Open Access Policies
Panel Discussion

Julia Lovett & Andrée Rathemacher
University of Rhode Island

New England Library Association
Academic Librarians Section 2015 Fall Event
Open Access: Change, Challenge, Opportunity
November 13, 2015
Bridgewater State University, Bridgewater, MA
University of Rhode Island faculty unanimously pass Open Access Policy…

Next steps for the library?
In addition, I confirm my grant to the University of Rhode Island of a non-exclusive license with respect to my scholarly articles as set forth in the open access policy found at http://www.uri.edu/facsen/about/legislation/legislation_documents/2012-13/Bill_12-13-29.pdf that was adopted by the University of Rhode Island faculty on March 21, 2013 and signed by the President on May 2, 2013. Accordingly, the University of Rhode Island may exercise any and all rights under copyright relating to my scholarly articles, in any medium, and may authorize others to do the same, provided that the articles are not sold.
September 25, 2013

Mr. Brian Crawford  
President, ACS Publications Division  
American Chemical Society  
1155 Sixteenth Street, N.W.  
Washington, D.C. 20036

Dear Mr. Crawford,

I am writing to inform you about a new University of Rhode Island policy that affects scholarly articles authored by faculty at the University of Rhode Island (URI). On March 21, 2013, the URI Faculty Senate unanimously adopted an Open Access Policy that authorizes the University of Rhode Island to make available faculty-authored scholarly articles and to exercise the copyright in those articles for the purpose of open dissemination. The Policy reflects and supports the faculty’s commitment to the widest possible dissemination of its research and scholarship.
## Contacting Publishers

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<td>2</td>
<td>Mr. Alan</td>
<td>Leshner</td>
<td>Executive Publisher</td>
<td>AAAS</td>
<td>1200 New York Avenue, N.W.</td>
<td>Washington</td>
<td>DC</td>
<td>20005</td>
<td><a href="mailto:aleshner@aaas.org">aleshner@aaas.org</a></td>
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<td>Ms. Beth</td>
<td>Rosner</td>
<td>Publisher</td>
<td>AAAS</td>
<td>1200 New York Avenue, N.W.</td>
<td>Washington</td>
<td>DC</td>
<td>20005</td>
<td><a href="mailto:brosner@aaas.org">brosner@aaas.org</a></td>
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<td>4</td>
<td>Dr. Jeffery M. Burns</td>
<td></td>
<td>Director</td>
<td>Academy of American Franciscan History</td>
<td>1712 Euclid Avenue</td>
<td>Washington</td>
<td>DC</td>
<td>94709</td>
<td><a href="mailto:acadafh@fst.edu">acadafh@fst.edu</a></td>
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<tr>
<td>5</td>
<td>Dr. G. Thomas</td>
<td>Hult</td>
<td>Executive Director</td>
<td>Academy of International Business</td>
<td>The Eli Broad College of Business, Eppeley Center</td>
<td>East Lansing</td>
<td>MI</td>
<td>48824</td>
<td><a href="mailto:alb@alb.msu.edu">alb@alb.msu.edu</a></td>
</tr>
<tr>
<td>6</td>
<td>Ms. Edith</td>
<td>Rosato</td>
<td>Chief Executive Officer</td>
<td>Academy of Managed Care Pharmacy (AMCP)</td>
<td>100 North Pitt Street</td>
<td>Alexandria</td>
<td>VA</td>
<td>22314</td>
<td><a href="mailto:erosato@amcp.org">erosato@amcp.org</a></td>
</tr>
<tr>
<td>7</td>
<td>Prof. R. Duane</td>
<td>Ireland</td>
<td>President, Board of Governors</td>
<td>Academy of Management</td>
<td>P.O. Box 3020</td>
<td>Briarcliff Manor</td>
<td>NY</td>
<td>10510-8020</td>
<td><a href="mailto:diredland@mays.tamu.edu">diredland@mays.tamu.edu</a></td>
</tr>
<tr>
<td>8</td>
<td>Prof. Sandy</td>
<td>Wayne</td>
<td>Chair, Journals Committee</td>
<td>Academy of Management</td>
<td>P.O. Box 3020</td>
<td>Briarcliff Manor</td>
<td>NY</td>
<td>10510-8020</td>
<td><a href="mailto:sjwayne@uic.edu">sjwayne@uic.edu</a></td>
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<td>9</td>
<td>Mr. George</td>
<td>Gephardt</td>
<td>President &amp; CEO</td>
<td>Academy of Natural Sciences of Drexel University</td>
<td>Scientific Publications</td>
<td>Philadelphia</td>
<td>PA</td>
<td>19103-1101</td>
<td><a href="mailto:presidentoffice@ansp.org">presidentoffice@ansp.org</a></td>
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<td>Mr. Demetrios I.</td>
<td>Corayle</td>
<td>Editor</td>
<td>Academy of Political Science</td>
<td>Political Science Quarterly</td>
<td>New York</td>
<td>NY</td>
<td>10115-1274</td>
<td><a href="mailto:dc121@columbia.edu">dc121@columbia.edu</a></td>
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<td>11</td>
<td>Prof. Gloria</td>
<td>Vollmers</td>
<td>Editor</td>
<td>Accounting Historians Journal</td>
<td>University of Maine</td>
<td>Orono</td>
<td>ME</td>
<td>04469-5723</td>
<td><a href="mailto:vollmers@maine.edu">vollmers@maine.edu</a></td>
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<tr>
<td>12</td>
<td>Prof. James</td>
<td>McKinney</td>
<td>Editor</td>
<td>Accounting Historians Notebook</td>
<td>Robert H. Smith School of Business</td>
<td>College Park</td>
<td>MD</td>
<td>20742-1815</td>
<td><a href="mailto:jmckinney@rsmith.umd.edu">jmckinney@rsmith.umd.edu</a></td>
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<tr>
<td>13</td>
<td>Mr. Bernard</td>
<td>Rous</td>
<td>Director of Publications</td>
<td>ACM (Association for Computing Machinery)</td>
<td>Office of Publications</td>
<td>New York</td>
<td>NY</td>
<td>10121-0701</td>
<td><a href="mailto:rous@acm.org">rous@acm.org</a></td>
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- **508 individuals at 457 publishers**
- **Attachments: copy of OA Policy, FAQ, sample Addendum to Publication Agreement**
2. Consider using an Author Addendum to remind your publisher of the URI Open Access Policy.

Because of our outreach efforts, your publisher should already be aware that your transfer of copyright to them is subject to the prior permissions you have granted URI through the URI Open Access Policy.

To be sure, you may wish to fill out the Addendum to Publication Agreement to amend your publication agreement.

— http://uri.libguides.com/oapolicy/
Implementation Decisions

- Terms of use
- Waiver process
- Author Addendum
- OA Policy LibGuide approval
- Publishers who say they’ll demand waiver but do not
- Article versions targeted
- Which faculty does Policy apply to?
- Strategies for identifying faculty publications
- Strategies for promoting the policy
Terms of Use for Open Access Policy Articles in DigitalCommons@URI

Scholarly articles deposited on the web site http://digitalcommons.uri.edu (the "Site") under the University of Rhode Island Open Access Policy ("Open Access Policy Articles" or "OAP Articles") are subject to the following Terms of Use. You may use, reproduce, distribute, and display the OAP Articles for:

a. personal study;
b. teaching (including distribution of copies to students and use in coursepacks and courseware programs);
c. research and scholarship (including computational research uses such as data-mining and text-mining); and
d. provision of value-added services (including full-text searching, cross-referencing, and citation extraction);

provided in each case that:
Publishers and waivers (don’t poke the bear)

Brown Bear by Flickr user Eric Gorsky
CC BY-NC-SA 2.0
Customizing the repository

- Key article-level metadata
  - Rights information: OA Policy Terms of Use
  - Author Manuscript version statement
  - Citation to the published version

- Example: *The Evolution of Difficult Childbirth and Helpless Hominin Infants*
Customizing the repository

- OA Policy articles (marked OAP Terms of Use) brought together at:
  
  [http://digitalcommons.uri.edu/oa_facpubs/](http://digitalcommons.uri.edu/oa_facpubs/)

- Enables tracking of OAP article submissions
New Workflows, Procedures & Training

Google Site

Digital Initiatives Procedures >
Open Access Policy Procedures

Template: Email to faculty requesting author manuscript

OAP Statistics and Documentation

OAP Outreach and Publicity

Uploading OAP Articles to DigitalCommons@URI

Searching for URI faculty articles by author affiliation

List of Faculty Publications Series in DigitalCommons@URI
Seeking articles for deposit (... this is the hard part)

- Mediated deposit with a “low tech” approach
- Citation alerts for URI author affiliation
- Standard email for requesting articles

*Work done by a library grad student ~10 hours/week*
Reviewing submissions, fielding questions

- We double-check article submissions before uploading
  - Is it the author manuscript version?
  - Have they signed an Assistance Authorization Form?

- Questions from faculty
  - Am I legally covered?
  - How do I comply?
  - Why the author manuscript?
  - Waiver requests
Tracking & Marketing the Policy

Tracking statistics
- Articles posted
- Requests sent
- Most downloaded articles

Marketing/promoting the Policy
- Monthly email to faculty, Top 3 Most Downloaded
- Handouts/fliers
- Ongoing effort...
What’s next?

- Faculty Senate Library Committee
- Three-Year Report, end of year
  - Assessing success of the Policy
  - Identifying goals for the future
- Continuing to assess and improve day-to-day procedures and promote the Policy
Last but not least…

*OA Policies create new opportunities for the library!*

“*The Orange Brigade*”
Flickr user Kurt Faler
[CC BY-NC-ND 2.0](https://creativecommons.org/licenses/by-nc-nd/2.0)