2010

Acquisitions Unit Annual Report 2009-2010

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The year beginning July 2009 was one of great change in the Acquisitions Unit. Effective June 26, 2009, Paula Thompson retired. Before her retirement, Paula handled all serials check-in and claiming and payment of EBSCO invoices. She also gathered reference database usage statistics. In addition, since the retirement of Marjorie Jackson in July 2008, Paula managed the processing of new books by student workers, distributed unit mail, processed special materials, and handled minor in-house mending tasks.

Thus serials functions began the year in July 2009 with one staff member: Pauline Contois. Contois was granted six hours a week overtime until the Thompson position was filled, during which time she carried out all of Thompson’s former jobs in addition to her own. For the sake of convenience, Contois’s student workers were given Thompson’s former desk in Room 267a, thus consolidating binding operations at the end of the hall.

During the summer of 2009, Dean David Maslyn and Chair of Technical Services Joanna Burkhardt implemented a reorganization of Technical Services. The provost had agreed to fill the empty faculty line in the department created by the retirement in June 2008 of Karen Ramsay, Head of Monographic Acquisitions and Copy Cataloging (MACC). Instead of filling Ramsay’s position, the decision was made to reassign Serials Unit Head Andrée Rathemacher as Head of Acquisitions, effective July 1, 2009. The newly reconfigured Acquisitions Unit includes both serials and monographic acquisitions. At the Dean’s request, Burkhardt and Rathemacher traded offices; Rathemacher moved to Room 269, and Burkhardt moved to Room 260.

A decision was made to use the faculty position authorized by the provost to hire a Bibliographic Access & Resource Management Librarian in the Acquisitions Unit. This person would supervise copy cataloging operations and administer electronic resources, among other duties.

Meanwhile, the empty staff position created by Thompson’s retirement was transferred to the newly-merged Circulation / Reserves Unit. In return, Sarah Bannister, former Head of Reserves, agreed to transfer to Acquisitions effective October 5, 2009. Bannister assumed Thompson’s former duties of serials check-in and claiming, EBSCO invoice payment, gathering reference database usage statistics, supervision of processing students, distribution of unit mail, special materials processing, in-house mending, and coordination of student hiring.
Change in Unit Hours

Given the consolidation of serials and monographic acquisitions and the need for a smaller Technical Services staff to work together more closely, the hours of Technical Services staff were adjusted, effective January 4, 2010. With the exception of Pauline Contois, hours for current staff (Michael Cerbo, Sarah Bannister, Patricia Gardiner, Anne Lisi, and Lisa Zinser) were changed to Monday-Friday from 8:00 a.m. to 3:30 p.m. with a 30 minute lunch. An exception was made for Contois, who shifted to Monday-Friday 10:30 a.m. to 6:00 p.m. from Sunday-Thursday 12:00 p.m. to 8:00 p.m. so that she could retain her shift differential.

It was agreed that all new Technical Services staff hired would work standard State / University hours: 8:30 a.m. to 4:30 p.m. with an hour lunch.

Prior to the change in hours, Gardiner, Lisi, Zinser, and Bannister had been working 7:00 a.m. to 3:00 p.m. In the case of Gardiner, Lisi, and Zinser, this schedule was the relic of a time when copy cataloging staff needed to log on to OCLC terminals early in the day.

Hiring of Michael Cerbo, II as Bibliographic Access & Resource Management Librarian (BARML)

After a search process that extended through the fall, Michael Cerbo was hired in the newly-created position of BARML, beginning work on January 19, 2010. He immediately jumped in to the management of e-resources, systematically checking access to online titles acquired through EBSCO and HELIN and handling access problems as they arose. In his role supervising copy cataloging operations, he was available to staff to answer cataloging questions as they arose, to coordinate training, and to direct copy cataloging work flow. He also immersed himself in the gathering of e-journal usage statistics using Serials Solutions 360 COUNTER software we’d purchased in fall 2008.

Reassignment of Unit Work

With the hiring of Cerbo as BARML, Technical Services lost a cataloging staff member, and the Acquisitions Unit met to discuss how to divide up selected parts of his former job among unit members until his position could be filled.

Contois agreed to take on the checking of theses and dissertations, which involves barcoding theses and dissertations when they are returned from Ridley’s Bindery, comparing the title on the binding and the title page with the title in the catalog, and inputting the barcode in the item record. She was also given responsibility for withdrawals and location changes of all types (serials and monographs), many of which come from Reference, including updating Millennium and OCLC.

Anne Lisi was trained in enhanced copy cataloging, editing selected non-DLC cataloging records in order to catalog some materials formerly cataloged by Cerbo, for example videos, former Coastal Resource Center materials, firm orders, Strand orders and miscellaneous gifts.

Lisa Zinser began doing DLC copy cataloging and enhanced copy cataloging of new CCE books. She and Pat Gardiner also performed DLC copy-cataloging of gift books.
In addition, Gardiner began taking care of updating the catalog when patrons purchased books for the library to avoid fines for books they lost. She updated bibliographic records as needed and updated barcode information in item records.

**Overtime for Cataloging Backlog**

Because there was a backlog of gift books to catalog, Gardiner, Lisi, and Zinser were each granted 10 hours of overtime per pay period starting April 12, 2010. Overtime was granted until June 30 or when the cataloging backlog was eliminated. At the end of June, the dean agreed to extend overtime through the end of August.

**ACT/URI-NEA Memorandum of Agreement**

In January 2010, the ACT/URI-NEA collective bargaining unit, which represents most library staff, agreed to a promise of no layoffs in return for accepting four one-day pay reductions between February 28, 2010 and June 5, 2010. Employees affected by these pay reductions accrued 1.25 days of paid leave for each day they received no pay, for a total of five days leave. Four of the five days could be carried forward for compensation upon leaving state service.

Thus, our five staff members in the Acquisitions Unit collectively lost 20 days pay, and since most staff used their accrued paid leave later in the year, the Unit lost a total of 25 days of work.

**Student workers**

During 2009/10, the following students worked for the Serials Unit:

- Laura Byrum (binding & processing)
- Jennifer Cullen (binding & processing)
- Anthony Deluise (processing)
- Rhonnesia Jackson (processing)
- Colleen Joyce (binding & processing)
- Jeffrey Poland (processing)
- Christina Vicinanza (binding & processing)
- Loraine Winthrop [grad. student] (special project: serials discards)

Total student hours worked during the year were **1,195.58 hours**, which averages about 23 hrs./wk. This is up from 960.03 hours for FY09 (Serials Unit only).

Total Acquisitions Unit student expenditures for FY 10 amounted to **$7,378.81** of a total allocation of $13,000. This was up from $6,315.87 in FY09 (Serials Unit only).

**Work of the Unit**

**Binding**
FY10 marked the second year of a three-year Master Price Agreement between the State of Rhode Island and Ridley’s Book Bindery of Ithaca, NY.

Our binding budget for FY10 was $15,000, down from $17,500 in FY09. The volume of journals bound continued to decline in FY10 due to the large cancellation of print journals in 2009.

Some other notable changes:

- Binding of Reference materials was up in FY10, as Contois continued working to reduce the backlog of Reference titles in need of binding and Keefe worked to clean up the Reference stacks in preparation for the Learning Commons.
- Binding of Government Publications was up because the Government Publications Office was working on a stacks clean-up project.
- Binding of Special Collections materials was down due to a temporary lack of student help in Special Collections, during which time preparing materials for binding stopped.
- Binding of monographs (repairs) remained relatively high, as all book-binding repairs are sent to Ridley’s instead of being performed in-house as was the case prior to FY09. Books in need of repair are sent to Ridley’s on a regular basis, as they are identified by Circulation.
- Binding of non-library materials was down in FY10 largely because the increased level in FY09 was an aberration. In FY09 the College of Nursing cleared up a binding backlog as they resumed sending materials after a hiatus.

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<thead>
<tr>
<th></th>
<th>Appropriation</th>
<th>Expenditure</th>
<th>Percent Spent</th>
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<tbody>
<tr>
<td>Binding budget for FY10</td>
<td>$15,000</td>
<td>$14,960.50</td>
<td>99.7%</td>
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<tr>
<th></th>
<th>FY09</th>
<th>FY10</th>
<th>Percent change</th>
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<tr>
<td>Volumes added by binding</td>
<td>2,414</td>
<td>1,890</td>
<td>-21.2%</td>
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<table>
<thead>
<tr>
<th>Volumes sent for binding</th>
<th>FY09</th>
<th>FY10</th>
<th>Percent change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Journals</td>
<td>1,524</td>
<td>1,025</td>
<td>-32.7%</td>
</tr>
<tr>
<td>Reference</td>
<td>49</td>
<td>65</td>
<td>32.7%</td>
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<tr>
<td>Govt. Pubs.</td>
<td>364</td>
<td>449</td>
<td>23.4%</td>
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<tr>
<td>Special Collections</td>
<td>457</td>
<td>351</td>
<td>-23.2%</td>
</tr>
<tr>
<td>Monographs (repair)</td>
<td>594</td>
<td>561</td>
<td>-5.6%</td>
</tr>
<tr>
<td>Free repairs</td>
<td>4</td>
<td>1</td>
<td>-75.0%</td>
</tr>
<tr>
<td>Non-library</td>
<td>534</td>
<td>414</td>
<td>-22.5%</td>
</tr>
<tr>
<td>TOTAL</td>
<td>3,526</td>
<td>2,866</td>
<td>-18.7%</td>
</tr>
</tbody>
</table>

**E-Resource usage statistics**
We made great strides during FY10 in gathering usage statistics for both reference databases and e-journals. Sarah Bannister was assigned to gather reference database usage statistics for the year, a task which she completed promptly. With Cerbo’s assistance, the final statistics, with cost per use, were posted to DigitalCommons@URI at http://digitalcommons.uri.edu/ts_rpts/86/. Bannister continues to work on gathering previous years’ reference database statistics which had not been completed.

Shortly after he began as Bibliographic Access & Resource Management Librarian (BARML), Michael Cerbo began working intensely to upload usage statistics for e-journals into the Serials Solutions 360 Counter product. In May, we subscribed to the 360 Counter Data Retrieval Service, whose staff will upload COUNTER-compliant usage statistics for up to 80 of our e-journal packages and databases twice a year, including retroactively uploading usage for the years 2007-2009. For a very affordable price, this is saving a great deal of Cerbo’s time. Cerbo provided Serials Solutions with the URLs, logins, and passwords for our various subscriptions and worked with them to troubleshoot problems accessing the statistics as they arose. He then turned his attention to entering our cost data into 360 Counter. As a result, we are now on track to produce multiple years of detailed use and cost-per-use reports for our e-journals once bugs in the 360 Counter report generating software are resolved. This information is very valuable, and we have struggled for years to gather it. Thanks to our new faculty member, we will now have it at our command.

**E-Resource access checking**

The hiring of Michael Cerbo as the BARML allowed us to make another leap forward this year in the systematic checking of access to our subscribed e-resources. One of Cerbo’s first tasks was to verify our access to every e-journal package and reference database subscribed to through the HELIN Consortium and to correct any problems with access. He then moved on to create a spreadsheet of our online journal subscriptions through EBSCO and check our entitlements to each title, making sure that access was working and dates were listed correctly in the Serials Solutions Knowledgebase. During this process, he was able to clean up a number of our holdings in Serials Solutions, improving access for library users in the process. He will perform this task on an annual basis.

Following on this accomplishment, during the summer of 2010 Rathemacher worked to create multiple Google spreadsheets listing details of all of our authenticated e-resources. These spreadsheets will be updated throughout the year and will feed into another Google spreadsheet titled the “E-Resource Access Checker.” The “E-Resource Access Checker” will serve as a tool with which Cerbo and staff will further systematize the process of e-resource management and quality control.

**Serials Discard Project**

During summer 2009, Andrée Rathemacher and Brian Gallagher, Head of Access Services, lead a project to withdraw print journals in call numbers Q and R for which we had perpetual electronic access through online journal archives such as JSTOR.
This was conceived as a pilot project for figuring out how to dispose of print journals now accessible online in perpetuity. Specifically, the goal was to clear space in the Lower Level for current periodicals in light of plans to convert the former Current Periodicals Room on the main floor to a learning commons.

G.S.L.I.S. Graduate student Loraine Winthrop was hired to verify which titles and volumes to withdraw and to supervise the disposal process. She was assisted by undergraduate students Anthony Deluise and Gregory Laporte. We worked with Nancy Hawksley, Recycling and Solid Waste Coordinator on campus, who connected us with Full Circle Recycling in Johnston. Nancy also provided additional students to help us load Full Circle’s truck during the three pick-up days in August.

Our final count was 10,805 volumes recycled, weighing 19.5 tons, or 39,000 lbs. We cleared 19,246 inches of shelf space, or 1,603 feet 10 inches. We also withdrew 33 reels of microfilm.

The specific archives that were checked against the collection (Q and R) were:

- American Society of Agronomy Journals Archive Package
- Annual Reviews Electronic Back Volume Collection
- Geology Archives and Geological Society of America Bulletin Archives
- Humanities & Social Sciences Index Retrospective
- JSTOR Arts & Sciences I, II, III, IV, V, VI, & VII
- JSTOR Life Sciences Collection
- Nature archives
- NRC Research Press Backfile Collection
- Sage Backfile 2009
- Springer Online Journal Archives (OJA)

The pilot project was successful, both in devising a procedure for withdrawals and in creating space near the stairwell for a new current periodicals area. For more information, see [http://uritechserv.pbworks.com/Serials-discard-projects](http://uritechserv.pbworks.com/Serials-discard-projects).

### Staff training

With shifting job responsibilities in the Acquisitions Unit, FY10 was a year of much training.

#### In-house training sessions

In October when Bannister arrived in the Unit, Rathemacher trained her in serials check-in, invoice posting, reference database statistics gathering, basic processing, and other unit functions.

In January when Cerbo began as BARML, he received training from Rathemacher in e-resource management and Serials Solutions.

As Cerbo’s former cataloging responsibilities were spread temporarily throughout the unit, on February 9, he conducted a morning training session for Contois, Gardiner, Lisi, and Zinser on basic DLC/DLC copy
cataloging and in searching OCLC. He then offered an afternoon training session for Lisi on enhanced cataloging techniques, including how to alter records, update materials, and catalog non-monographs such as videos.

Classes, webinars, and staff development

Staff were involved in the following training and professional development activities during FY10:

- On January 13, 2010, Bannister, Cerbo, Contois, Gardiner, Lisi, and Rathemacher attended the HELIN Annual Conference at Bryant University, “Measuring Success Through Collaboration.” Speakers were Dr. John Maeda of RISD, John Wilbanks of Creative Commons, and Dr. Harry R. Lewis of Harvard.

- On June 8 and 9, Bannister, Contois, Gardiner, Lisi, and Zinser attended the Lyrasis webinar “Cataloging Basics: Descriptions (Live Online).” The class description reads, “This four-hour online, two-part class covers the rules for bibliographic description from Anglo-American Cataloging Rules, Second Edition, 2002 Revision, and focuses on the rules and practices for materials specifically books.”

- In June, Zinser attended a short-course on “Effective Writing for Professionals.” The course was taught by Valerie Balkun, M.A.T. and was co-sponsored by the URI Office of Human Resource Administration and the State of Rhode Island Department of Administration Office of Training and Development.

- On July 20, Lisi attended a two-hour Lyrasis webinar titled “OCLC Connexion™ : Bibliographic Searching and Browsing Techniques (Live Online).” The class description reads in part, “In this 2-hour online class we will explore the OCLC searching functions that allow you to search the OCLC WorldCat database most effectively and make those ‘hard to find’ items hard to find no longer. Searchers can zero in on their target bibliographic record using several advanced techniques, including powerful Boolean keyword and phrase searching, phrase browsing, and search limits.

In addition, faculty members Rathemacher and Cerbo also engaged a number of training and professional development activities.

- On September 22, Rathemacher attended a YBP online training session titled, “Workshop: ebrary for Community Colleges” to better understand the ebrary services marketed by YBP.

- On October 23, Rathemacher attended an Educause Live webinar, “Throwing Open the Doors: Strategies and Implications for Open Access” given by Tracy Mitrano, Director of IT Policy at Cornell University and Heather Joseph, Executive Director of SPARC.

- On November 10, Cerbo and Rathemacher attended an ALCTS webinar on datasets called “Bringing Research Data into the Library: Expanding the Horizons of Institutional Repositories.” The presenter was MacKenzie Smith, Associate Director for Technology at the MIT Libraries. The description of the webinar reads, “The focus of Library-managed Institutional Repositories has so far been on document-like items (published articles, preprints, theses, reports, working papers, etc.) but there is growing demand to expand their use into new genres such as scientific research datasets (sensor readings, genomics data, neuroimages, etc.). The presentation will
explain how IRs are including this type of collection, what librarians need to know in order to manage such collections, and a few case studies from the MIT Libraries.” Available: http://alcts.ala.org/ce/1110_09_IRs_6_Data.wmv

• On December 8, Rathemacher attended a YBP-sponsored webinar on the various collection development tools available to customers of YBP, including collection lists, core development lists, title notification and approval programs, and features of Gobi that can enhance collection development workflow. The webinar was conducted by Sarah Hoke, Library Support Center Representative.

• On December 16, Cerbo and Rathemacher attended an ALCTS webinar on institutional repositories titled, “The Potential of Partnerships: Dissolving Silos for a Successful IR Implementation.” The presenter was Marilyn Billings, Scholarly Communication & Special Initiatives Librarian at the University of Massachusetts Amherst. The description of the webinar reads, “This webinar will use the University of Massachusetts’ institutional repository as a case study to explore how the new digital repository service has affected the way librarians envision our place in the future of the academy, how the academy is changing its view of the library’s role, new tools and skills that we are developing to fulfill this service, and new partnerships that we have created and fostered to exploit this new vision. We hope to foster discussion and provide insights and opportunities for further exploration of how the role of libraries as publishers enables us to be key partners in the creation, dissemination, and archiving of academic scholarship.” Available: http://alcts.ala.org/ce/1216_09_ir_7_partnerships.wmv

• Cerbo and Rathemacher attended a presentation on January 19 at Bryant University about the new bibliographic utility Sky River. The presentation featured Leslie Straus, President of Sky River, and was sponsored by the HELIN Cataloging Committee.

• On March 30, Rathemacher attended a forum sponsored by ITHAKA titled “Sustainable Scholarship” at the Boston Marriott Copley Place. The forum focused on challenges to university press publishing, JSTOR’s Current Scholarship Program and new preservation initiatives by Portico.

• Cerbo and Rathemacher attended the 2010 NETSL Annual Spring Conference, “Crosswalks to the Future: Library Metadata on the Move,” held April 15 at the College of the Holy Cross in Worcester.

• Cerbo attended an ALA online course on “Electronic Resources” from April 19 through May 14.

• On May 6 and 11, Cerbo attended online Serials Solutions training sessions on Client Center features and on 360 Counter.

Other activities of note

The following is a list of miscellaneous milestones that occurred during the year:

• During summer 2009, Rathemacher compiled a number of statistical reports and posted them in DigitalCommons@URI. These include use statistics for DigitalCommons@URI itself; EBSCO
reports on historical prices and subscriptions by LC classification; annual NELINET costs; and Serials Solutions click-through, search type, and subject search statistics.

- During summer 2009, Rathemacher created new monthly fiscal reports on branch expenditures and endowment expenditures.

- During summer 2009, Serials Unit and MACC stats were integrated into one spreadsheet and placed in GoogleDocs for monthly updating.

- Throughout the year, the Procedures Wiki of the Technical Services Department continued to be updated and new procedures added. Position descriptions for Unit positions were posted there as well. The wiki is available at http://uritechserv.pbworks.com/.

- On October 23, Gina McCue, Vice President of North American Sales at ebrary, came to the library to demo the ebrary product.

- In November we re-subscribed after a number of years to Choice Reviews-On-Cards and began to receive them in January. Many subject selectors find the cards easier to work with than the ChoiceReviews.online product. They are distributed to selectors monthly by Collection Management Officer Joanna Burkhardt.

- During FY10, the Acquisition Unit started placing book orders for CCE. We now create order records, send orders, and pay invoices for CCE titles. Books are shipped to CCE and processed there.

- In March, Rathemacher was appointed to the HELIN Cooperative Collection Development Task Force and was subsequently elected co-Chair. The Task Force is planning a pilot project with YBP in which participating libraries, including URI, will share a selection plan. Based on a shared profile, books will be delivered to each participating library on a rotating basis and will be shelf-ready (cataloged and processed). The Task Force is also studying e-book vendors and platforms. A report will be produced in FY11.

- In May, Rathemacher registered URI with SERU, the Shared E-Resource Understanding registry, http://www.niso.org/workrooms/seru/registry. SERU promotes a common understanding regarding the licensing of e-resources that allows libraries and publishers to forgo a license agreement, if they so choose, by referencing these common understandings. This creates the potential for streamlining the acquisitions process for e-resources, standardizing terms of use, and cutting down on paperwork.

- On May 27, Burkhardt, Contois, Lisi, and Rathemacher visited the Strand Bookstore in New York City to learn more about the approval plan the library has with Strand. We learned that the books we receive from Strand monthly are not based on any written profile. Strand simply sends us a specified dollar amount of the latest academic titles, books published by university presses or academic titles from commercial presses. All titles we receive were published within three months of the date they are shipped to us. At our request, Strand will exclude specific publishers, subjects, or types of books. Currently excluded are books from Brill and Edwin Mellon and Wiley finance books geared toward practitioners. The books we receive are deeply discounted, and many are review copies, which are priced at 50% off list price. Shipping is free.

- During our trip to Strand, we learned that we could order individual titles from their Web site if in stock, and they would be shipped with our monthly approval order. For certain titles, Zinser
now checks Strand’s price (which is often lower) before placing book orders with another vendor. The cost of the books is deducted from the amount we have on deposit.

- During the early part of summer 2010, Contois worked to withdraw hundreds of serial and monograph titles from the Reference Collection as Keefe weeded Reference to make room for the Learning Commons. Some volumes were moved to the stacks and some were discarded.
- During the year, Contois continued to work on the missing issues project. Student workers check the stacks to determine the exact issues missing from incomplete volumes when this is not known, after which Contois updates our holdings statement in Millennium.
- During the year, Bannister shelf-read and cleaned up the Current Periodicals area on the Lower Level, discovering titles no longer being received and other problems.
- Starting in June, Bannister and Lisi served on the search committee for the Executive Assistant to the Dean of Libraries. Both also generously shared their time with Administration when they were short-staffed after the retirement of Cecelia Richard in June.

**Technology**

Technological changes during FY10 were minimal.

In August 2009, a new computer was provided for Contois’s binding student, as the old one was failing.

When Bannister joined the Unit in October, she brought her computer with her from Reserves.

Label printers were removed from Gardiner’s and Zinser’s desks because at the time they were not using them regularly.

**Serials Acquisitions**

**New journal subscriptions**

We initiated just a handful of individual journal subscriptions for 2010.

New titles or reinstatements:

- One title was reinstated because it was no longer in the Sage Premier package.
- The AGU Digital Library was purchased for Pell (fund code PEEP). This resource provides access to the complete back files of all AGU journals with the exception of the latest five years, which must be obtained through subscriptions to the individual journals.

Branch conversions to online:

- One former CCE print title was added as an online-only title at URI (fund code CEEP)
• Four former Pell print titles from the American Geophysical Union were added as online-only titles at URI (fund code PEEP), because the publisher no longer offers a print option.

Drop/Adds:

• One title was added and another reinstated for Sociology/Anthropology through drop/add.

Format conversions

About 17 titles were changed from print-only or print+online to online-only for 2010. Publishers included Slack, DeGruyter, East View, McGill, Wiley, Springer, University of California, and University of Sydney. Baywood Press, the American Economic Association (see below), University of Illinois Press, Wiley-Blackwell, Springer, Guilford Press, Oxford University Press, and Duke University Press.

In addition, our microfilm subscription to The New York Times was converted to online-only on the ProQuest Digital Microfilm platform, which is online facsimile of microfilm based on Adobe Acrobat technology. For the same price as film ($6,424.20), we were granted access from 2008 (the first year for which digital film is available) to the present, with perpetual ownership rights for years purchased (2010 and continuing). Note that The New York Times is our only current microfilm subscription available through ProQuest Digital Microfilm, as we canceled the microfilm for the Boston Globe in 2003 and for the Wall Street Journal in 2006.

New e-journal packages

Three e-journal packages were added in 2010:

• American Society for Microbiology Package (ASM Online)
  By subscribing to this package of journals published by the ASM, we were able to get online access to all eleven ASM journals for $3,734. This was less than we previously paid ($4,101) for individual online subscriptions to five ASM journals. Content is hosted on the HighWire Press platform and paid access is to the latest six months only. (Earlier volumes are available open access.)

• Oxford University Press Complete Collection
  Beginning in January 2010, we began participation in a portfolio package deal with Oxford University Press negotiated by the NERL (NorthEast Research Libraries) Consortium. For $35,524.48 (including a $160 NERL fee), we receive online access to 223 journal titles published by Oxford University Press. This amounts to an average price of $159.30 per title. Content is hosted on the HighWire Press platform and access is from 1996 to present.

  It is worth noting that if we had not gone with this deal, for 2010 we would have subscribed to 36 individual online Oxford journal titles through EBSCO at a total list price of $20,333.22. With our EBSCO service charge of 6.6%, the total cost for these 36 titles only would have been $21,675.21, or $602.09 per title. Thus, for a 63.9% price increase, we received a 519.44% increase in the number of titles to which our students and researchers have access. Through this
package, we were also able to reinstate 22 online-only journals published by Oxford that we had
canceled in fall 2008 due to a budget shortfall. This is the kind of package deal that President
Dooley mentioned favoring at Montana State.

- **ScienceDirect Book Series**
  For 2010, we were able to convert a number of Elsevier book series subscriptions to online-only
  format through ScienceDirect. While billed as a package, these titles can still be canceled
  individually. Ten titles were converted: *Advances in Cancer Research, Advances in Food and
  Nutrition Research, Advances in Geophysics, Advances in Heat Transfer, Advances in Marine
  Biology, Advances in Physical Organic Chemistry, Current Topics in Developmental Biology,
  International Review of Research in Mental Retardation, Side Effects of Drugs Annual, and
  Psychology of Learning and Motivation*. The total cost for this bundle was $3,858.

  **Other changes of note**

  - **ACM Digital Library (WALDO)**
    In October 2009 URI joined WALDO (Westchester Academic Library Directors Organization) in
    order to take advantage of a deal they had negotiated with the Association for Computing
    Machinery (ACM) on the full ACM Digital Library. Starting in January 2010, we obtained online
    access to the ACM Digital Library Core Package (all ACM journals and magazines, including
    archive) and the Digital Library Master Special Interest Group (SIG) Package with Guide (all ACM
    conference proceedings and newsletters as well as the Online Guide to Computing Literature).
    We now have access to almost everything by ACM (except for the full Computing Reviews). For
    this, we pay $3,972 per annum, plus a WALDO fee of 5% ($199). In 2009, we had paid $6,600 for
    the ACM Digital Library Core Package alone.

  - **Sage All-Access / “Sage Premier 2010” (NERL)**
    For 2010, our access through NERL (NorthEast Research Libraries) to all journals published by
    Sage as of 2010 was renewed for three years. (Note that this package does not automatically
    include any new titles acquired by Sage during 2011 and 2012, which have to be maintained as
    individual subscriptions in order to retain access.) In 2010, we paid $87,592.66 for access to 555
    titles back to 1999, plus a NERL fee of $26.70, which amounts to a cost of $157.87 per title. For
    2011 and 2012, the cost of the package will increase by 3%.

  - **Journals@OVID custom 50**
    For 2010, OVID invoiced this custom package of Lippincott, Williams & Wilkins (LWW) online
    journals at $15,256, an increase of 10.2%. (In 2009, the price increase had been 15.3%) We were
    able to negotiate a lesser increase of 8%, or $14,956, saving $300.

**Reference database changes**

In July 2009, several new reference databases were added, while others changed platforms.

Databases added for 2009/10 through the reference database drop-add process were:

<table>
<thead>
<tr>
<th>Reference Database</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALA Editions Guide to Reference (online)</td>
<td>$1,238</td>
</tr>
<tr>
<td>FSTA Food Science and Technology Abstracts online (EBSCOhost)</td>
<td>$6,919</td>
</tr>
</tbody>
</table>
To fund the additions above, the following titles were dropped:

<table>
<thead>
<tr>
<th>Title</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>IFIS FSTA (online)</td>
<td>$4,420</td>
</tr>
<tr>
<td>General Science Index (print)</td>
<td>$1,336</td>
</tr>
<tr>
<td>American Men and Women of Science (print)</td>
<td>$1,167</td>
</tr>
<tr>
<td>Research Centers Directory (print)</td>
<td>$938</td>
</tr>
<tr>
<td>Awards, Honors, and Prizes (print)</td>
<td>$778</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>$8,639</td>
</tr>
</tbody>
</table>

In addition, other changes to reference subscriptions this year should be noted:

- **BIOSIS Previews**
  When we purchased the 1985-1989 back files of Biological Abstracts in May 2009, Thomson upgraded the back files and our current subscription to BIOSIS Previews. BIOSIS Previews indexes a greater variety of content types and is updated more frequently than Biological Abstracts. Our subscription was also moved from the SilverPlatter to the Web of Science platform.

- **Web of Science**
  In May 2009, we purchased back files for Web of Science covering the years 1965-1997. Thus starting in 2009/2010 we were able to offer expanded coverage in this database, from 1965 to the present.

- **Kraus Curriculum Development Library (KCDL)**
  Our subscription to KCDL began in 2007/08 and was paid for the first two years (through 6/30/09) by a grant awarded to the URI School of Education. The library took over the subscription for the third year (beginning in July 2009), at a cost of $1,850 per year.

- **SpringShare LibGuides**
  Dean Maslyn approved the purchase of this software tool for creating user guides. The library purchased this subscription for $1,349 starting in 2009/10. Because we subscribe through HELIN, it is paid from the materials budget.

- **SurveyMonkey**
  Reference also requested a subscription to SurveyMonkey. This was approved by library administration and charged to the library operating budget.

Another addition for 2009/10 was a membership to the **ICPSR (Inter-University Consortium for Political and Social Research)**, which includes access to their comprehensive database of social science and health science data sets. URI had been a member of the ICPSR since at least the early 1980s, with the membership fee paid by the Provost’s office. Membership was dropped during the budget cuts of 2008/09. In fall 2009, faculty in the College of Arts & Sciences noticed their lack of access to the database and clamored to have our membership reinstated. The Acquisitions Unit offered to manage
the subscription and the Dean of Libraries agreed on the condition that the membership fee was not paid from the library materials budget. Our membership was reinstated in November 2009 for a pro-rated fee of $8,750 to cover the seven months remaining in the year. (The annual cost of membership, which runs from July-June, is $15,000. Fees are based on Carnegie Classification.) Of the $8,750, $3,750 was paid by the College of Arts and Sciences, with the remainder paid by the Provost and Vice President for Research. This arrangement was for 2009/10 only, after which the College of Arts & Sciences would need to fund the full membership. (As of the time of this writing, URI’s membership has not been renewed for 2010/11.)

To facilitate access to the ICPSR database, we asked Martha Sanders of the HELIN Consortium to load the MARC records for the datasets into HELIN. Sanders did this willingly, though she noted that authority control for the records, especially for authors, was of poor quality, requiring her to fix about 75% of them for issues such as incomplete names, Chinese names wrongly inverted, inclusion of “III” and “Jr” as part of a person’s name, incorrect MARC tagging of subfields, and incorrect tagging of corporate bodies as personal names. In addition, she found problems with the rendering of diacritical marks. None of the errors she found were correctable by Backstage, and thus needed to be corrected in-house. Sanders concluded, “Given all of this, I would not want to reload this data though I can add additional bib. records as ICPSR creates them, assuming that these would be in smaller batches.” These concerns will need to be considered if we wish to continue to offer access through the HELIN library catalog to ICPSR data sets in the future.

Preliminary statistics showed that the ICPSR got high levels of use at URI as compared to other institutions.

<table>
<thead>
<tr>
<th></th>
<th>Unique</th>
<th>Total</th>
<th>Members-Only</th>
</tr>
</thead>
<tbody>
<tr>
<td>Files</td>
<td>870</td>
<td>928</td>
<td>858</td>
</tr>
<tr>
<td>Datasets</td>
<td>195</td>
<td>202</td>
<td>182</td>
</tr>
<tr>
<td>Studies</td>
<td>31</td>
<td>36</td>
<td>27</td>
</tr>
<tr>
<td>Users</td>
<td>15</td>
<td>—</td>
<td>—</td>
</tr>
</tbody>
</table>

The average number of datasets downloaded by .edu subscribers for the FULL year of 2008-09 was 356. URI’s data above (for 09-10) is for six months only. If we double it, that comes to 404. This number might seem low in absolute terms, but it is above the average for other subscribers.

Finally, in June 2010, we converted three reference titles from Gale to online-only through the Gale Directory Library at a 5% discount off the price of the print edition. Gale agreed to lock us into Tier 1 pricing for the titles and the hosting fee, even through URI is a Tier 2 institution. The titles converted were:
### Gale Directory of Publications and Broadcast Media

<table>
<thead>
<tr>
<th>Publication</th>
<th>Edition/Continuing</th>
<th>Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market Share Reporter</td>
<td>2011 edition and continuing</td>
<td>$616.55</td>
</tr>
<tr>
<td>Gale Directory Library hosting fee, 1-10 books (Tier 1)</td>
<td></td>
<td>$50.00</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td><strong>$3,203.05</strong></td>
</tr>
</tbody>
</table>

### Back files purchased

The following reference database and e-journal back files were purchased under a permanent ownership model at the end of FY2010 with money left over in the library materials budget:

<table>
<thead>
<tr>
<th>Database</th>
<th>Purchase price</th>
<th>Annual fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biography Index Retrospective (1946-1983)</td>
<td>$5,656</td>
<td>$354</td>
</tr>
<tr>
<td>Book Review Digest Retrospective (1905-1982)</td>
<td>$9,292</td>
<td>$581</td>
</tr>
<tr>
<td>Brill Journal Archive Online (JOBA), Part 1, Brill Collection (Contains 90 titles from the 19th and 20th century from volume 1, issue 1 through 1999)</td>
<td>$22,700</td>
<td>$0</td>
</tr>
<tr>
<td>EMBASE Classic (1947-1973)</td>
<td>$7,690</td>
<td>$0</td>
</tr>
<tr>
<td>Endocrine Legacy Journal Archives (1917-1996)</td>
<td>$5,145</td>
<td>$282</td>
</tr>
<tr>
<td>JSTOR Arts &amp; Sciences VIII Collection</td>
<td>$9,500</td>
<td>$5,000</td>
</tr>
<tr>
<td>JSTOR Business III Collection</td>
<td>$6,000</td>
<td>$1,800</td>
</tr>
<tr>
<td>Library Literature &amp; Information Science Retrospective (1905-1983)</td>
<td>$9,292</td>
<td>$581</td>
</tr>
<tr>
<td>Mergent Web Reports (1909-present)</td>
<td>$25,000</td>
<td>$1,000</td>
</tr>
<tr>
<td>New England Journal of Medicine Archive (1812-1989)</td>
<td>$35,000</td>
<td>$0</td>
</tr>
<tr>
<td>Sage Backfile Upgrade Package 2010</td>
<td>$5,040</td>
<td>$0</td>
</tr>
<tr>
<td>Scientific American Archive (1948-1992)</td>
<td>$4,750</td>
<td>$0</td>
</tr>
<tr>
<td>Short Story Index Retrospective (1915-1983)</td>
<td>$3,880</td>
<td>$243</td>
</tr>
<tr>
<td>Taylor &amp; Francis Library &amp; Information Science Archive Collection (Contains 22 titles from volume 1, issue 1 through 1996)</td>
<td>$6,025.50</td>
<td>$495</td>
</tr>
<tr>
<td>Taylor &amp; Francis Media, Cultural &amp; Communications Studies Archive Collection (Contains 46 titles from volume 1, issue 1 through 1996)</td>
<td>$18,450</td>
<td>$495</td>
</tr>
</tbody>
</table>

The grand total for these archival purchases was $184,105.64, with annual fees totaling $11,331.

### Software and services purchased

In FY10, new library software products were purchased:
• **360 Counter Data Retrieval Service (DRS)**
  
  In May 2010, we subscribed to Serials Solutions’ 360 Counter Data Retrieval Service. In November 2008, we had purchased 360 Counter, a Serials Solutions product that allows for the uploading of usage statistics for e-journals and databases and the generation of sophisticated reports on use and cost-per-use. We had been successful in loading some usage and cost data into the system, but staff time constraints slowed down the process. In early spring 2010, Michael Cerbo resumed this activity in his new role as Bibliographic Access & Resource Management Librarian, however it was taking up the majority of his time. In April we negotiated a deal with Jeff Riedel of Serials Solutions in which Serials Solutions would gather and upload COUNTER-compliant usage statistics twice a year for up to 80 of our e-journal packages and databases. They would also retroactively upload usage for the years 2007, 2008, 2009, and 2010. Our subscription runs for two years, from 5/2010 through 6/2012, and costs $3,120.

  • **SpringShare LibGuides** (See “Reference database changes” above)
  • **SurveyMonkey** (See “Reference database changes” above)

### Cancelled titles, misc.

A number of miscellaneous titles were canceled for the 2010 subscription year. These include:

• 4 titles included in Project Muse for 2010-
• 4 titles included in the Brill Publications Complete Collection e-journal package through NERL for 2010-
• 3 titles included in E-Duke Scholarly Collection e-journal package for 2010-
• 2 titles included in Cambridge Journals Online e-journal package for 2010-
• 1 title included in Sage Premier e-journal package for 2010-
• 1 title converted to open access
• 1 title changed to online-only requiring password access
• 1 title no longer dealing with EBSCO, must order direct / also available full text in reference databases
• 1 drop-add for Sociology/Anthropology
• 3 reference subscriptions dropped in order to add new reference databases

### Volumes added

The total number of serials volumes added through subscriptions, binding, and gifts totaled 2,125, a decrease of 31.1%. The largest portion of that decrease came from subscriptions, the result of last year’s cancellations and continued conversions to online-only format.

### Monographs Acquisitions

### Expenditures
Total monographic expenditures for Kingston were $343,565.02 for 2009/10, up 16.9% over FY09. This does not include endowment spending on monographs, which at $3,810 was down 81.9% this year, due to the fact that the value of endowments fell and endowment income was not distributed by the URI Foundation. Thus total expenditures on books during 2009/10 for the Kingston library totaled $347,375.70, an increase of 7.4%. This amounts to approximately 11.5% of the Kingston materials budget; the rest is spent on journals and databases.

The average price we paid for a monograph during FY10 was $45.51, up 7.3% from FY09.

**Expenditures by type**

Of this spending, $149,863.36 was approvals through Strand, an increase of 49.9% over FY09. The most money was spent on firm orders, at $165,993.09, which were nonetheless down 2.2% from the previous year. Expenditures for standing orders increased by 14.6% to $27,708.57.

**Volumes added**

The total number of monograph volumes added to the collection was 11,532, an increase of 17.7%. This increase was primarily driven by gifts, which at 3,899 volumes added were up 64.5%. The number of approval volumes from Strand was down 7.5% to 3,908. In fact, this year Strand often had difficulty sending full shipments, perhaps because the number of academic titles published was down due to the recession. Our return rate for Strand approvals was 1.4%.

Firm order volumes added were up 15.5% to 3,507. This amounts to fewer volumes than we received from Strand, but a greater expenditure. This makes sense, since we get discounts of up to 50% from Strand. The number of volumes added through standing order was up 29.8% to 218.

**Analysis of circulation by vendor**

Over the years, there has been concern from some subject selectors about the quality of books sent by Strand. To examine patron use of titles acquired through Strand, an analysis was made of total circulations of purchased books cataloged during FY10 by major vendor.

<table>
<thead>
<tr>
<th>Items cataloged FY10 (riuu)</th>
<th>Strand</th>
<th>Eastern Book Co.</th>
<th>YBP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total checkouts (riuu)</td>
<td>1,395</td>
<td>728</td>
<td>420</td>
</tr>
<tr>
<td>Average checkouts per item</td>
<td>0.337</td>
<td>0.597</td>
<td>0.490</td>
</tr>
<tr>
<td>Total expenditure*</td>
<td>$149,544.95</td>
<td>$83,078.44</td>
<td>$93,097.88</td>
</tr>
<tr>
<td>Cost per checkout</td>
<td>$107.20</td>
<td>$114.12</td>
<td>$221.67</td>
</tr>
</tbody>
</table>

* Total expenditure is for all titles from vendor, not just those in location riuu.

This analysis shows that, on average, titles acquired through our Strand approval plan do circulate less frequently than titles firm ordered from Eastern or YBP, however due to the deep discounting offered by Strand, cost per circulation is lower.
Vendor Meetings

Head of Acquisitions Rathemacher met with the following vendors during 2009/10:

- December 2, 2009: Patrick Moriarty (Library Services Consultant), OCLC, re: WorldCat Local and their new ILS.
- December 15, 2009: Melissa Behney (Account Services Manager), EBSCO Subscription Services, re: our subscriptions.
- March 17, 2010: Joanne Montgomery (Senior Electronic Resources Specialist), WALDO, re: WALDO’s services and deals (with Cerbo).
- April 16, 2010: Donna Loews (Sales Manager, North America) and Jenny Byrnes (Account Manager, North America), BioOne, re: special lowered pricing for URI to subscribe to BioOne.1 and BioOne.2 e-journal collections.
- April 22, 2010: Jeff Riedel, Serials Solutions, re: 360 Counter product and price to subscribe to 360 Counter Data Retrieval Service (with Cerbo).
- May 4, 2010: Lance Dickinson (Corporate & Tax Account Executive), Bureau of National Affairs (BNA), re: possible upgrade of URI’s subscription to Labor and Employment Law Library, IP authentication, and pricing for Media Law Reporter online.

Statistics

A detailed statistical report on serials and total library expenditures is available in the “Acquisitions Unit Annual Report 2009-2010 statistical supplement” spreadsheet.

Goals for the coming year

Acquisitions Unit reorganization

In August 2010, the Acquisitions Unit was reconfigured. Cerbo’s former position in the Cataloging Unit (state classification: Librarian) was transferred with the agreement of the Head of Cataloging to the Acquisitions Unit as an Information Services Technician I. On August 29, this open position was filled by
Janice Levesque, who transferred from Circulation/Reserves. Her job consists of copy-cataloging (DLC and equivalent) library materials, including new acquisitions, gifts, and special projects. She will also be checking in and claiming serials and paying EBSCO invoices.

Because Lisi was looking for more challenging and varied cataloging assignments, she began taking on additional non-DLC, or “enhanced” copy-cataloging work, including much of the material formerly cataloged by the Librarian position in the Cataloging Unit. She was also assigned the inputting of documents in the DigitalCommons@URI institutional repository and EAD encoding of Special Collections finding aids.

Bannister, already an Information Services Technician II which by definition includes supervisory responsibilities, was promoted to Acquisitions Unit Supervisor. In this role, she is responsible for supervising unit staff and work flow, creating and updating procedures, training staff as needed, handling first-line questions and resolving problems, and providing backup.

Gardiner and Zinser were given the additional assignment of copy-cataloging (DLC and equivalent) books received from Strand.

In the coming year we expect the cross-training required by this new configuration to continue and for staff to become more settled in their new assignments. We will of course make adjustments as appropriate.

**E-journal usage statistics**

As noted previously, Cerbo made excellent progress during FY10 entering usage data and prices into the Serial Solutions 360 Counter product. During FY11, he will be able to produce multiple years of detailed use and cost-per-use reports for our e-journals which will be uploaded to DigitalCommons@URI. With 360Counter, reports can be generated by subject, journal title, publisher, cost-per-use, and other criteria. These will prove very helpful for collection assessment purposes.

**E-resource access checking**

During FY11, we hope to continue refining procedures for systematically checking access to all subscribed electronic resources. Cerbo as BARML will continue checking the accuracy of our online journal entitlements and removing canceled titles to which we no longer have access from our Serials Solutions profile.

**HELIN Cooperative Collection Development Task Force pilot for print books with YPB**

During fall 2010, the HELIN Cooperative Collection Development Task Force’s pilot project with YBP will be conducted over several weeks. Based on a shared profile, books will be delivered weekly on a rotating bases to URI, Salve, PC, RIC, and CCRI. Shipments will consist of books in English about American history (LC classifications E and F) and nursing (LC classification RT) at the “general academic” or “advanced academic” levels published by a selected list of publishers. (The level “professional” might be added and/or the publisher list expanded in order to generate more nursing titles.) Books must be
priced at $100 or less, and a few other criteria on format and genre apply. Reference material is not included.

The books will arrive cataloged and shelf-ready. HELIN Central will be responsible for selecting titles for delivery in GOBI 3 and downloading MARC and order records to Millennium. A report will be produced by the Task Force that will examine this process and its outcomes.

We will also have to evaluate the impact of such a shared program on URI. On the positive side, if this pilot project were continued and broadened, we would conceivably save the time of subject selectors and Acquisitions Unit staff who order and catalog books and pay invoices. We would also require fewer student workers to process books. On the down side, less money would be available to selectors to shape a collection that meets URI’s unique needs. It is also possible that outsourcing the physical processing of books costs more money than employing students to do the work. Such a program might also result in overlap with academic titles received from Strand at a large discount.

**HELIN Cooperative Collection Development Task Force e-book pilot**

The HELIN Cooperative Collection Development Task Force is also investigating the possibility of conducting a patron-driven acquisition (PDA) e-book pilot, most likely with ebrary. This would involve loading a large set of bibliographic records for e-books into the HELIN catalog. When a specific title is viewed a certain number of times, the e-book is purchased for the consortium. This might also involve trying to negotiate a reduced price for ebrary’s Academic Complete leased e-book collection so that all HELIN libraries can participate. The Task Force recognizes that the e-book market for academic libraries is still under development, and business models, products, and platforms are not entirely satisfactory. In the next year, both Project Muse and JSTOR will be announcing the availability of e-book collections from academic presses, which could change the e-book landscape significantly.

**FY11 Budget**

The library’s materials budget was increased for FY11 to $3,308,334, an increase of $238,809 over our FY10 budget. This is enough to cover inflation in journals, books, and databases but not any new resources. We do not anticipate the need any subscription cancellations in FY11.

**JSTOR Current Scholarship Program**

Starting in 2011, JSTOR will serve as the sole platform for subscriptions to current issues of 174 journals from 19 academic publishers through its new Current Scholarship Program. According to the [JSTOR Website](http://www.jstor.org), “The Current Scholarship Program is a new effort, initiated by JSTOR and University of California Press, to make current and historical scholarly content available on a single, integrated platform, to provide a single point for librarians and end users around the world to access this content, and to ensure this content’s long-term preservation.”

Prices for current issues are set by publishers and include access to all content back to the title’s “born digital date.” Libraries may subscribe to current issues on a single title basis, assemble their own custom
collection of single titles, or subscribe to packages that match the titles in JSTOR’s archive collections, e.g. A&S I. Libraries need only to add current titles to their existing JSTOR license agreements.

These changes will affect our subscriptions in FY11.

**Inventory**

The Acquisitions Unit last worked on the ongoing inventory of our book collection during summer 2008 with the assistance of a G.S.L.I.S. graduate student, reaching the G’s. A goal for FY11 is to examine the inventory process, upgrading equipment and/or procedures if possible, to thoroughly document the process in the Technical Services procedures wiki, and possibly to resume taking inventory during summer 2011 with graduate student assistance. The inventory project was originally initiated as a productive activity for MACC staff during times when orders were slow.

**Procedures**

Another goal for FY11 is to draft, update, and/or finalize all Unit procedures and place them in the Technical Services wiki. Our hope is that Bannister as Unit supervisor will have adequate time to work on this project, with a focus on binding procedures.

**Discard project**

Another journals discard project will be conducted during summer 2011 if funds are available to purchase additional journal back files.

**Label printer research**

Steve Hyndman of YBP mentioned a new spine label printing technology at a meeting of the HELIN Cooperative Collection Development Task Force. Apparently many large libraries, including Harvard, are very enthusiastic about printing spine labels on thin plastic using the technology employed by FedEx and UPS to print their shipping labels. Hyndman noted that the printers themselves are small, quiet, and relatively inexpensive. We will investigate this technology during FY11 to see if it would better meet our label-printing needs.