1) Classification (http://www.fludb.org/brcDocs/ documents/2009H1N1vSOP.pdf).

*Conservation analysis.* Because the HLA binding groove accepts 9-mer peptides, input HA and NA sequences were parsed into overlapping 9-mer frames, irrespective of protein alignment, using the Conservatrix algorithm. All resulting 9-mers were ranked by their conservation within each antigen set.

*EpiMatrix analysis.* All unique 9-mers resulting from Conservatrix analysis were scored for binding potential against a panel of eight representative class II HLA alleles using the EpiMatrix algorithm.<sup>40</sup> The peptide-binding preferences of these alleles, HLA DRB1\*0101, \*0301, \*0401, \*0701, \*0801, \*1101, \*1301 and \*1501, are expected to cover over 95% of human populations worldwide.<sup>41</sup> EpiMatrix scores for each allele are normalized on a Z-scale; peptides scoring > 1.64 are called "hits." These sequences represent the top 5% of any normally distributed set of 9-mer peptides and are highly likely to be true HLA ligands.

Construction of immunogenic consensus sequences. The EpiAssembler algorithm was employed to create immunogenic consensus sequences (ICS) using data collected during

conservation analysis and EpiMatrix analysis.<sup>7</sup> ICS are class II epitope-length peptides of 20–25 residues each, whose composition has been enriched for both HLA binding potential and pathogen strain coverage using 9-mers from multiple isolates aligned by position in their native protein sequence. By definition, an ICS is seeded with a core 9-mer, and overlapping regions are subsequently screened for high-scoring, highly conserved 9-mer candidates to append until the optimal peptide length is reached. The resulting ICS peptides are compact clusters of putative T cell epitopes, each offering the advantage of targeting multiple strains and widely variable individual hosts using a single sequence. A conservation threshold was set such that the constituent 9-mer frames of each resulting ICS were required, in aggregate, to cover a minimum of 75% of input protein sequences.

Homology analysis. To eliminate peptide candidates unlikely to stimulate effector T cell responses, H1N1 ICS sequences were screened for homology against a set of host and commensal protein databases using the BLAST algorithm and parameters established as standards for short (peptide-length) sequences. Given that viral epitopes may stimulate regulatory T cell responses, ICS were screened against the complete human genome and the complete murine genome. Sequences were also screened against human commensals cataloged as part of the Human Microbiome Project (www.hmpdacc.org/resources/data\_browser.php),42 as well as the larger GenBank non-redundant protein database-excluding influenza-to identify homologous sequences in other pathogens. Standards have been established for the annotation and finishing of microbial genome sequences.43,44 All genomes at Finishing Level 3 (High Quality Draft) and above as of April 2011 were used to construct a local database of human microbiome sequences against which to screen H1N1 peptides. Finally, to establish whether any H1N1 ICS might stimulate cross-reactive T cell responses in other potential pandemic influenza outbreaks, peptides were screened against all H5N1 sequences isolated from humans and available at the GISAID database for influenza sequence sharing (http:// platform.gisaid.org) as of June 2011. As a general practice, any ICS sharing more than seven amino acid identities per 9-mer frame was tagged; results of homology analysis against these five databases were reviewed on a case-by-case basis.

*ICS selection.* ICS peptides were manually reviewed to eliminate redundancy of core sequences due to similar, though not identical, motifs across isolates. Ambiguity due to sequences containing unidentifiable residues at certain positions was corrected. ICS with significant homology to host genomes were excluded, whereas sequence similarity to other organisms would not necessarily remove a peptide from the list of candidates. In one case, a highly hydrophobic ICS was hand modified by adding charged residues to the N-terminal flank of the peptide to increase the probability of successful peptide synthesis.

**Peptide synthesis.** Synthetic peptides were manufactured using 9-fluoronylmethoxycarbonyl (Fmoc) chemistry by 21st Century Biochemicals (Marlboro, MA). Peptide purity was > 80% as ascertained by analytical reversed phase HPLC. Peptide mass was confirmed by tandem mass spectrometry.

HLA binding assay. Class II HLA binding assays were performed to screen predicted epitope sequences for binding to

multiple HLA alleles. A competition-based HLA binding format was adapted from Steere et al.<sup>45</sup> Briefly, in racks of 1.1 ml tubes, non-biotinylated test peptides over a wide range of concentrations competed for binding to soluble class II molecules (2.5 nM) against a biotinylated standard peptide at a fixed concentration (25 nM) at 37°C for 24 h to reach equilibrium. Class II molecules were then captured on ELISA plates using pan anti-Class II antibodies (L243, anti-HLA-DR). Plates were washed and incubated with Europium-labeled streptavidin for one hour at room temperature. Europium activation buffer was added to develop the plates for 15–20 min at room temperature before they were read on a Time Resolved Fluorescence (TRF) plate reader. All assays were performed in triplicate. Dose dependence curves were generated by fitting data using the four-parameter logistic equation, and  $IC_{50}$  values were calculated in SigmaPlot 11.0 (Systat, Chicago, IL). Based on the IC<sub>50</sub> values, peptide binding to a given HLA allele was classified as very high affinity (< 100 nM), high affinity (100-1,000 nM), moderate affinity (1000-10,000 nM), low affinity (10,000-100,000 nM), or very low affinity (> 100,000 nM). Peptides that did not inhibit the binding of the biotinylated reference peptide at any concentration were considered non-binders. Binding assays were performed for six alleles: DRB1\*0101, DRB1\*0301, DRB1\*0401, DRB1\*0701, DRB1\*1101 and DRB1\*1501, providing a broad representation of class II HLA allele binding pockets.<sup>41</sup>

**PBMC collection and characterization.** Frozen PBMCs donated by five healthy adults, ages 18 to 65 y, were generously provided by VaxDesign. No information on influenza vaccination or exposure was provided. Donor HLA class II types were determined using the One Lambda Micro SSPTM High Resolution HLA class II kit at the Hartford Hospital Transplant Immunology Laboratory. Human subject studies were performed in accordance with NIH regulations and with the approval of the Ethical and Independent Review Services institutional review board.

**PBMC culture.** Thawed whole PBMC populations were rested overnight and then expanded by antigen stimulation over nine days at 37°C under a 5% CO<sub>2</sub> atmosphere. In a 48-well plate,  $5 \times 10^6$  cells in 150 µl Iscove's Modified Dulbecco's Medium (IMDM) were stimulated with a pool of HA and NA ICS peptides at 10 µg/ml on Day 1. Three days later, IL-2 was added to 10 ng/ml and the culture volume raised to 300 µl. On Day 7, cells were supplemented with 10 ng/ml IL-2 by half media replacement. Finally, two days later, PBMCs were collected and washed in preparation for antigen re-stimulation to measure cytokine secretion measurements by enzyme-linked immunospot (ELISpot) assay.

ELISpot assay. Interferon-gamma (IFN $\gamma$ ) ELISpot assays were performed using kits purchased from Mabtech and performed according to the manufacturer's specifications. Target peptides were added individually at 10 µg/ml and pooled at 1.25 µg/ml to triplicate wells containing 100,000 PBMCs in IMDM supplemented with 10% human AB serum. Cells were incubated for 48 h at 37°C under a 5% CO<sub>2</sub> atmosphere. Triplicate wells were plated with PHA (10 µg/ml) as a positive control, and six wells with no peptide were used for background determination.

Raw spot counts were recorded by ZellNet Consulting, Inc. using a Zeiss high-resolution automated ELISpot reader system and companion KS ELISpot software. Results were calculated as the average number of spots in the peptide wells, adjusted to spots per one million cells. A response was considered peptide-specific if the number of spots was at least twice background, greater than 50 spot forming cells per well (1 response per 20,000 PBMCs), and statistically different (p < 0.05) from that of the control wells by the Student's t-test.

### Disclosure of Potential Conflicts of Interest

Two of the contributing authors, A.S.DG. and W.D.M., are senior officers and majority shareholders at EpiVax, Inc., a

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privately owned biotechnology company located in Providence, RI. L.M. is employed by and holds stock options in EpiVax. These authors acknowledge that there is a potential conflict of interest related to their relationship with EpiVax and attest that the work contained in this research report is free of any bias that might be associated with the commercial goals of the company.

### Acknowledgments

We are grateful to Dr Donald Drake (VaxDesign) for providing PBMC samples and to Lauren Levitz for a thorough reading of the manuscript. This work was supported by NIH grant R21AI090359 (ADG).

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