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Acquisitions Unit Annual Report 2011-2012

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Andrée J. Rathemacher • Head, Acquisitions
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Personnel

Acquisitions Unit Staff & Faculty

As of the end of FY12, the Acquisitions Unit was comprised of the following members: Andrée Rathemacher (Professor / Head, Acquisitions); Michael Cerbo (Assistant Professor / Bibliographic Access & Resource Management Librarian); Sarah Bannister (Information Services Technician (IST) II / Acquisitions Unit Supervisor); Pauline Contois (IST I), Patricia Gardiner (IST I), Sandra Steele (IST I), Janice Ward (IST I), and Lisa Zinser (IST I).

Lisi Leaves Acquisitions

November 25, 2011 was Anne Lisi's last day in the Acquisitions Unit after being hired as the HELIN Office Manager. After Lisi's departure, in January Ward's desk was relocated to Room 267a near Contois to allow for better communication on serials-related matters. Vocino was assigned the cataloging of DVD and media materials, which had previously been Lisi's responsibility.

Steele Joins Acquisitions

On March 12, 2012, Sandra Steele, Information Services Technician (IST) I, transferred from the Circulation Unit to Acquisitions. She is responsible primarily for copy cataloging new book receipts and has been cross-trained in multiple Acquisitions Unit functions.

Student workers

During 2011/12, the following students worked for the Acquisitions Unit:

- Keaton Albro (binding & processing)
- Jayne Byrum (processing)
- Eric Dacosta (binding & processing)
- Kayla Dilorenzo (binding & processing)
- Tara Garraty (processing)
- Rebecca Giguere (processing)
- Clayton Smith (binding & processing)
- Michael Wilkens (processing)
- Loraine Winthrop [grad. student] (special project: Digital Commons)

Total student hours worked during the year were **1,847.89 hours**, which averages about 35 hrs./wk. This is up from 1,723.48 hours for FY11.

Total Acquisitions Unit student expenditures for FY 12 amounted to **$13,835.41** of a total allocation of $13,000. This was up from $6,090.43 in FY11. The increase in expenditures was the result of Acquisitions

funding a graduate student for a Digital Commons project (uploading digitized Marine Affairs masters theses to Digital Commons) during the summer of 2011.

Work of the Unit

Binding

Our binding budget for FY12 was $12,350, down from $15,000 in FY11 (a decrease of 17.7%). We ended the year having spent $10,804.36. The number of volumes bound continues to steadily decline.

From FY11 to FY12, the average price per volume increased from $7.42 to $7.71, an increase of 3.9%. As reported last year, in January 2011 Acme Bookbinding of Charlestown, Massachusetts took over the Rhode Island state Master Price Agreement (MPA) for binding after Ridley's of Ithaca, New York went out of business. The MPA expired on June 30, 2011 and was extended one year through June 30, 2012. (It is currently out to bid.)

Some other notable changes:

- The number of periodical volumes bound increased slightly (by 5.2%) from FY11 to FY12. This likely represents the clearing of backlog, as the total number of titles bound continues to fall.
- The number of monographs sent for repair declined by 46.5% in FY12. Contois now shows damaged volumes to the Collection Management Officer, who frequently decides to withdraw rather than repair. Also, with a decreased binding budget this year, Contois was more conservative about sending items for repair, only sending titles that had circulated recently.
- The number of volumes sent for binding by non-library units across campus increased noticeably, by 70.2%.

	Appropriation	Expenditure	Percent Spent
Binding budget for FY12	$12,350.00	$10,804.36	87.5%

	FY11	FY12	Percent change
Volumes added by binding	1,509	981	-35.0%

Volumes sent for binding	FY11	FY12	Percent change
Journals	677	712	5.2%
Children's Books	0	1	N/A
Reference	16	3	-81.3%
Govt. Pubs.	359	157	-56.3%
Special Collections	457	124	-72.9%
Monographs (repair)	572	306	-46.5%

Free corrections (mistakes)	5	98	18.6%
Non-library	178	303	70.2%
TOTAL	**2,264**	**1,704**	**-24.7%**

E-Resource Management, Misc.

- In December 2011, after HELIN began a subscription to MARC records for e-books from Serials Solutions, Cerbo entered most of URI's e-book holdings into the Serials Solutions Client Center and worked with them to get good MARC data for our titles. This included Safari e-book titles, Stat!Ref titles, and Elsevier e-book reference titles. E-books from NetLibrary (now EBSCO e-books), Gale, and Sylvan Dell were not entered into Serials Solutions.
- In January 2012, Rathemacher worked with the HELIN Central Office to set up "Institution Finder (Proxy Redirect)" for JSTOR. With this service enabled, when a researcher who is not on campus or logged in via URI's proxy gets to JSTOR and cannot access content, they are prompted to select their institution and log in via URI's proxy page. They are then redirected to the page they were originally seeking.
- In spring 2012, Rathemacher created a LibGuide "New E-Resources at the University Libraries" which lists new e-resource acquisitions each month, http://uri.libguides.com/neweresources.

Digital Initiatives

In Spring 2012, Ward assisted Lovett with a Digital Initiatives project. She researched publisher policies on Sherpa/RoMEO (specifically which article version may be archived in an institutional repository) for 227 faculty articles. She recorded the publisher policies on a spreadsheet and downloaded article PDFs in cases when we had permission and access.

Duplicates Weeding Project

In fall 2011, Acquisitions worked with Collection Management to create a strategy and procedure for weeding duplicate titles in the collection. Items targeted for weeding are second copies of books that have never circulated and were cataloged more than five years ago. Throughout the year, Bannister used Millennium and Excel to create lists of targeted items. Acquisitions staff (with the exception of Contois) gathered one book truck (about 75 volumes) of strict duplicates each week for removal on a rotating basis, while Collection Management Officer Burkhardt followed them, focusing on duplicate editions. Contois handled the withdrawal process, including removing the item record from the catalog, removing holdings from OCLC when applicable, and disposing of the physical volumes. As of June 30, 5,259 volumes had been withdrawn.

Inventory

Inventory continued in FY12, with each Acquisitions staff member spending one hour each week in the stacks. Students also assisted with inventory. Over the course of the year, 74,221 items were searched and:

- 908 corrections made to HELIN
- 319 missing items recovered
- 77 volumes found with no barcode
- 665 volumes found with no item record
- 837 search cards for missing items sent to Circulation
- 602 new labels created

Procedures Updated

These are some of the new procedures created in FY12:

- In August 2011, Rathemacher created three media-related procedures after the retirement of Eileen Tierney, Curator of the Media Resources Center: "Media ordering procedures," "Processing media materials," and "Media vendors."
- In October 2011, Rathemacher created a procedure for "Weeding duplicate titles" from the collection.
- Cerbo and Rathemacher created a procedure on" Tracking Download Abuse" after someone mass-downloaded articles from Project Muse using a URI account.
- In December 2011, Rathemacher created procedures related to the acquisition of e-books: "E-book ordering guidelines," "E-book ordering and cataloging procedures," and "E-book publisher list."
- In January 2012, Rathemacher created a procedure for deleting old order records. To save the cost of new records, we now delete, monthly, all order records for books and DVDs that are more than three years old. Order record payment information is copied to the item record before order records are deleted.
- Bannister created numerous binding-related procedures, including "Opening a New Shipment in ABLE," "Entering Shipment Information for Monographs," "Entering Shipment Information for Serials," and "Processing of Bound Volumes."
- In May 2012, Rathemacher created a procedure for "Identifying unfilled orders to claim."

Staff development activities

Staff were involved in the following training and professional development activities during FY12:

Conferences and Lectures:

- January 11, 2012: Bannister, Contois, Gardiner, Ward, (and Steele) attended the HELIN Annual Conference at Bryant University, "Thinking Out of the Book: Creating the Future of Libraries."

Classes:

- <u>March 2012</u>: Steele received an in-service training incentive credit from the Office of Training and Development, Division of Human Resources for completing the course "Improving Your Technology Skills."

In-house Training:

- In September 2011, Rathemacher trained Gardiner on creating vendor records in Millennium.
- Bannister trained Gardiner on DVD processing in December 2011.
- Cerbo trained new unit member Steele in copy cataloging in March 2012(with Bannister).
- Cerbo trained Ward on enhanced copy cataloging in April 2012.
- Steele was cross-trained in serials checkin, bindery, and processing by Bannister in April 2012.
- Cerbo trained Steele in advanced copy cataloging in June 2012.

Staff service

- Contois continued to serve on the Libraries' Diversity Committee, which was established in December 2010 at the request of the Provost.

- Bannister chaired the Pauline Moulson Staff Excellence Award Committee.

- Bannister and Contois served on the "Holiday Party Committee."

- Bannister regularly volunteered to help cover the Library Administration offices when they were short-staffed.

Other activities of note

The following is a list of miscellaneous milestones that occurred during the year:

- In July 2011, Bannister converted the Unit's <u>form for recording staff time</u> to GoogleDocs to save paper and allow both her and Rathemacher to view it.
- As of July 2011, we <u>cancelled our Lyrasis membership</u> for all three branches. With OCLC providing cataloging and ILL services directly and consortium deals through HELIN, NERL, and Waldo, we no longer saw a need for Lyrasis's services.
- Beginning in July 2011, we combined our three <u>OCLC accounts</u>. All billing and reporting now comes to Kingston. We retain our three OCLC symbols and can still see the transactions for each symbol separately.
- In September 2011, Rathemacher alerted the Alumni Office that <u>ProjectMuse offers alumni access</u> to their content as part of their standard license. With her assistance, the Alumni Office

set up access for URI alumni to this database and listed it on their Web page at http://advance.uri.edu/alumni/membership/benefits.htm#online. In October 2011, Rathemacher let the Alumni Office know that Yale had gained access to JSTOR for their alumni.

- Acquisitions worked with the Department of Film Studies to get invoice <u>information for all DVD titles donated by Film Studies</u> to the library. Gardiner posted cost information for donated titles in Millennium (using an "x" fund code) so that total expenditures in support of the media collection could be accurately tracked for annual statistical purposes.

Technology

During 2011-12, the following new technology was acquired by the Unit:

- Ward received an ergonomic mouse.
- Bannister received a combined printer/scanner.
- Steele received a replacement barcode scanner.
- The Technical Services department received a MacBook Air for shared use.

Serials Acquisitions

New single journal subscriptions

We initiated just a few <u>individual</u> journal subscriptions for 2012.

- *Cryptogamie-Bryologie*: By changing our subscription to a bundle of three titles, we received this new title for no additional cost, in addition to *Cryptogamie-Algologie* and *Cryptogamie-Mycologie*.
- *Journal of Chemical Education* (ACS): Reinstated subscription; Department of Chemistry drop/add. $655.
- *Nature Biotechnology* (Nature Publishing Group): New subscription. $6,962.
- *Nature Geoscience* (Nature Publishing Group): New subscription. $7,707.
- *Psychology and Marketing* (Wiley): New subscription; College of Business Administration drop/add. $1,819.

Format conversions

About 44 titles were converted from print-only or print+online format to online-only format in 2012.

New e-journal packages

A number of e-journal packages were added in 2011-2012:

- **Springer Full Access Package**
  In 2012, we joined a deal negotiated by the NERL Consortium that provides URI access to almost all academic journals published by Springer in exchange for our commitment not to cancel our current Springer subscriptions. In addition, Springer agreed that annual inflationary price increases would not exceed 4% over the course of the three-year agreement. The result was an increase in the number of Springer journals available at URI from 61 to 1,865 (an increase of 2,957%) and a net decrease in the library's annual expenditures (due to the elimination of our periodical vendor's 5% service charge on these titles). The library's average cost-per-title decreased from $1,778 to $56 (a decrease of 97%).
- **GeoScienceWorld (GSW)**
  GeoScienceWorld (GSW) is a nonprofit corporation formed by a group of leading geoscientific organizations for the purpose of making geoscience research and related information easily and economically available via the Internet. GSW offers a package of 47 geosciences journals published by 25 not-for-profit and independent geoscience publishers. In 2012 we initiated a subscription to GeoScienceWorld through the NERL Consortium, which negotiated a 25% discount off the list price for this package. Previously, URI held individual subscriptions to fifteen of the titles in GeoScienceWorld. Through this arrangement, we were able to increase the number of titles to which we had access by 213% in exchange for a net increase in expenditure of $1,616, or 22%. Our average cost-per-title declined from $501 to $194 (a decrease of 61%). Initially, GeoScienceWorld categorized the University of Rhode Island as "GSW Academic Class 4" based on 21-50 researchers in the geosciences. By carefully documenting the number of URI faculty with research interests in the geosciences, we were able to negotiate with GeoScienceWorld to lower their count of geosciences researchers at URI to 18, dropping us to Class 3 and saving us $1,822.50 (16.6% less than Class 4).
- **Wiley-Blackwell Full Collection Package**
  In early 2012, following a dispute with Wiley-Blackwell regarding the terms of our journals site license, we reached out to the publisher's upper management. An agreement was reached whereby, for a period of three years (2012-2014), the URI library agreed to maintain our current subscriptions to 137 Wiley-Blackwell journals. In return, we received a cap in annual price increases for 2013 and 2014. For a small additional fee, we gained access to Wiley's 2012 full collection of over 1,300 journals. Thus, for an added expenditure of 1.9%, URI gained access to 1,236 additional journals, a 902% increase in number of titles. Our average cost-per-title declined from $1,626 to $165, a decrease of 90%. In addition, in 2013 and 2014 we will purchase this package directly from Wiley-Blackwell, avoiding our periodical vendor's service charge on individual titles. In 2013 alone this will save us approximately $11,250, which will more than cover Wiley's annual cost increases for 2013 and 2014 combined.
- **JSTOR Current Scholarship Program Arts & Sciences IX Current Collection**
  JSTOR Current Scholarship Program Arts & Sciences X Current Collection
  The JSTOR Current Scholarship Program (CSP) was launched in 2011 with the intent of advancing fair and sustainable models that promote the widest possible dissemination of scholarly research and preserve publishing diversity. Through the CSP, JSTOR serves as the exclusive

publishing platform for 38 not-for-profit publishers across a wide range of disciplines. CSP publishers include the University of Chicago Press, Indiana University Press, Pennsylvania State University Press, the University of California Press, the University of Illinois Press, and the University of Nebraska Press. In 2012, the University Libraries decided to continue our subscription to the complete CSP collection by adding Current Collections IX and X (22 additional titles) in order to support this important initiative. For 2012, we renewed all of our JSTOR collections through the Waldo Consortium, saving 9% or $2,866.

As a result of these acquisitions, the total number of subscribed journals has increased from 27,048 in 2011 to 33,787 in 2012, an increase of 25%.

New e-book packages and e-books

The URI Libraries ventured into purchasing e-books in 2011-2012, with the acquisition of UPCC Book Collections on Project Muse and the purchase of smaller e-book packages (and a number of individual e-books). Of note:

- **UPCC Book Collections on Project Muse, 2012 Complete Collection**
  The University Press e-book Consortium (UPeC) emerged in 2009 to explore the feasibility of a university press–based e-book initiative, with planning and development supported by two grants from The Andrew W. Mellon Foundation. In March 2011, Project MUSE announced it had entered into a new partnership with UPeC to create UPCC Book Collections on Project MUSE. UPCC Book Collections on Project MUSE launched in January 2012. On offer are thousands of peer-reviewed digital books from over 65 major university presses and scholarly publishers. Books are fully integrated on the Project Muse platform, with the ability to search across combined book and journal content or limit searches by content type. E-books on the Project MUSE platform may be read simultaneously by an unlimited number of users, and there are no restrictions on printing or downloading. Book content is delivered at the chapter level in PDF format; chapters may be read on any device that supports PDF files. The e-books are published at the same time as the print versions, and purchasing libraries have perpetual access rights to purchased books. The URI Libraries purchased the 2012 Complete Collection: all books in Project Muse published or due to be published during 2012 from all publishers, a minimum of 1,820 titles. By thoroughly researching the pricing options available, we were able to acquire the 2012 Complete Collection through the EBSCO Community+ program at the highest possible discount of 20.5% off list price, or 6.5% less than the 15% discount offered by other consortia. This saved URI $13,447.98 off the list price and $3,619.98 more than we could have saved through any other offer. From January through June 2012, the "Number of Successful Section Requests" from Project Muse e-books by URI patrons totaled 10.
- **Morgan & Claypool Synthesis Collections 1, 2, and 3**
  Morgan & Claypool Synthesis Collections consist of 350 e-books on engineering and computer sciences topics. The books are free of digital rights management technologies and have no limits on simultaneous readers. They are offered with an ownership model whereby the library

maintains perpetual rights to the content. We negotiated the purchase of these titles for 50% off the list price of $18,000.

In addition, in September 2011, we set up <u>Swets as a vendor for individual e-books</u> using the SwetsWise platform. This will alloy us to order individual e-books, when available, on publisher platforms. Swets will invoice us for any purchases and assist with license agreements and e-book set-up. There is no additional cost to the library for this service. In FY12, we ordered one book through this system.

With Collection Management, we also developed <u>e-book criteria</u> and ordering and cataloging procedures for individual e-book titles. Our e-book criteria are as follows:

> 1. URI Libraries are interested in **purchasing** e-books. We insist on a **one-time** purchase model, with no ongoing fees or subscription costs.
> Exceptions may be made for Reference titles, e.g. Safari Books or Gale Virtual Reference Library titles.

> 2. E-books should reside on the **publisher's platform**, whether that platform is publisher-run (e.g. ScienceDirect, Taylor & Francis Online, SpringerLink) or managed by another organization (e.g. HighWire, Scitation, Project Muse, JSTOR). At this time, URI Libraries are NOT interested in purchasing e-books on third-party aggregator platforms (e.g. ebrary, MyiLibrary, EBL, EBSCO eBook Collection).

> 3. URI Libraries should be granted **perpetual access** to all e-books purchased.

> 4. E-books should be **DRM-free**. As with the model for e-journals, e-books should allow unlimited downloading, printing, and copying & pasting by authorized users.

> 5. E-books should allow for **unlimited simultaneous users**. There should not be a limit on concurrent users, nor should there be any kind of "check out" period.

> 6. The library will purchase a **print copy** of a book owned in e-book format (or vice versa) at the request of a subject selector. The selector should indicate explicitly that an added copy in the alternate format is desired.

Reference database changes

Through the drop-add process, the Reference Unit selected several new databases to begin in July 2011:

Biography Reference Bank (EBSCOhost)	$4,563
Mental Measurements Yearbook add-on to Tests In Print (EBSCOhost)	$352
Criminal Justice Abstracts full-text add-on (EBSCOhost)	$3,100
TOTAL	**$8,015**

To fund the additions above, the following titles were dropped:

CIAO database (online)	$1,035
Handbook of Latin American Studies (print)	$136
Facts & Comparisons (print)	$575
Europa World of Learning (print)	$1,126
Directory of Board Certified Medical Specialists (print)	$860
Biography Index (print)	$432
Tests in Print (print)	$352
Current Biography (print)	$185
Book Review Index (print)	$489
Books in Print (print)	$1,035
Subject Guide to Books in Print (print)	$750
Contemporary Theatre, Film & Television (print)	$2,619
TOTAL	**$9,594**

In addition to the Reference Database Drop-Adds above, business subject selector Rathemacher worked with the College of Business Administration to add new business databases to begin in July 2011:

Value Line Research Center	$4,850
CCH Accounting Research Manager "Audit Private" Module, 2 users (CCH)	$4,881
RMA eStatement Studies	$4,000
Psychology & Marketing (e-journal, Wiley)	$1,716
TOTAL	**$15,447**

To fund the additions above, the following titles were dropped:

ReferenceUSA Business database (online) — available through AskRI.org	$7,708
Value Line Investment Survey (print)	$960
Injury Facts (print)	$78
LexisNexis Directory of Corporate Affiliations (print)	$2,606
S&P Research Library Package (print), includes: • *Industry Surveys* • *The Outlook* • *Security Owner's Stock Guide* • *Statistical Service* • *Security Price Index Record*	$4,206
RMA Annual Statement Studies (print)	$381
TOTAL	**$15,939**

In October 2011, the Reference Unit added **STAT!Ref,** a custom set of thirteen electronic databases and books in support of the applied health sciences. New editions of the titles rotate into the database as they are published. URI shares five concurrent users with other ARIHSL libraries, which share the cost equally. The $614 subscription price came from the Reference book budget, as these titles will no longer be ordered as books in print format.

Additionally, in March 2012, Rathemacher initiated a subscription to Hoover's Academic. Mergent initially quoted URI a price of $8,250 per year for this database, but we negotiated the price down to $2,995 per year, with this price grandfathered in for subsequent renewals. This represents a 63.7% discount off the initial quote. In addition, even though our subscription officially began in July 2012, database access was enabled in March.

Hoover's Academic	$2,995
TOTAL	**$2,995**

The following resources were dropped in order to add the Hoover's Academic database:

Hoover's Handbook of American Business (print)	$312
Hoover's Handbook of Emerging Companies (print)	$217
Hoover's Handbook of Private Companies (print)	$249
Hoover's Handbook of World Business (print)	$259
International Directory of Company Histories (print)	$1,937
TOTAL	**$2,974**

A few other changes to reference database subscriptions this year should be noted:

- Two databases acquired by the URI College of Pharmacy were routed through the library proxy server and posted on the library's articles and database pages: **AccessPharmacy** and **USP-NF Online**.
- We changed our annual renewal of Thomson Reuter ISI's **Web of Science** and **BIOSIS Previews** databases from direct from the publisher to a deal negotiated by the NERL Consortium. This arrangement holds the annual price increases of these two very expensive databases to 2.2% instead of the average of 6% that we had been paying, saving us over $8,500.
- Effective May 1, 2012, CAS upgraded URI to the Academic Unlimited Access Plan (AUAP) for SciFinder Scholar at no additional cost. This removed our previous limit of two concurrent users. In addition, we were granted free access to the substructure searching module (SSM), which we had previously priced in 2011 at $16,320.

Other subscription-related changes of note

- For 2012, we went through NERL for our subscription to the Cambridge Journals Online portfolio package. This limited our annual price increase to 6.8%, whereas the three years prior we had been charged price increases of 11.4%, 8.8%, and 12.3%.
- For subscription year 2012, URI Kingston decided not to participate in EBSCO's Guaranteed Rate Plan. With the Guaranteed Rate Plan, a library pays an extra 1.5% on top of their normal service charge. If the regular price of a journal goes up after the library is invoiced for the title, EBSCO absorbs the difference. This results in fewer supplemental invoices. However, because the Kingston library is invoiced by EBSCO in late fall, after publishers have set their prices for the coming year, the GRP offers us little advantage. In 2012, by paying a 5.0% service charge instead of the 6.5% we would have paid with the GRP, we saved about $7,800.

- Beginning in FY12, the cost for the library's annual HELIN dues and Digital Commons software were paid from the library's operating budget, not the materials budget.

Back files / one-time purchases acquired

The following back files / one-time e-resource purchases were obtained under a permanent ownership model at the end of FY2012 with money left over in the library materials budget:

	Purchase price	Annual fee
Early American Imprints Series I: Evans 1639-1800	$79,268	$1,500
Early American Imprints Series I: Evans 1639-1800 MARC records	$8,000	$0
Early English Books Online (EEBO)	$65,964	$1,329
Early English Books Online (EEBO) MARC records	$12,000	$0
Institute of Physics Electronic Journal Archive 1874-2001	$25,000	$0
JSTOR Arts & Sciences X Archive Collections	$3,171	$2,250
JSTOR Arts & Sciences XI Archive Collection	$52,875	$0
Opinion Archives	$86,225	$2,720
Sage Backfile Upgrade Package 2012	$2,122	$0
Scientific American Archive 1845-1909	$4,400	$0
Scientific American Archive 1910-1947	$4,702	$0
Scientific American Archive 1993-2005	$4,702	$0
Scientific American Archive 2006-2011	$4,702	$0
Springer Online Journal Archives (OJA) Update for 2010 and 2011 Titles	$3,180	$0
Vogue Archive	$21,078	$961
TOTAL	**$377,389**	**$8,760**

Cancelled titles, misc.

Approximately 80 individual serial titles were canceled for the 2012 subscription year. Many of these became available through new or existing packages. These include:

- 15 titles included in GeoScienceWorld
- 4 titles included in the American Medical Association journals package
- 4 titles added to Project Muse
- 1 title added to BioOne
- 1 title added to RSC Gold
- 7 titles added to JSTOR Current Scholarship Program
- 2 titles added to our Oxford University Press package
- 7 titles converted to open access
- 24 titles dropped by Reference for drop/add
- 8 titles available full-text with no embargo in aggregator databases
- 7 other

Volumes added

The total number of serials volumes added through subscriptions, binding, and gifts totaled 1,051, a decrease of 35.1% from the previous year. Volumes returned from the bindery decreased by 35%. Subscribed volumes that arrive bound decreased by 31%, or 31 volumes.

Monographs Acquisitions

Expenditures

Total monograph expenditures for Kingston were $325,321.40 for FY12, down 5.5% over FY11. This does not include endowment spending on monographs, which at $16,253.81 was up 271.3% this year, due to an increase in endowment income distributed in FY12. Thus total expenditures on books during 2011/12 for the University Libraries totaled $341,575.21, a decrease of 2.0% over the previous year. This overall decrease was primarily the result of less money placed on deposit with the Strand Bookstore in FY12.

The University Libraries' expenditures on monographs amounted to 10.0% of the materials budget, with the remainder spent on journals and databases. This was down from 10.6% in FY11.

The average price paid for a monograph during FY12 was $54.95, up 26.3% from FY11. This was probably due to a decreased number of titles from Strand, which are deeply discounted.

Expenditures by type

Of total Kingston spending on monographs, $50,000 was approvals through Strand, a decrease of 51.6% over FY11. The greatest amount of money was spent on firm orders, $254,353, an increase of 15.6% over FY11. (Despite this increase in firm order expenditures, the number of volumes acquired by firm order declined as noted below.) Expenditures for standing orders increased by 0.6% to $20,969.

Volumes added

The total number of monograph volumes added to the collection was 9,022, a decrease of 15.4%. This decrease was mostly driven by a 36.2% decline in approval plan (Strand) volumes added. Shipments from Strand were reduced in size when we purchased UPCC E-Book Collections on Project Muse, since many of the Project Muse titles were from publishers previously supplied by Strand.

Firm order volumes added were down 12.1% to 3,430. The number of volumes added through standing order was up 20.5% to 182.

Vendor Meetings

Head of Acquisitions Rathemacher met with the following vendors during 2011/12:

- September 16, 2011: Pat Adams (Regional Account Manager), Swets, re: Swets subscription management services and systems and ordering e-books through SwetsWise.
- September 27, 2011: Fred Whelan (Legal Account Representative), Bureau of National Affairs, re: URI's BNA subscriptions, coordinating access passwords, canceling *Union Labor Report* in print in order to add online subscription components. (With Profs. Matthew Bodah and Richard Scholl.)
- October 18, 2011: Dylan Moulton (Academic Licensing Manager), Springer Science+Business Media, re: Springer e-book models, open access, URI usage levels of Springer journals, Springer portfolio package offered through NERL.
- December 13, 2011: Chip Phelps (Regional Sales Director) and Terry Walsh (Sales Representative), EBSCO Information Services, re: EBSCO products and Services, EBSCO Community Plus, UPCC e-book collections on Project Muse. (With Cerbo)
- January 31, 2012: Nan Frost (Account Executive, Academic Sales), Gale, re: one-time purchases of reference e-books on the Gale Virtual Reference Library and Gale Directory Library platforms, digital archive collections available through NERL.
- January 31, 2012: Tony Grimaldi (Account Manager), Elsevier, re: Elsevier e-book purchases, upcoming (January 2013) renewal of ScienceDirect contract, back files available.
- February 22, 2012: Theresa DeBenedictis (Digital Archive Sales Executive), Gale, re: Nineteenth Century Collections Online, available through NERL, and other Gale archive products.
- March 6, 2012: John Riley (Eastern Regional Sales Manager), BUSCA, re: using BUSCA as a vendor for media.
- April 11, 2012: Michael Tavares (North America Sales Manager), ACS Publications, re: URI's usage levels of ACS journals, pricing models, open access, ACS e-books, ACS journal back files, pricing, possibility of inserting author rights language in license.
- April 25, 2012: Bill Loges (Major Account Executive), InfoUSA, re: comparison of InfoUSA database with competitor AtoZDatabases, other business products offered by InfoGroup.
- May 24, 2012: Michael Duffy (Library Sales Manager), Sage Publications, re: upcoming "Sage Knowledge" e-book platform, e-book offerings, and Sage Research Methods product.
- June 8, 2012: Mary Coombs (Electronic Resources Specialist) and Terry Walsh (Sales Representative), EBSCO Information Services, re: URI's migration of e-journal packages away from EBSCO, EBSCO's annual service charge, and new "EBSCONET Usage Consolidation" product. (With Cerbo.)
- June 12, 2012: Patrick Moriarty (Director, Institutional Sales and Strategic Partnerships, Northeast Region), Ithaka / JSTOR, re: Arts & Sciences XI Archive Collection, availability of JSTOR archives as one-time purchases with no recurring annual access fees, upcoming Books@JSTOR.

Statistics

A detailed statistical report on serials and total library expenditures is available in the "Acquisitions Unit Annual Report 2011-2012 statistical supplement" spreadsheet.

Selected goals for the coming year

EDIFACT Invoicing

EDIFACT invoicing for monographs has been enabled in the Millennium system at the request of HELIN Acquisitions Affinity Group. EDIFACT invoicing will allow us to receive our monograph invoices in electronic format, avoiding the need to key each line item on an invoice. During FY13 it is a goal to work with our major monograph vendors to set up EDIFACT invoicing and establish procedures for staff.

E-Books

In 2012-2013 we will continue our foray into purchasing e-books. On consideration will be e-books through JSTOR (possible through a patron-driven acquisition model with HELIN) and the 2013 Complete Collection of e-books through Project Muse. We will continue our fledgling efforts to purchase individual e-books at the request of subject selectors if the e-books meet the criteria we have established.

Procedures

In FY13 we will continue to update Unit procedures in the Technical Services wiki. A focus will be on binding procedures and database maintenance procedures. Another focus will be on any changes made necessary after the implementation of Sierra. Additional procedures will be created as needed, especially for work of the Unit that has not yet been documented.

Automated ordering

Other HELIN libraries have made strides in automating acquisitions workflows. In this model, a staff member places book orders through a vendor's Web site, but the creation of bibliographic and order records is done automatically through batch-downloads, saving time. When the book arrives, a full catalog record is downloaded and the order record is automatically updated with pricing and other information, saving copying cataloging time and invoice-processing time. In most cases, the book also arrives shelf-ready, saving processing time. In FY12, we will continue to monitor the progress made by Providence College in automating their acquisitions with YBP and Eastern Book Company. When the kinks have been worked out and procedures have been created, we will consider moving at least some of our ordering in this direction.

Digital Book Plates

The HELIN Acquisitions Affinity Group may investigate best practices for creating "digital book plates," i.e. displays in the online catalog that acknowledge who donated a particular item, and whether this is feasible in a shared catalog environment.