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### Authors

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1 Exploring the planetary boundary for chemical pollution

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3

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35

36 ABSTRACT (323 words)

37 Rockström et al. (2009a, 2009b) have warned that humanity must reduce anthropogenic impacts  
38 defined by nine planetary boundaries if “unacceptable global change” is to be avoided.  
39 Chemical pollution was identified as one of those boundaries for which continued impacts could  
40 erode the resilience of ecosystems and humanity. The central concept of the planetary boundary  
41 (or boundaries) for chemical pollution (PBCP or PBCPs) is that the Earth has a finite  
42 assimilative capacity for chemical pollution, which includes persistent, as well as readily  
43 degradable chemicals released at local to regional scales, which in aggregate threaten ecosystem  
44 and human viability. The PBCP allows humanity to explicitly address the increasingly global  
45 aspects of chemical pollution throughout a chemical’s life cycle and the need for a global  
46 response of internationally coordinated control measures. We submit that sufficient evidence  
47 shows stresses on ecosystem and human health at local to global scales, suggesting that  
48 conditions are transgressing the safe operating space delimited by a PBCP. As such current local  
49 to global pollution control measures are insufficient. However, while the PBCP is an important  
50 conceptual step forward, at this point single or multiple PBCPs are challenging to operationalize  
51 due to the extremely large number of commercial chemicals or mixtures of chemicals that cause  
52 myriad adverse effects to innumerable species and ecosystems, and the complex linkages  
53 between emissions, environmental concentrations, exposures and adverse effects. As well, the  
54 normative nature of a PBCP presents challenges of negotiating pollution limits amongst societal  
55 groups with differing viewpoints. Thus, a combination of approaches is recommended as  
56 follows: develop indicators of chemical pollution, for both control and response variables, that  
57 will aid in quantifying a PBCP(s) and gauging progress towards reducing chemical pollution,  
58 develop new technologies and technical and social approaches to mitigate global chemical

59 pollution that emphasize a preventative approach, coordinate pollution control and sustainability  
60 efforts, and facilitate implementation of multiple (and potentially decentralized) control efforts  
61 involving scientists, civil society, government, non-governmental organizations and international  
62 bodies.

63 **KEYWORDS:** planetary boundary, chemical pollution, chemical emissions, Stockholm  
64 Convention, tipping point, global threshold, pollution controls, ecosystem health protection,  
65 human health protection, chemical management

## 66 **1. INTRODUCTION**

67 Rockström et al. (2009a, 2009b) presented nine anthropogenic impacts of global relevance,  
68 including climate change, biodiversity loss, anthropogenic changes of the nitrogen and  
69 phosphorus cycles, stratospheric ozone depletion, ocean acidification, global freshwater use,  
70 changes in land use, atmospheric aerosol loading, and chemical pollution. The authors proposed  
71 that humanity may be moving beyond a “safe operating space” as the magnitude of these impacts  
72 approach or exceed certain thresholds that represent tipping points of the global system or a  
73 natural limit for processes without clear thresholds (so-called “dangerous levels” in the  
74 Rockström et al. articles) (Fig. 1). As discussed in detail below, the authors defined a “safe  
75 operating space” as those global conditions that allow for continued human development.  
76 Rockström et al. (2009a, 2009b) challenged the global scientific community to determine these  
77 “non-negotiable” thresholds or natural limits, which are science-based limits of the Earth’s  
78 systems, reflecting conditions that are favorable for human life and cultural development, and  
79 then to define human-determined boundaries at an appropriate distance from these limits that  
80 allow humanity to “avoid unacceptable global change” (Carpenter and Bennett, 2011). A critical

81 goal of defining the boundaries is to move governance and management away from a piecemeal  
82 and sectorial approach, towards an integrated global approach that is necessary to address global  
83 phenomena.

84

85 For chemical pollution, Rockström et al. (2009a, 2009b) did not define the scope of chemicals  
86 considered, natural limits or a planetary boundary, but stated that these remain to be determined.  
87 However, they suggested that possible measurable control variables for natural limits could be  
88 emissions, concentrations or effects of Persistent Organic Pollutants (POPs), plastics, endocrine  
89 disruptors, heavy metals and nuclear wastes. Persson et al. (2013) added to the discussion by  
90 suggesting three conditions that must be met simultaneously for chemical pollution to present a  
91 global threat. Here we consider a broad range of chemicals including synthetic organic  
92 substances and metals, and those intentionally and unintentionally released. We do not consider  
93 the nutrients nitrogen and phosphorus that are considered under a separate planetary boundary, or  
94 sulfates that can also fall under another planetary boundary (atmospheric aerosol loading).

95

96 A large primary literature and numerous reviews document the extent and diversity of chemical  
97 pollution and attendant adverse health effects to humans and ecosystems (e.g., UNEP, 2012;  
98 AMAP, 2004, 2009; Letcher et al., 2010; WHO and UNEP, 2013; *inter alia*). Indeed, the  
99 number of scientific studies providing such evidence fills environmental journals and conference  
100 halls. Examples of widespread effects are diminishing populations of wildlife (e.g., Oaks et al.,  
101 2004; Tapparo et al., 2012; EFSA, 2013) and increasing burdens of human clinical and

102 subclinical illness related to environmental toxicants (WHO and UNEP, 2013; Grandjean and  
103 Landrigan, 2006; Stillerman et al., 2008). Mounting evidence also indicates that the assessment  
104 of individual chemicals is insufficient, as complex mixtures might cause significant toxic effects,  
105 even if all individual chemicals are present only at individually non-toxic concentrations, as  
106 discussed below. This pattern has been observed repeatedly in a broad range of bioassays at  
107 different levels of complexity and for different types of chemicals (see reviews by Kortenkamp  
108 et al., 2007, 2009; Kortenkamp, 2008; Backhaus et al., 2010; SCHENIHR et al., 2012).  
109 Together, this evidence implies that if emissions of increasing numbers and amounts of  
110 chemicals continue at current and anticipated increasing rates (UNEP, 2012), concentrations of  
111 such chemicals in many parts of the world, alone or as mixtures, will push the global system  
112 beyond the safe operating space. In turn, reaching this point will lead to erosion of vital  
113 ecosystems and ecosystem services, and threaten human well-being. Some argue that this point  
114 has already been reached (WHO and UNEP, 2013; *inter alia*). Furthermore, the boundary of  
115 global chemical pollution cannot be ignored because it is inextricably connected to the other  
116 planetary boundaries by the manifold impacts across the life-cycle of chemicals at a global scale,  
117 e.g., energy and water use for extraction and manufacturing, land use change that accompanies  
118 waste disposal with a potential loss of biodiversity.

119

120 This paper explores the definitions and meaning of, and arguments for, a planetary boundary or  
121 boundaries for chemical pollution (PBCP). We discuss the many challenges that indicate that  
122 defining a boundary or boundaries for chemical pollution is not easily within reach. Our intent  
123 here is not to reproduce or re-summarize evidence of widespread adverse effects due to chemical



124 pollution. Rather, we submit that this evidence points to the need for considering a planetary  
125 boundary or more likely *boundaries* for chemical pollution to help humanity remain within the  
126 Earth's safe operating space. Thus, the paper closes with recommendations for steps that  
127 hopefully will move humanity towards a safe operating space with respect to chemical pollution.

128

129 We start the discussion by acknowledging that defining natural limits and a PBCP(s) is  
130 challenging for many reasons. In the framework presented by Rockström et al. (2009a, 2009b),  
131 defining a PBCP is more difficult than for other planetary boundaries (e.g. for global warming),  
132 due to the difficulty of identifying a single or a few measurable control variables. A control  
133 variable is defined, according to Rockström et al. (2009a, 2009b), as a measurable parameter  
134 that can be related to a specific planetary boundary, e.g., atmospheric CO<sub>2</sub> or temperature for  
135 global warming. However, agreeing on one or more control variables for chemical pollution is  
136 challenging because chemical pollution is caused by an enormous number of chemicals emitted  
137 from innumerable sources and in extremely different amounts in different regions of the world.  
138 In the same way, the response variable is difficult to define and measure in a clear-cut way, since  
139 chemicals cause a wide variety of adverse effects in a similarly wide variety of species, including  
140 humans. The links to the related boundary of biodiversity are evident (Steffen et al. 2015). The  
141 critical point is that the Earth's assimilative capacity, or the number and capacities of the sinks  
142 capable of degrading or immobilizing anthropogenically-released chemicals, is limited at the  
143 global level, even for readily biodegradable chemicals.

## 2. WHY A PLANETARY BOUNDARY FOR CHEMICAL POLLUTION?

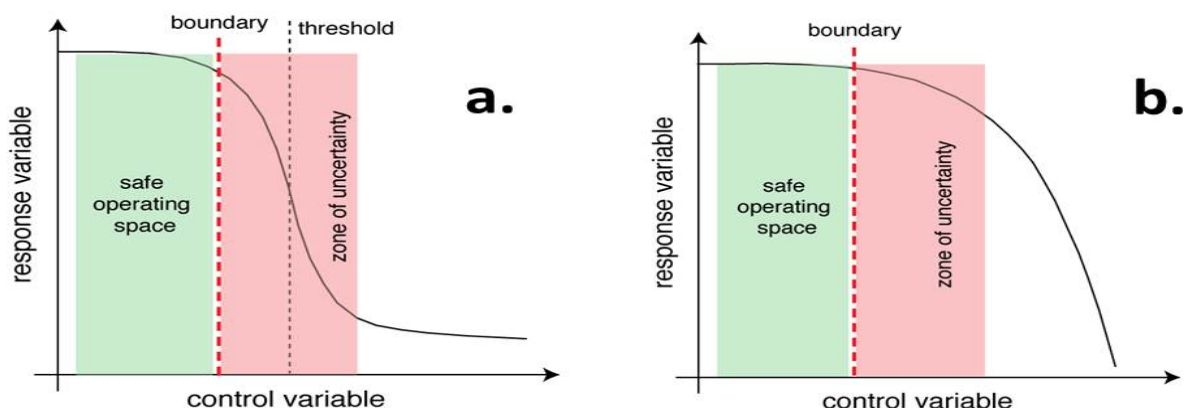
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Several policy instruments aimed at controlling chemical pollution have been developed and are in varying degrees of implementation (Table S1). How does a PBCP differ from existing instruments for chemical management and how or why might it be useful rather than redundant? In order to answer these questions we first expand on the concept of planetary boundaries and a “safe operating space” introduced by Rockström et al. (2009a, 2009b) and then move to put a PBCP into the context of existing instruments for chemicals management.

Rockström et al. (2009a, 2009b) identified that several Earth processes and subsystems behave non-linearly, with thresholds that, once crossed, could tip them into new, undesirable states. For these processes, a sharp “tipping point” may exist beyond which the system may transition into a qualitatively different stage, such as much more rapid global warming at CO<sub>2</sub> concentrations above a certain value (Fig. 1a). Examples of Earth systems with such global thresholds or tipping points include the global climate and ocean acidification (e.g., Lenton et al., 2008; Doney et al., 2009; 2014). The planetary boundary can then be set at a level somewhere below the tipping point.

Other processes and subsystems may not have sharp thresholds (Fig. 1b), but their continued erosion or depletion at continental to global scales may cause functional collapse in an increasing number of globally interconnected systems. Here, examples are freshwater use, land use change and loss of biodiversity (May, 1977; Gerten et al., 2013; Baronsky et al., 2012; Brook et al.,

166 2013). For these, the planetary boundary can be set at a level where the risk of functional  
 167 collapse is deemed acceptably low. In aggregate, planetary boundaries may thus be defined as a  
 168 set of critical values for one or several control variables defined by humans to be at a safe  
 169 distance from such thresholds or dangerous levels (if no threshold is evident) that, if crossed,  
 170 could lead to abrupt global environmental change. The domain below the boundary can be  
 171 considered a “safe operating space”.



172  
 173 Figure 1. Illustration of the concept of the planetary boundary (a) for phenomena with a clear  
 174 tipping point or threshold, where the system moves into a new state, such as CO<sub>2</sub>-driven climate  
 175 change, and (b) without a tipping point, where the system is constantly eroded (modified figure  
 176 from Rockström et al. (2009a), reprinted with permission of the Stockholm Resilience Center,  
 177 Stockholm University, Sweden). We suggest that aggregated chemical pollution is illustrated by  
 178 (b) where there is no clear tipping point.

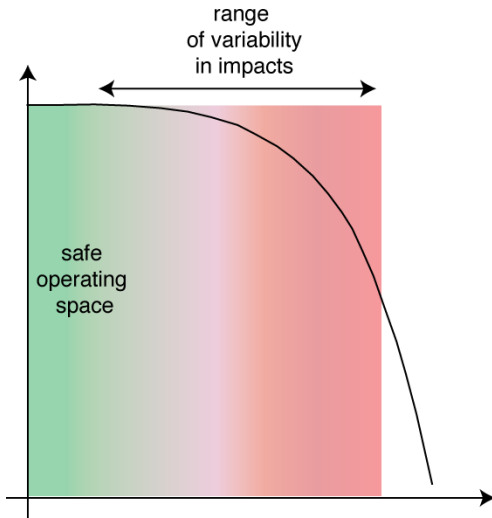
179  
 180  
 181 Although the intention was to define planetary boundaries for systems or processes affecting the  
 182 Earth at the global scale, Rockström et al. (2009a, 2009b) recognized that many of the identified

183 boundaries have thresholds that are more evident at local and/or regional scales where  
184 disturbance is concentrated or the affected ecosystem is more sensitive. These were identified as  
185 “slow processes without known global scale thresholds”. As such, they become a global  
186 problem when they occur at many sites at the same time, aggregating to a level that undermines  
187 the resilience of ecosystems or that adversely affects human health. In turn, these effects would  
188 make it more likely that a threshold with global consequences will be crossed. Examples include  
189 biodiversity loss, land use change, global nitrogen and phosphorus biogeochemical cycles, and  
190 chemical pollution (Erisman et al., 2013; Hooper et al., 2012; Diaz and Rosenberg, 2008). Slow  
191 processes without global thresholds may also exert their effects by affecting other planetary  
192 boundaries, for example, chemical pollution of ecosystems linked to biodiversity loss  
193 (Voeroesmartly et al., 2010; Lenzen et al., 2012; Steffen et al. 2015).

194

195 The distance between the planetary boundary and the threshold or natural limit ideally depends  
196 on the uncertainty that surrounds the scientific knowledge about the threshold or natural limit  
197 (Fig. 2). If the uncertainty is high, a larger distance between the threshold and the boundary is  
198 advisable.

199



200

201 Figure 2. Illustration of where global impacts are located with respect to the safe operating space.

202

203 For the planetary boundaries where critical limits were estimated, most of these could be based  
 204 on one or two specific control variables, such as atmospheric CO<sub>2</sub> concentrations and radiative  
 205 forcing for climate change. Most of the planetary boundaries that were quantified are  
 206 preliminary, rough estimates with large uncertainties and for which knowledge gaps were  
 207 acknowledged.

208

209 Although some preliminary boundaries have been proposed, Rockström et al. (2009a, 2009b)  
 210 pointed out the normative quality of a “safe” distance, as it is based on how societies deal with  
 211 risk and uncertainty. By normative we mean that decisions on what constitutes a “safe operating  
 212 space” are societal decisions, supported by scientific evidence. This implies that the diversity of  
 213 viewpoints held by different societal groups have to be heard in order to come to a decision on  
 214 what constitutes a safe operating space.

215

216 What does the PBCP offer that existing pollution control instruments lack? The planetary  
217 boundary concept allows us to explicitly address the *global aspects of chemical pollution*. By  
218 recognizing the global nature of chemical pollution, including aggregated local effects or where  
219 distance separates emissions from effects, we highlight the need for an integrated global response  
220 and acknowledge that pollution control activities of local to national entities alone, are  
221 insufficient.

222  
223 Chemical pollution is a global issue. Several groups of chemicals are distributed around the  
224 globe by virtue of their persistence and ability to undergo long-range transport, for example  
225 chlorofluorocarbons (CFCs) and persistent organic pollutants (POPs). Others, such as high-  
226 production-volume metals that are inherently persistent, are used and emitted globally because of  
227 their high production volumes, global trade and widespread use in a broad range of applications.  
228 Additionally, the global economy is undergoing chemical “intensification”, as described by the  
229 UNEP “Global Chemicals Outlook” analysis (UNEP, 2013). Chemical intensification is due to  
230 rapidly increasing global production of chemicals (Wilson and Schwarzman, 2009), to the  
231 increasing use of synthetic substances to replace natural materials, and to the use of increasingly  
232 complex chemicals in more and more applications. Chemical intensification is predicted to lead  
233 to increasing per-capita chemical usage amongst a growing global population (UNEP, 2013).

234  
235 In addition, chemical product chains, which span the life cycle stages from resource extraction to  
236 product manufacturing, use and disposal, are increasing in complexity, often covering several  
237 continents and decades of time, and offer new challenges to pollution control. For example,  
238 chemical production today can result in future emissions, particularly for chemicals in

239 infrastructure and goods with long lifetimes. Brunner and Rechberger (2001) have estimated that  
240 whereas ~10% of all chemical stocks is contained in waste deposits from primary production and  
241 ~10% is contained in land filled waste, ~80% is contained in in-use and “hibernating” stocks.  
242 Most documentation of uncontrolled releases concern the two former sources (i.e., 20%) but not  
243 the 80% (e.g., Brunner and Rechberger, 2001; Weber et al., 2013; *inter alia*). Examples of the  
244 “20%” include long-term emissions from tailings, waste rock piles, nuclear waste repositories,  
245 abandoned industrial sites, and numerous landfills in developing countries (Turk et al., 2007;  
246 Torres et al., 2013; Weber et al., 2011). One example of long-term emissions from an in-use  
247 chemical stock is that of polychlorinated biphenyls (PCBs, listed as a POP under the Stockholm  
248 Convention) from equipment that was still in use in Canada in 2006 despite the ban on PCB  
249 production nearly 40 years ago (Diamond et al., 2010; Csiszar et al., 2013). Another example is  
250 that of CFCs contained in blown building insulation that is subject to uncontrolled releases as the  
251 generation of buildings using that foam undergoes renovation or destruction over the next 30  
252 years (Brunner and Rechberger, 2001)

253  
254 Similar application patterns of chemical technologies and similar uses of chemical products in  
255 almost all regions of the world result in widespread chemical releases. Chemical manufacturing  
256 and industrial usage are rapidly shifting from Western industrialized countries to developing  
257 countries and countries with economies in transition, including BRICS countries (Brazil, Russia,  
258 and especially India and China, and most recently South Africa) (UNEP, 2013). New and  
259 increasing resource extraction and chemical manufacturing, usage and waste disposal are leading  
260 to increased chemical pollution, particularly in jurisdictions with insufficient control mechanisms  
261 (Schmidt, 2006; Gottesfeld and Cherry, 2011). Short-lived chemicals are also being released in

262 many regions at rates that exceed degradation rates and hence environmental assimilative  
263 capacities. Examples of such chemicals include pharmaceuticals, high production volume  
264 plastics and plasticizers such as bisphenol A and di-ester phthalates, and “D4” and “D5”  
265 siloxanes (e.g., WHO and UNEP, 2013; Kolpin et al., 2002; Rosi-Marshall et al., 2013; Peck and  
266 Hornbuckle, 2004; Fromme et al., 2002; Fries and Mihajlovic, 2011; Wang et al., 2013).

267  
268 As pointed out above, the global nature of chemical pollution demands a global response of  
269 internationally coordinated control measures, in addition to multiple local, regional and national  
270 efforts covering different groups of substances, which are disconnected in time and space. One  
271 example of a global governance instrument is the Stockholm Convention on Persistent Organic  
272 Pollutants (POPs), which seeks elimination at best, or more broadly, the sound management, of a  
273 set of POPs agreed upon through international negotiations (Stockholm Convention, 2008).  
274 While achieving many successes (Stockholm Convention, 2012), the Convention is limited to a  
275 small number of chemicals or chemical classes (currently 22 are listed, with four more under  
276 review), includes numerous exemptions, and has no instrument for sanctions to ensure national  
277 implementation. This is not a shortcoming of the Convention because the intention of the  
278 Convention is not to address the totality of chemical pollution. As such, the Stockholm  
279 Convention is not adequate for challenge presented by developing a PBCP. Similarly, the  
280 Montreal Protocol is limited to substances that deplete the stratospheric ozone layer (UNEP  
281 2010-2011) and the Minamata Convention is limited to mercury (UNEP 2015). The Convention  
282 on Long-range Transboundary Air Pollution, under the aegis of the United Nations Economic  
283 Commission for Europe and to which there are 51 parties, addresses a range of chemical  
284 pollutants including metals and POPs (UNECE 2004).



285

286 Another example of a global governance tool is the United Nations Framework Convention on  
287 Climate Change where global negotiations and agreements have led to reduction goals for  
288 greenhouse gases that are intended to be implemented at national levels (UNFCCC, 2013).  
289 International climate negotiations have seen the emergence of control instruments of largely two  
290 types. The first is an absolute limit for total CO<sub>2</sub>-equivalent emissions (a “cap”) to assure that  
291 total global emissions are on target to prevent the global atmospheric CO<sub>2</sub> concentration  
292 exceeding an agreed-upon boundary. The second type of control scheme links emissions to  
293 activity or intensity such as CO<sub>2</sub>-equivalent emissions per unit of electricity generated or per  
294 kilometre driven, or to an economic cost resulting in reductions of CO<sub>2</sub>-equivalent  
295 emissions/capita (Azar and Rodhe, 1997; Ellerman and Sue Wing, 2003). These intensity or  
296 efficiency-based emission controls acknowledge the need to reduce greenhouse gas emissions  
297 but cannot ensure that global emissions are within the global safe operating space because of  
298 population and economic growth that increase the demand for energy services, most of which are  
299 based on fossil fuels (IEA, 2014).

300

301 Implicit in the concept of a safe operating space for CO<sub>2</sub> and other greenhouse gases, ocean  
302 acidification, nitrogen and phosphorus cycles, and “chemical pollution”, is that there is a finite  
303 global assimilative capacity. Here we define assimilative capacity as the ability of an ecosystem  
304 to render substances harmless, i.e. avoiding adverse effects. By seeing the problem in this light,  
305 it leads us towards exploring the need for a globally coordinated cap for emissions, rather than  
306 jurisdiction-specific, intensity-based controls, which may be sufficient in some circumstances but  
307 fail to account for cumulative, global effects.

308

### 309 **3. CHALLENGES OF DEFINING A PLANETARY BOUNDARY FOR** 310 **CHEMICAL POLLUTION**

311 Moving the idea of a PB beyond a conceptual model requires that the impact of anthropogenic  
312 stressor(s) on all ecosystems can be described and quantified as a function of a measurable  
313 control variable(s) that is (are) related to a measurable response variable(s). For a PBCP, the  
314 ultimate effect or response variable (Fig. 1) subject to control is widespread adverse impact(s) to  
315 ecological and/or human health caused by exposure to (a) substance(s). Exposure can be  
316 identified as the critical control variable since it is the necessary prerequisite for any kind of  
317 chemically induced effect or response we want to safeguard against. Ideally, chemical exposure  
318 can be used to define a threshold(s) or natural limit(s) that, in turn, can be translated into a global  
319 boundary (boundaries) and a safe operating space. As noted above, the boundary (boundaries) is  
320 (are) established by humans and is (are) a product of societal demands, needs, value judgments  
321 and negotiations. The control variable(s) must also be amenable to translation into possible  
322 mitigation or control activities, which in this case would reduce exposure and thus, would  
323 maintain human and ecosystem health within the safe operating space, the latter reflected in  
324 maintained biodiversity, ecosystem functionality and human health.

325

326 Challenges arise at all stages in the definition process that starts with a control variable(s) and  
327 ends with “actionable” activities. First, operationalizing “exposure” as the control variable is  
328 difficult because of the high and poorly defined number of chemicals that fall under the umbrella  
329 of “chemical pollution”. More than 100 000 substances are in commerce (Egeghy et al., 2012),

330 including pesticides, biocides and pharmaceuticals, industrial chemicals, building materials and  
331 substances in personal care products and cosmetics (e.g., Howard and Muir, 2010, 2011; ECHA,  
332 2013) and very few of them have undergone adequate risk assessment for adverse effects. A  
333 recent screening of 95 000 chemicals for persistence (P), bioaccumulation (B) and toxicity (T)  
334 properties (REACH criteria) identified 3% or approximately 3000 chemicals as potential PBT  
335 chemicals (uncertainty range of 153-12 500 chemicals) (Stempel et al., 2012). Similarly, 93 000  
336 chemicals were screened for P, B and long range transport potential according to the Stockholm  
337 Convention criteria, plus T (REACH criteria) resulting in the identification of 510 potential  
338 POPs (uncertainty range of 190-1 200 chemicals) (Scheringer et al., 2012). Unintentionally  
339 produced substances, such as the combustion by-products polycyclic aromatic hydrocarbons  
340 (PAH) and polychlorinated and polybrominated dibenzo-*p*-dioxins and furans (PCDD/F and  
341 PBDDs/Fs), are emitted as a consequence of human activity and many emitted chemicals are  
342 transformed to a multitude of other chemicals by biological and physical-chemical processes.  
343 Whereas some limits have been placed on a few selected chemicals that are highly persistent,  
344 bioaccumulative and toxic such as PCDD/F, those with intermediate PBT properties have  
345 received insufficient attention (Muir and Howard, 2006; Howard and Muir, 2010; Scheringer et  
346 al., 2012). In addition, an enormous number of organisms in a diversity of ecosystems are  
347 exposed to chemical pollution (which is invariably a complex chemical mixture) and they will  
348 respond in myriad ways. Moreover, chemicals have specific modes of actions and can show  
349 very different toxicological potencies. Humans take a specific place among affected organisms.  
350 Any approach to establishing a PBCP(s) must include impacts on human health, even if this is in  
351 contrast to the framework of Rockström et al. (2009a, 2009b) or which the objects of protection

352 are biogeochemical systems and ecosystems, e.g., the climate system, the ozone layer, and  
353 freshwater.

354

355 Second, we acknowledge that boundaries for chemical pollution have been developed at a global  
356 scale for selected POPs and mercury, and at local and regional scales for chemicals in foods,  
357 water and air (Table S1). However, only a few of these boundaries account for exposure to  
358 multiple chemicals simultaneously that can act in an additive fashion. Moving beyond a  
359 chemical-by-chemical approach to acknowledge mixture effects is of growing importance if  
360 limits are to be protective (e.g., Kortenkamp, 2007; Kortenkamp et al., 2007; Backhaus et al.,  
361 2010; Meek et al., 2011; SCHENIHR et al., 2012). An increasing body of evidence suggests  
362 that, *de facto*, the existing boundaries are not sufficiently protective for endocrine disrupting  
363 chemicals that can cause transgenerational effects (e.g., Baccarelli and Bollati, 2009; Bollati and  
364 Baccarelli, 2010; Bouwman et al., 2012; Mani et al., 2012; WHO and UNEP, 2013; *inter alia*).  
365 This is not surprising since accepted and validated methods for identifying and testing endocrine  
366 disrupting chemicals, particularly after exposure during critical early life stages, are generally  
367 lacking or have not yet been implemented in chemicals risk assessment (WHO and UNEP, 2013;  
368 *inter alia*).

369

370 Third, connecting exposure as the control variable to an “actionable” activity (such as controlling  
371 emissions) is difficult because of the diversity of fate and transformation processes at play  
372 between an initial emission of a chemical or a chemical mixture and the concentration(s)

373 resulting in exposure and then an adverse effect. Establishing the release-fate-concentration-  
374 effect linkage is necessary for other planetary boundaries such as CO<sub>2</sub>, stratospheric ozone,  
375 phosphorus and nitrogen cycles. Establishing this linkage for chemical pollution is also  
376 necessary but it is more challenging because of the large number of chemicals of varying  
377 persistence and toxicity that are captured by this boundary.

378

379 Finally, in addition to the scientific challenges of defining a boundary(s), it must be remembered  
380 that most of the world's countries do not have the capacity or resources to measure a control  
381 variable such as exposure and to implement effective controls such as those listed in Table S1  
382 (e.g., Klanova et al., 2009; Adu-Kumi et al., 2012). Furthermore, as noted above, a boundary(s)  
383 is normative and as such, a diversity of viewpoints will be held on what constitutes an  
384 "acceptable" level of pollution.

385

386 The combination of numerous substances with different use and emission patterns, affecting a  
387 multitude of different endpoints in a plethora of exposed species in the vastly different  
388 ecosystems of the world, plus consideration of human health, makes the derivation of a single  
389 quantitative PBCP or multiple PBCPs a daunting, if not impossible task. However, the situation  
390 of increasing chemical production, emissions and adverse effects cannot be allowed to continue  
391 unabated. Thus, we believe that the concept of a planetary boundary or boundaries for chemical  
392 pollution is a useful framework for global action, but that it needs to be modified to account for  
393 these complexities and challenges.

394

395

#### 4. STEPS TOWARD GLOBAL CHEMICALS MANAGEMENT

396

397 Although it may not be possible to establish a single or even multiple PBCP(s) at this time, an  
398 increasing body of evidence strongly suggests that we need more effective global chemicals  
399 management. What has been accomplished in global chemicals management? Global  
400 cooperation amongst nations has, amongst others, resulted in the Stockholm Convention on  
401 POPs, the Montreal Protocol on CFCs, the Basel Convention on Control of Transboundary  
402 Movements of Hazardous Wastes, and the Rotterdam Convention on Prior Informed Consent  
403 Procedure for Certain Hazardous Chemicals and Pesticides in International Trade. These  
404 Multilateral Environmental Agreements have come together under the aegis of UNEP. The  
405 Stockholm and Montreal agreements strive towards zero-emissions of the listed chemicals. In  
406 January 2013, UNEP brokered the Minamata Convention on mercury, the language of which has  
407 gained support from 94 signatory countries (UNEP, 2015). The Minamata Convention specifies  
408 the banning of production, export and import of a range of mercury-containing products, calls for  
409 the drafting of strategies to limit the use of mercury in artisanal and small-scale gold mining, and  
410 aims to work towards minimizing mercury emissions from combustion sources such as  
411 conventional fossil fuel power plants and cement factories. Like the Stockholm Convention, the  
412 Minamata Convention includes the provision to develop a compliance mechanism that will be  
413 established through negotiation after the official signing of the Convention.

414

415 These five agreements address priority chemical pollutants at the global scale, reflect the insight  
416 that global dilution is not the solution to local or global pollution, and that environmental  
417 safeguards are the right of all countries. Well over 100 countries have adopted them (except for  
418 the most recent Minamata Convention), which in itself is a great accomplishment. However,  
419 these agreements have limitations due to numerous official exemptions and unofficial  
420 “loopholes”, they cover only a limited number of chemicals, implementation costs are largely  
421 left to individual countries of which many lack such capacity, and sanctions cannot be levied for  
422 a lack of compliance. As such, these agreements are not adequate to address the totality of  
423 chemical pollution (which was never their intent). Importantly, the fact that these agreements  
424 have been enacted is a reflection that humanity has come close to or crossed boundaries for these  
425 chemicals. A PBCP provides an overarching conceptual basis to characterize the achievements  
426 of these agreements and to accommodate additional necessary controls.

427

428 For chemicals listed by the Stockholm and Minamata Conventions and the Montreal Protocol,  
429 the planetary boundary is set at a *de minimus* level (ideally zero emissions but exemptions  
430 preclude this). In addition to the zero emissions boundary, several other types of boundaries  
431 have been defined during the past decades under many jurisdiction-specific regulations and  
432 initiatives spanning local to national scales. As summarized in Table S1, the initiatives, which  
433 come from international agencies, Europe, Japan, North America, China, India and Nigeria,  
434 include limits to levels of pesticides in groundwater and surface water, levels of priority  
435 pollutants in surface waters, and acceptable daily intakes (ADIs) for a wide range of food  
436 contaminants. However, as noted above, not all of these agencies are able to monitor for, and  
437 enforce compliance.

438

439 Another major global initiative is the Strategic Approach to International Chemicals  
440 Management (SAICM), which is also under the aegis of UNEP. The ultimate goal of SAICM is  
441 to facilitate activities to ensure that "...chemicals will be produced and used in ways that  
442 minimize significant adverse impacts on the environment and human health" (SAICM, 2006).  
443 The role of SAICM is advisory by acting as a source of information to governmental and extra-  
444 governmental bodies regarding safe chemical management and funding projects to fulfill the aim  
445 of the initiative. SAICM is a non-binding agreement with broad participation of countries and  
446 other stakeholders such as the chemical industry. In comparison to the five chemical  
447 agreements, SAICM is much broader in scope by addressing all agricultural and industrial  
448 chemicals from cradle to grave, aiming at overall sound chemicals management. However,  
449 SAICM does not have a compliance mechanism.

450

451 To move towards a truly global approach encompassing the aggregated impacts from all  
452 anthropogenic chemical pollution, we need to learn from experience and build on successes (and  
453 failures). What are the key lessons learned? One lesson learned is that implementation of  
454 stringent controls by specific jurisdictions has led to improved local conditions in those  
455 jurisdictions. However, increased global trade and the fluidity of global finance have moved  
456 more chemical and goods production and waste disposal to locations without stringent controls  
457 (e.g., Skelton et al., 2011; Breivik et al., 2011; Sindiku et al., 2014). Thus, one intention of a  
458 global boundary is avoiding "pollution free" jurisdictions at the expense of creating "pollution  
459 havens" in developing nations (e.g. Gottesfeld, 2013). Examples of developed nations achieving  
460 their pollution control goals by shipping waste and waste products to developing nations have



461 been described elsewhere (Schmidt, 2006; Breivik et al., 2011, 2014; Gioia et al., 2011;  
462 Abdullah et al., 2013).

463  
464 A second lesson learned is that despite the challenges, as scientists we need to avoid calling for  
465 more scientific certainty before action is taken as this delays adoption of control measures, which  
466 in this case translates to measures that will help stem widespread chemical pollution. Gee and  
467 others (Gee, 2006; Gee et al., 2013; Harremoës et al., 2001) have documented examples of where  
468 the call for more research to improve risk assessments of chemicals often led to delays in action  
469 of up to several decades although early warnings of adverse effects were already apparent (e.g.  
470 tobacco smoking and asbestos). Persson et al. (2013) provide a persuasive argument in this  
471 regard.

472  
473 As a result of these considerations, we submit that the PBCP is a useful aspirational framework  
474 that allows natural and social scientists, policy makers, industry and civil society to visualize the  
475 idea of a safe operating space, see the limited assimilative capacity of the Earth, recognize  
476 chemical pollution at a global scale, and see the inadequacy of current control measures to deal  
477 with the totality of global chemical pollution. Having said that, we recognize that defining a  
478 single or multiple quantitative PBCP(s), or even a single approach for its definition, is not now  
479 within reach. Rather, we recommend advancing in multiple directions that involve globally  
480 coordinated action in scientific, technical and political domains (e.g., Conklin, 2005; Horn and  
481 Weber, 2007). For the scientific domain we propose the following:

- 482 1. Explore advancing the concept of, and methods for quantifying a PBCP(s). We advocate  
483 making stepwise progress using a few well-known chemicals such as POPs, intermediate  
484 PBT chemicals (demonstrated toxicity but not highly persistent), and a few high production  
485 volume chemicals with demonstrated toxicity.
- 486 2. Continue to identify and develop indicators of global chemical pollution, initially based on  
487 proxies for chemical exposure and potency. Information on indicator status should then be  
488 used to gauge progress towards staying within the safe operating space for chemical  
489 pollution. Useful information to guide this task can be taken from the Drivers, Pressures,  
490 States, Impacts, Responses (DPSIR) approach (OECD, 1991; Harremoës, 1998), and  
491 suggestions of how this could be accomplished are given in the Supporting information. This  
492 proposal builds on the global monitoring networks that have achieved considerable success  
493 such as those under the Stockholm Convention (e.g., the Global Atmospheric Passive  
494 Sampling network or GAPS (Gawor et al., 2014) and Human milk survey (UNEP et al.,  
495 2013)).
- 496 3. Conduct research into new technologies and methods that will aid in implementing the goals  
497 of the six global chemical agreements (Montreal Protocol; Stockholm, Minamata, Rotterdam,  
498 Basel and UNECE LRTAP Conventions) and in lowering production and emissions of non-  
499 POP priority chemicals. This research includes methods for identifying and characterizing  
500 stocks of chemicals scheduled for elimination, developing technologies for efficient and  
501 effective destruction of stockpiles, research into societal and cultural considerations that will  
502 maximize the likelihood of policy implementation, etc.
- 503 4. Connect activities aimed at chemical pollution control in the context of PBCP to efforts  
504 aimed at moving towards sustainable resource use. This should include investigating ways to

505 chemically “de-intensify” economies, to use “green chemistry” substitutes and non-chemical  
506 solutions, and to implement social solutions aimed at reducing resource consumption.

507 Efforts are underway in this regard, such as the U.S. EPA’s Design for the Environment  
508 Program (U.S.EPA, 2014) and the GreenScreen© for Safer Chemicals (Clean Production  
509 Action, 2015). These two issues, PBCP and sustainable resource use, are intertwined such  
510 that chemical pollution is a manifestation of unsustainable and inefficient resource use.

511 Thus, efforts directed towards achieving both goals would benefit from coordinated action.

512

513 Progressing towards a PBCP(s) will require scientific, political, social and economic strategies.

514 In the political domain, it will be important to raise more awareness for chemical pollution  
515 problems in all parts of the world, and to aid individual countries in implementing existing local  
516 and regional boundaries and international agreements. The shift of chemical production from  
517 OECD countries primarily to the BRICS countries needs to be complemented by a process that  
518 helps to develop chemical regulation and enforcement in these regions to a level comparable or  
519 better than that of OECD countries.

520

521 To address these needs, organizations at the global level such as WHO and UNEP can be drivers  
522 for effective exchange and collaboration amongst the public, environmental NGOs, industry and  
523 national government institutions to enable significant pollution control. Civil society and local  
524 jurisdictions also have and continue to implement effective pollution controls using a variety of  
525 tools. Examples here include the activities of the International POPs Elimination Network

526 (IPEN), the Pesticides Action Network (PAN), and C40 Cities for “Global Leadership on  
527 Climate Change” (C40 Cities, 2013).

528

529 In closing, 50 years ago Rachel Carson pointed out for the first time that the extensive use of  
530 pesticides is dangerous not only to wildlife, but also to humans. This is still an ongoing concern,  
531 emphasized by the recent finding that neonicotinoid pesticides are contributing to the massive  
532 collapse of bee populations (Tapparo et al., 2012; Henry et al., 2012; Whitehorn et al., 2012).

533 Now we need to go beyond Rachel Carson’s clarion call about pesticides. Today’s phenomenon  
534 of locally to globally distributed chemicals that are causing adverse effects, demands that a wide  
535 range of chemical products and uses be restrained and many chemicals in commerce need to be  
536 used with much more prudence and precaution. It is time to harness the knowledge, capacity and  
537 commitment held by many to see Rachel Carson’s vision moved to a truly global scale.

538

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540

541

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