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November 6, 1975

Honorable John Brademas
Chairman
Subcommittee on Select Education
House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

The enclosed information is submitted in response to your letter of October 7, 1975. All of the enclosures are marked to correspond to the questions in your letter. Below is a brief description and/or explanation of the information provided:

1. Meetings of the National Council on the Arts -- dates and locations;

Members of the National Council on the Arts, their affiliation and attendance record -- a complete list of all Council members who have served (those with terms during Fiscal Years 1972-1975 are so indicated);

National Council on the Arts Biographical Information -- for members who have served since 1972;

2. (a&b) Panels of the National Endowment for the Arts -- composition by program area as of July 31, 1975 with background information on each panelist;

(c) Description of the role and responsibility of the panels, plus Administrative Directive P-320 which deals with the employment of consultants and experts, including panelists;

(d) Specific Areas of Responsibility of Advisory Panels -- a brief description of each panel and

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subpanels with the categories of applications reviewed by each;

(eaf) Level of Funding and Total Man-years of Staff Support for the Federal Advisory Committees, 1972-1974 -- by calendar year rather than fiscal year. (The staff support for the panels is spread amongst many staff members; therefore, the list provided includes man-years of staff support provided to the panels.);

(g) Fiscal Year 1974 Consultant/Panelist Information -- statement of payments to all panelists. This data was prepared for the Congress last spring at considerable staff cost. If similar information for 1975 would be helpful, please let us know;

3. Our General Counsel advises that under the Freedom of Information Act and the Privacy Act of 1974, the names of individual applicants receiving unfavorable recommendations may not be released without their prior approval. The Endowment has long operated under such a policy with respect to rejected applications from both individuals and organizations, in order to protect rights of privacy and to insure that opportunities for support from other grant-making sources are not prejudiced. The grants that were recommended by the panels and the Council for Fiscal Years 1973-1975 are included in the response to Question 4. While the Chairman is not legally obligated to submit contracts to the panels and Council for approval, most contracts are submitted for review by the Council. A list of all contracts is provided in response to Question 4;
4. Enclosed are three notebooks of grants and contracts -- one each for Fiscal Years 1973, 1974, and 1975 -- listed by state. All the information requested is included, except the name of the director and the final results for each grant. The name of the project director is only available on each application form. It is not included on our master lists since the grant is legally given to the organization, not the project director. To provide this information, it would be necessary to go manually through every grant file for the past three years -- over 7500 -- and record on the enclosed list the

name of the director at the time the grant was given. While this would be a lengthy task, we would be happy to provide the director's name for any specific grants that you would find useful. Likewise, compiling all the final reports and copying them would entail a great deal of staff time and costs. We would be glad to provide any particular reports you wish. Only a few of the final reports for 1975 grants have been received at this time, but most reports for the previous years are available;

5. The Endowment does not maintain grant listings by panel. To compile such a list, it would be necessary to resort all of the grants provided in response to Question 4. However, we have enclosed the grant lists by program for Fiscal Years 1973 and 1974 that appear in the Annual Reports of the Endowment. The 1975 list is being prepared and should be available by December 1, 1975. These lists do not have a description, but when one is required, it can be easily pulled from the state lists supplied in response to Question 4. Please refer to our response to Question 2(d) for information regarding which panel reviews applications under each of the program's sub-categories of funding;
6. National Endowment for the Arts Staffing Pattern -- as of September 30, 1975. Also enclosed is a list of consultants and experts as of that date, the programs they are affiliated with, and their daily rate of compensation. Each consultant is appointed for a one-year period and paid for the number of days worked;
7. The Arts Endowment has made no grants to agencies or organizations that are not incorporated or operating within the United States. Some fellowships have been awarded to non-Americans who are residents for projects to be carried out in the United States. However, no separate records are kept on these individuals. These few names could only be provided by checking each of the more than 700 fellowship grants awarded in Fiscal Year 1975. This information could be provided if it would be useful.
8. Chairman's Action Grants, Fiscal Years 1973-1975 -- summary information including number of grants and

dollar amounts. Also provided are four separate lists of Fiscal Year 1975 Chairman's grants taken from the books prepared for the Council meetings at which these grant actions were reviewed. Each list includes all the grants approved since the previous meeting. All Chairman's grants are submitted to the Council for their information and review after the fact. Therefore no grants are awarded under the Chairman's Authority without subsequent Council review. While contracts entered into by the Endowment do not legally require Council review, most are submitted to the Council prior to signature. All contracts are listed in the information provided in response to Question 4;

9. Contracts, Grants and Amendments Awarded During Fiscal Year 1975 not Requiring 50-50 Match -- list includes reason why each exception was given; and
10. Audit Reports for Past Three Years -- a list only. Copies of all audits would include many file drawers of papers. The Endowment would be happy to provide information on any particular audit listed as well as to discuss the information with your staff, if you desire.

We are pleased to be able to provide this information and welcome the Committee's interest. We would be glad to respond to any requests for clarification of this material or to provide additional information if it is required.

Best wishes,

Sincerely,

Nancy Hanks
Chairman

Enclosures

bcc: Congressional Liaison (2) ✓
Corres (Chron File)
Planning (Reading File)

Lazarus/McClennan:bp
Prepared in Corres 11/6/75