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# Analysis of Cell-Mediated Immune Responses in Support of Dengue Vaccine Development Efforts

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16

17 Abstract

18           Dengue vaccine development has made significant strides, but a better understanding of how  
19 vaccine-induced immune responses correlate with vaccine efficacy can greatly accelerate development,  
20 testing, and deployment as well as ameliorate potential risks and safety concerns. Advances in basic  
21 immunology knowledge and techniques have already improved our understanding of cell-mediated  
22 immunity of natural dengue virus infection and vaccination. We conclude that the evidence base is  
23 adequate to argue for inclusion of assessments of cell-mediated immunity as part of clinical trials of  
24 dengue vaccines, although further research to identify useful correlates of protective immunity is  
25 needed.

26

27 Introduction

28           The immunological basis of the efficacy of many of the most well-established vaccines is poorly  
29 understood, and, where studies to better understand vaccine efficacy have been done, they have almost  
30 always relied on tests of pathogen-specific antibodies rather than on measures of cell-mediated  
31 immunity (CMI) [1]. Several reasons likely explain this bias; serum is more easily obtained than viable  
32 lymphocytes, antibodies can be studied in isolation, and assays of antibody concentration and function  
33 are technically more straightforward and reproducible than cellular assays. Fortunately, in many cases  
34 detection of antibodies at or above a defined concentration using specific assays has proven to serve as  
35 a useful correlate of protective immunity. However, there has been ample evidence in the case of  
36 established vaccines that the information provided by assays of antibody responses is often incomplete,  
37 and that protective immunity (sometimes only partially protective) was present in some individuals  
38 without protective antibody levels.

39           A consultation was organized by the WHO in 2007 to “review the state of the art of dengue CMI  
40 and to discuss the potential role of CMI in advancing dengue vaccine candidates towards licensure” [2].  
41 The participants concluded that “precise function of CMI in protection or disease pathology remains ill-  
42 defined and, at present, there is no evidence to suggest that CMI can be utilized as a correlate of  
43 protection.” Recent data from dengue vaccine trials has renewed interest in addressing this issue,  
44 however. In the pivotal phase III trials of the Sanofi Pasteur chimeric dengue virus (DENV) – yellow fever  
45 virus (YFV) vaccine, plaque reduction neutralization titers (PRNT) only weakly correlated with protection,  
46 and breakthrough infections occurred in some individuals with high PRNT values [3, 4]. While efforts  
47 continue to refine assays of DENV-specific antibodies in order to discriminate effective/protective from  
48 ineffective/non-protective antibodies (assuming that this is possible), these findings re-emphasize the  
49 need to consider the role of DENV-specific T lymphocyte responses in vaccine efficacy. This review seeks

50 to summarize the current state of knowledge regarding DENV-specific CMI and propose potential  
51 contributions of CMI measurements to dengue vaccine development and testing.

52 An appraisal of the literature on DENV-specific T cell responses merits a brief review of current  
53 paradigms in T cell biology and relevant technologies. One area highlighted by recent work is the  
54 complexity of effector T cell subsets. Extending the paradigm of Th1 versus Th2 responses among CD4 T  
55 cells, at least 7 different phenotypes have now been described [5, 6]. Table 1 summarizes key proteins  
56 expressed by each subset. Cytokines and other signals produced by antigen-presenting cells during the  
57 initial T cell activation (not listed in the table) determine which pathway is taken by an individual T cell  
58 through the induction of the transcription factors listed, and this in turn controls the profile of  
59 chemokine receptors and cytokines produced. The characteristic cytokines produced by each subset are  
60 the major determinant of its role in immunity and also tend to reinforce cell polarization. The profile of  
61 chemokine receptors expressed by each cell subset determines that subset's predominant anatomical  
62 distribution, such as peripheral versus mucosal versus secondary lymphatic sites, which also contributes  
63 to its function in the response to different pathogens. Cytolytic activity, not traditionally considered an  
64 important effector function of CD4 T cells, has been increasingly recognized, mainly among cells  
65 expressing Th1 cytokines [7]. In contrast, while cytolysis has long been seen as the main function of CD8  
66 T cells, there has been a growing recognition of more diverse subsets within this population. CD8 T cell  
67 subsets with cytokine profiles similar to several of the CD4 subsets listed in Table 1 have been described,  
68 although there is comparably less known about them. Based on studies in mice, T cell polarization has  
69 often appeared to be a fixed characteristic of the cell determined during its initial activation. However,  
70 studies in humans suggest more plasticity in T cell phenotype [8].

71 Another area of active research in T cell biology is the developmental relationships between  
72 naïve, effector, and memory T cells [9-11]. This topic entails significant debate, as, unlike the case with B  
73 lymphocytes, there are no universally accepted standards for defining a memory T cell; several different

74 schemas have been proposed to define the phenotypes of effector versus memory T cells, but it is clear  
75 that these are imperfect. From a functional standpoint, it is recognized that, among antigen-experienced  
76 T cells, there is a subset of short-lived effector cells that are destined to undergo apoptosis whereas  
77 other cells demonstrate the capacity for long-term persistence and even self-renewal. Within the long-  
78 lived memory cell population, heterogeneity in function and protein expression led to a distinction of  
79 central memory T cells ( $T_{CM}$ ) and effector memory T cells ( $T_{EM}$ ). Recent data have revealed further  
80 complexity, and led to the classification of several additional subsets such as tissue-resident memory T  
81 cells ( $T_{RM}$ ) and stem memory T cells. Rather than fixed cell fates, however, there is evidence that these  
82 phenotypes retain some degree of plasticity. The timing and determinants of the transitions between  
83 states are not fully understood, and remain an important area of investigation. Several markers have  
84 been clearly identified as strongly associated with a cell's capacity for long-term survival, such as high  
85 expression of IL-7R and low expression of KLRG1.

86

#### 87 Assay methods

88 Persisting antibody following vaccination is recognized as the first line of defense against  
89 subsequent infection and is regarded as a distinguishing characteristic of an effective vaccine [12]. All  
90 currently licensed anti-viral vaccines elicit a robust antibody response that correlates with the level of  
91 protection provided by the vaccine [13]. If the same should prove to be true for dengue, then the search  
92 for a CMI "correlate of protection" for dengue would be unnecessary. However, dengue is one of several  
93 globally important infectious diseases, along with HIV, malaria, and tuberculosis, for which a vaccine is  
94 highly desirable yet no validated animal model or correlate of immune protection is known. While  
95 empirical testing of candidate vaccines has been successful in the past, the era of molecular biology has  
96 led to an explosion of tools and methodologies for creating new vaccine antigens and vector delivery  
97 systems. The contribution of CMI, particularly T cells, to a successful dengue vaccine is highly likely

98 whether it be as direct effector cells, provision of help for antibody development or creating a  
99 generalized anti-viral environment. Together with the antigenic complexity of candidate dengue  
100 vaccines (Table 2), assessing T cell responses presents a logistical problem for both vaccine developers  
101 and clinical testing laboratories – how to test or screen for all possible T cell functions when the most  
102 relevant function(s) are unknown.

103           Fortunately, T cell-based immunoassay development has also proceeded at a remarkable rate  
104 [14, 15]. A list of assays together with their advantages and disadvantages is presented in Table 3.  
105 Recently the focus of immune-monitoring has been upon assays that provide “minimal manipulation.”  
106 Relatively high-throughput assays such as ELISPOT and intracellular cytokine staining (ICS), which utilize  
107 *in vitro* stimulation times of less than 24 hours (or no stimulation in the case of direct *ex vivo* flow  
108 cytometry), are the assays of choice as a screening tool. When well qualified, both platforms are  
109 quantitative and specific for the antigen. While validation of ELISPOT and ICS assays is not trivial, it is  
110 possible, and if a T cell-based correlate of protection for dengue is defined one of these platforms would  
111 most likely be the basis of such an assay [16, 17]. The general disadvantage of ELISPOT assays is that  
112 some *a priori* knowledge of the relevant functions is required. IFN- $\gamma$  has been used extensively in vaccine  
113 development as a marker of vaccine take and as a function that is necessary, but perhaps not sufficient,  
114 for protection. ICS expands upon the functional profile of ELISPOT assays, bringing the concept of  
115 polyfunctionality of T cells to the fore. Again, some *a priori* knowledge of the relevant functional profile  
116 is required to fully interpret the results of this assay. Furthermore, ELISPOT and ICS assays are best  
117 suited for measuring and quantifying the direct effector capacity of T cells (IFN- $\gamma$ , TNF $\alpha$ , and cytolytic  
118 potential), but are significantly less sensitive at measuring T cell helper capacity. Mass cytometry and  
119 advanced polychromatic flow cytometry are technologies that permit the analysis of as many as 36  
120 parameters simultaneously on a single cell. These parameters may include both phenotypic and  
121 functional markers. While these methods will facilitate high-dimensional, quantitative analysis of



122 biomolecules on cell populations at single-cell resolution, their application to dengue research has so far  
123 been limited [18, 19].

124         The most sensitive assays are generally those that involve proliferation of a small number of  
125 antigen-specific precursor cells. Dye-dilution based T cell proliferation, when appropriately calibrated,  
126 can identify the phenotype of proliferating T cells as well as quantify the precursor frequency [20]. In  
127 addition, cytokines associated with helper (e.g., IL-4, IL-5, IL-13, IL-21) or regulatory (e.g., IL-10, TGF- $\beta$ )  
128 capacity can be studied in supernatants collected from proliferation assays. This approach does however  
129 digress from the minimal manipulation concept, is less reproducible and is prone to *in vitro* variation  
130 artifact.

131         Microfluidics-based technologies have led to the possibility of extensive transcriptional profiling  
132 of T cells at the single-cell level and a description of the population dynamics of T cell responses. While  
133 better suited to a research-based environment, these methodologies provide a discovery platform that  
134 will deliver the best opportunity to uncover a correlate of protection [21, 22]. Ultimately a thorough  
135 profiling of the entire “immune space” that is occupied by a dengue vaccine will be required to compare  
136 and contrast different vaccine modalities and vaccination strategies [23]. Describing the quality, quantity  
137 and durability of immune responses elicited will involve a standardized approach incorporating many of  
138 assay procedures listed above and probably new technologies as they become deployable.

139         Should a CMI correlate of protection from dengue infection be identified, a significant effort will  
140 be required to qualify and validate assays platforms that will reliably detect and/or measure the  
141 correlate or function. As described earlier, validation of ELISpot or ICS format assays has proved  
142 possible; however, the further challenge will be applying these assays to meet the needs of the global  
143 dengue vaccine research community. The field would benefit from the establishment of centralized  
144 laboratory(s) that implement External Quality Assurance (EQA) Programs for overseeing the  
145 development of external proficiency testing programs for flow cytometry, ELISpot and other CMI-based

146 assays [24-27]. EQA programs serve three purposes and are run according to Good Clinical Laboratory  
147 Practice (GCLP) guidance: 1) provide a means for laboratories to ensure that the data generated are  
148 accurate, timely and clinically relevant; 2) provide assurance to sponsors that the data is reliable and  
149 high quality; and 3) ensure the appropriate and accurate use of human specimens obtained from clinical  
150 trials. In addition to EQA programs, the establishment of biorepositories of standardized qualified  
151 reagents and antigens (e.g. PBMCs, peptide sets, viral isolates) for use in helping laboratories validate  
152 assays would be invaluable [28-30]. Such programs have proved successful for the field of HIV vaccine  
153 testing, with the EQAPOL program run by the NIH Division of AIDS, and the field of cancer T cell therapy,  
154 with the immunomonitoring program run by the Cancer Immunotherapy Consortium  
155 (<http://www.cancerresearch.org/cic>) [24, 31, 32].

156

#### 157 T cell responses to DENV

158 Human T cell responses to DENV were first characterized over 30 years ago, and many of the  
159 general principles originally described have remained consistent [33, 34]. Infection with one DENV  
160 induces both CD4 and CD8 memory T cells specific for DENV epitopes, with a small number of epitopes  
161 dominating the response in each individual. Epitopes are located throughout the DENV polyprotein,  
162 although several regions, especially the nonstructural protein 3 (NS3), appear to have a concentration of  
163 immunodominant epitopes. The amino acid homology across the four DENV serotypes varies for each  
164 epitope; however, most epitopes are well conserved among strains within the same serotype and differ  
165 at relatively few positions (1 to 3 of 9 residues) from the corresponding epitopes of other DENV  
166 serotypes (and other flaviviruses). The overall T cell response induced by a primary DENV infection is  
167 strongest to the serotype to which the subject had been exposed, but variable degrees of cross-  
168 reactivity are usually observed to one or more of the other serotypes.

169           Notwithstanding the confirmation of the above paradigms, the greater understanding of T cell  
170 biology and advancements in techniques for analysis of T cell responses described above have provided  
171 a more detailed and complex picture, particularly with regard to the different characteristics of the  
172 memory T cell response and their potential functions during the recall response to a subsequent DENV  
173 infection. Inasmuch as vaccination is intended to induce an immune response that will protect against  
174 infection or disease during a subsequent DENV exposure, these findings are highly relevant to evaluating  
175 the immunogenicity of different vaccine regimens. However, extrapolating observations from natural  
176 DENV infection to current vaccines is confounded by several important differences, as will be discussed  
177 further below.

178

#### 179 *Survey of recent literature*

180           The pace of scientific publications describing the T lymphocyte response to DENV has greatly  
181 accelerated in recent years. A review of PubMed entries showed at least 38 papers published since 2005  
182 that analyzed human DENV-specific T cell responses based either on functional responses to stimulation  
183 by DENV antigens or staining by HLA-peptide tetramers containing DENV peptides, 26 of which have  
184 been published since 2010 [35-75]; papers that measured serum levels of cytokines or frequencies of  
185 lymphocyte subsets during acute DENV infection were not counted if the methods could not relate the  
186 findings with antigen specificity. Taking advantage of newer techniques, these papers have greatly  
187 expanded the number of individuals whose immune responses have been characterized- tens to  
188 hundreds of subjects in each study, in comparison to fewer than 10 in most of the earlier studies. The  
189 knowledge base of DENV-specific immune responses is thus more representative of the global  
190 population, particularly among populations in dengue-endemic areas.

191           Several methodological trends are evident in the recent literature. ELISPOT and flow cytometry  
192 have become preferred assays; relatively few of the results from these assays- usually only for dominant

193 responses- have been validated by analysis of epitope-specific T cell lines. All ELISPOT and cytokine flow  
194 cytometry studies have examined the production of IFN- $\gamma$ . Studies using cytokine flow cytometry have in  
195 addition measured several other effector functions, in particular TNF $\alpha$ , MIP-1 $\beta$ , or IL-2 production or  
196 release of cytotoxic granules (measured by capture of CD107a at the cell surface).

197 In vitro stimulation for detection of DENV-specific T cells was accomplished with synthetic  
198 peptides in nearly all of the recent studies. In comparison with crude antigen preparations used in  
199 earlier studies, such as DENV-infected cell lysates, synthetic peptides provide greater standardization  
200 and reproducibility, and also directly provide detailed epitope localization. The large number of peptides  
201 needed to provide a comprehensive analysis of all potential DENV epitopes presents a major technical  
202 challenge, however. None of the studies reviewed included overlapping peptides from the full  
203 proteomes of all four DENV serotypes. Weiskopf et al conducted the most comprehensive analysis [60];  
204 however, although a total of 8,000 peptides were used in the study, each subject was only tested for  
205 recognition of a subset of peptides selected based on predictions of peptide binding to autologous HLA  
206 class I alleles. Epitope prediction algorithms were used in 8 other studies, but many fewer candidate  
207 epitopes were tested. Fourteen studies tested sets of overlapping peptides; of these, 4 studies tested  
208 peptides covering the full proteome of DENV-2, whereas the remaining studies tested overlapping  
209 peptides covering only a portion of the proteome, most often the NS3 protein.

210 At least 10 studies have used HLA-peptide tetramers to analyze DENV-specific T cells either  
211 directly ex vivo or after in vitro expansion [36, 38, 42, 47-49, 52, 59, 66, 73]. However, six of these  
212 studied the same HLA-A\*1101-restricted "GTS" epitope on the NS3 protein; in total, the remaining 4  
213 studies investigated 5 other CD8 T cell epitopes and 2 CD4 T cell epitopes. Thus, conclusions based on  
214 this body of data still are subject to considerable potential for bias.

215

216 *Contributions from animal models*

217           Differences between study populations in host genetics as well as prior DENV exposures  
218 continue to complicate the comparison of findings across studies. Given the difficulty in documenting or  
219 controlling these factors, there continues to be substantial interest in experimental animal models,  
220 particularly small, genetically defined animals such as mice. Several “humanized” mouse models have  
221 been studied. In several studies of transgenic mice expressing single HLA alleles, investigators  
222 demonstrated recognition of candidate epitopes that were selected for predicted HLA binding;  
223 subsequent testing of DENV-immune humans confirmed responses to some but not all of these epitopes  
224 [64, 69, 76-78]. Studies of immunodeficient mice in which human immune cells were reconstituted by  
225 transfusion of human hematopoietic stem cells detected T cell responses to a limited number of known  
226 human T cell epitopes [79, 80]. These studies provide preliminary evidence that these models might  
227 supplement human studies. Limited testing of heterologous secondary DENV infections was done in  
228 HLA-transgenic mice [78], but no comprehensive analysis of the different possible sequences of DENV  
229 infection has been conducted in these models to date.

230

231 *Epitope distribution and cross-reactivity*

232           Recent studies have greatly expanded the database of T cell epitopes identified on DENV  
233 proteins [81]. This reflects the combined effects of studying a larger number of humans with more  
234 diverse HLA alleles and prior DENV infection history as well as the application of single-cell assays such  
235 as ELISPOT with large numbers of synthetic peptides. It is difficult to directly compare the results from  
236 different studies, however, because of the confounding effects of differences in the numbers and  
237 characteristics of the peptides used. Overlapping peptides covering over 70% of the proteome of  
238 representative strains of all four DENV serotypes have been made available to the research community  
239 through an NIAID-funded reagent repository ([www.beiresources.org](http://www.beiresources.org)), but these were not used in most

240 of the published studies. Additionally, there remains a lack of consensus on the optimal criteria for  
241 defining epitopes. Immunodominant epitopes- those that induce responses of high magnitude in the  
242 majority (often nearly all) of subjects with the appropriate HLA allele- have generally shown similar  
243 results across studies, but these represent a minority of the epitopes identified and the generalizability  
244 of the observations regarding these epitopes needs to be verified.

245         As mentioned above, the distribution of T cell epitopes across all DENV proteins, albeit with a  
246 predominance of epitopes on nonstructural proteins, has been reinforced by the expanded literature. A  
247 need to test for responses to the entire proteome of all four DENV serotypes presents challenges for  
248 performing large-scale testing of T cell responses, such as in the context of a phase II or III vaccine trial.  
249 In contrast, data pointing to the immunodominance of responses to particular regions of the polyprotein  
250 provide some support for more targeted testing. For example, Weiskopf et al have estimated that a pool  
251 of 268 peptides would include 90% or more of CD8 T cell epitopes in any study population [72].  
252 However, this conclusion is based on their approach of HLA class I epitope prediction. It is reasonable to  
253 hypothesize that other immunologically important epitopes, especially HLA class II-restricted epitopes,  
254 have yet to be defined. Studies have yielded conflicting data on whether the distribution of CD4 T cell  
255 epitopes is similar or different from that of CD8 T cell epitopes [48, 57], with one study reporting that  
256 CD4 T cells more often recognized epitopes on structural proteins [57].

257         The use of single-cell assays such as ELISPOT has complicated the interpretation of serotype-  
258 cross-reactivity of T cell responses, as these assays do not assess serotype-cross-reactivity at the level of  
259 individual cells. This is a particular problem in individuals who have been exposed to more than one  
260 DENV serotype, either through sequential exposure or multivalent immunization. Although one study  
261 concluded that serotype-specific epitopes could be defined based on sequence conservation alone [78],  
262 other experimental data are directly contradictory [36, 37, 41]. Another study described a panel of CD4  
263 T cell epitopes predicted to be serotype-specific based on high sequence divergence across serotypes

264 [55]. Among participants in a cohort study, individuals who experienced an interval DENV infection  
265 acquired responses to peptides of one additional serotype [74]; however, only 7 subjects were studied  
266 and the DENV serotype causing the interval infection was not identified.

267         Several recent findings underscore the importance of clinical, virologic, and epidemiologic data  
268 on individual subjects for the interpretation of T cell responses to DENV. Although measures of T cell  
269 responses at the population level consistently show stronger responses to the infecting DENV serotype  
270 after a primary DENV infection, exceptions to this pattern have been observed at the level of individual  
271 epitopes [37, 49, 52], and the patterns of cross-reactivity have been even more difficult to predict after  
272 secondary DENV infections. Several studies have also found sufficient sequence divergence within one  
273 or more DENV serotype(s) to affect the T cell response [67, 82], but the clinical significance of these  
274 observations is unknown.

275

#### 276 *T cell subsets and their effector functions*

277         Recent studies using multiparameter flow cytometry have provided a more detailed picture of  
278 the effector T cell response to DENV. As noted above, most studies have focused on type 1 cytokine-  
279 producing T cells (Th1/Tc1); these studies have revealed a high degree of heterogeneity in cytokine  
280 production at the individual cell level. While polyfunctional T cells expressing 3 or more effector  
281 functions have been observed, there are also substantial populations of cells expressing 1 or 2 of the  
282 functions measured, including cells expressing only cytokines with pro-inflammatory effects (TNF $\alpha$   
283 and/or  $\beta$ -chemokines) [37, 49, 60, 67]. Stimulation with the corresponding epitopes of different DENV  
284 serotypes has been shown to alter the profile of cytokines produced, suggesting that variant epitopes  
285 act as altered peptide ligands for some DENV-specific T cells [36, 37].

286         Comparably less is known regarding effector responses other than Th1/Tc1. Of the few studies  
287 that reported data on the production of type 2 cytokines, most reported little or no production of IL-4

288 except one study of very young children (mean age 7.7 months) [61]. Single studies have described  
289 production of IL-17 [61] or IL-21 [57] by T cells in response to stimulation, or have observed the  
290 expression of markers associated with follicular helper CD4 T cells [57] or T cells capable of homing to  
291 skin [73].

292

### 293 *Primary vs. secondary infection*

294 Models of sequential infection with different DENV serotypes postulate that the immune  
295 response to secondary infection will differ in several important ways from that to the primary infection:  
296 a) the memory T cell response will be induced more rapidly and achieve higher levels, b) the memory  
297 response will preferentially activate T cells directed at epitopes that are more highly conserved between  
298 the different DENV serotypes, mainly on non-structural proteins, and c) the memory T cell response will  
299 have an altered effector profile reflecting differential activation by peptides from the second DENV  
300 serotype [83]. Although testing these postulates is highly relevant to understanding both protective and  
301 detrimental immune responses in dengue, only a few studies have compared immune responses during  
302 or after primary versus secondary DENV infections. Consistent with the predictions, differences have  
303 been reported in the expression of some phenotypic markers [71], in the dominant epitopes targeted  
304 [78], and in the profile of serotype cross-reactivity [52, 82]. Surprisingly, no significant differences were  
305 observed in the kinetics of the response or in the peak T cell frequencies during the acute infection [48,  
306 52]. These studies involved only symptomatic DENV infections, however, and the intrinsic incubation  
307 period prior to the onset of symptoms could not be determined. Also, the clearance of viremia may be  
308 more rapid in secondary infections, as suggested by some data [84]. These significant differences could  
309 have masked differences in the kinetics and magnitude of the immune response in primary versus  
310 secondary infections.

311



## 312 *Vaccines vs. natural infection*

313           With the expanding pipeline of vaccines in clinical testing and the wider availability of the  
314 requisite expertise and technology, there has been a growing body of literature describing the T cell  
315 response to dengue vaccines. All of the recently published studies have involved candidate live  
316 attenuated vaccines. These studies have shown that DENV-specific memory T cells, including  
317 polyfunctional Th1/Tc1 cells, are induced within 21 days after vaccination of flavivirus-naïve subjects  
318 [56]. In comparison to vaccination with its individual components, vaccination with the tetravalent  
319 formulation of the NIH/Butantan vaccine (Table 2) preferentially induced T cell responses to peptides  
320 from the more conserved non-structural proteins [70]. Interestingly, vaccination with the Sanofi Pasteur  
321 chimeric DENV-YFV vaccine induced T cell responses to epitopes on DENV NS3 protein in DENV-immune  
322 subjects but not in DENV-naïve subjects, suggesting that the heterologous YFV epitopes could reactivate  
323 pre-existing memory CD8 T cells but not antigen-inexperienced T cells [62]. Comparison of the T cell  
324 responses induced by the different dengue vaccines listed in Table 2 is not possible, however, because  
325 of significant differences in study and assay design.

326

## 327 Potential contributions of T cell assays to dengue vaccine development

328           The area where assessment of T cell responses to dengue vaccines would clearly have greatest  
329 impact is in identifying correlates of vaccine efficacy. A reliable immunological correlate of vaccine-  
330 induced protective immunity would accelerate vaccine testing in different populations, regimens, or  
331 epidemiological contexts. The limitations of current neutralizing antibody assays reinforce the need for a  
332 better understanding of correlates of protective immunity, although the poor discriminant ability of  
333 neutralizing antibody titers may point either to deficiencies in the assay or to non-antibody protective  
334 mechanisms. Human cohort studies and animal experiments have found associations between T cell  
335 IFN- $\gamma$  production and protective immunity [51, 60, 85, 86], supporting the potential to identify T cell

336 responses associated with protective immunity induced by vaccination. However, the published data are  
337 quite limited. Only two studies correlated T cell responses in blood samples collected prior to exposure  
338 with clinical outcomes in individual subjects [51, 87]; both studies relied on the same prospective cohort  
339 and the sample sizes were small. Also, given the difficulty in defining individuals who are fully protected  
340 from infection, all subjects in these studies experienced DENV infections and comparisons were based  
341 on severity of illness (hospitalized dengue versus non-hospitalized dengue in one study and subclinical  
342 versus symptomatic infection in the other). Other studies measured T cell responses only during or after  
343 DENV infection, a significant confounding factor for any conclusions regarding causality. This concern is  
344 somewhat lessened in the case of experimental infection, where protective immunity was associated  
345 with early IFN- $\gamma$  responses [88]. In light of the limitations of published data, however, it will be essential  
346 to validate immunological correlates against clinical endpoints in vaccine trials.

347         It will be important to validate any immunological correlates independently for several different  
348 vaccines, because the associations between immunological readouts and vaccine efficacy may or may  
349 not be equivalent. In addition to the differences in immune response pathways that might be stimulated  
350 by live versus inactivated or subunit vaccines, there are significant differences in antigenic content  
351 among the dengue vaccines currently in clinical development (Table 2). This is most pronounced with  
352 regard to the repertoire of flavivirus non-structural (NS) proteins, with some vaccines containing no NS  
353 proteins (subunit and inactivated vaccines, although the latter may include some NS1 protein), some  
354 containing NS proteins of one flavivirus, either DENV2 or the heterologous YFV, and one containing NS  
355 proteins of 3 of 4 DENV serotypes. Since non-structural proteins contain the majority of T cell epitopes,  
356 the repertoire of T cell responses induced by each vaccine will likely differ as well, although the resulting  
357 immunological profile is difficult to predict at this stage.

358         A second area where measurement of T cell responses could make an important contribution is  
359 in evaluating the durability of vaccine-induced protective immunity. This is likely to be of particular

360 importance for dengue vaccines given the evidence that partial immunity increases the risk for more  
361 severe illness. Substantial insight has been gained into how the initial activation of T cells contributes to  
362 the establishment of both long-lasting T cell and B cell memory, and this process has been successfully  
363 manipulated with pharmaceuticals such as rapamycin in experimental models [89, 90]. Licensed  
364 vaccines against other diseases differ significantly in the durability of pathogen-specific antibodies and T  
365 cells [91]; through comprehensive “systems vaccinology” approaches, early indicators of antibody and T  
366 cell responses have been identified for several of these vaccines [92, 93], although further studies are  
367 needed to establish their ability to predict longer-term durability of the response.

368           The single-cell resolution and potential to evaluate multiple T cell effector functions of newer  
369 assays offer the capacity to reveal extraordinary detail on the relationships between these responses.  
370 This capacity will likely be of special interest in the case of dengue vaccines, given the multivalent nature  
371 of dengue vaccines, the need to provide protective immunity against all four DENV serotypes, and the  
372 evidence that more severe dengue disease is associated with an inflammatory immune response. Data  
373 from several studies showing the induction of polyfunctional T cells by different tetravalent dengue  
374 vaccines are encouraging [56, 70, 75]. However, it is unclear whether the degree of ‘polyfunctionality’  
375 described is optimal; similar frequencies of polyfunctional T cells are seen after natural DENV infection, a  
376 setting that does not reflect fully (i.e., tetravalent) protective immunity. Partial immunity to DENV  
377 present prior to vaccination, as was seen in the majority of subjects in phase III vaccine trials in endemic  
378 areas [3, 4], could also modify the pattern of T cell effector functions.

379

### 380 Conclusions and recommendations

381           Although assessments of pathogen-specific T cell responses have not been a priority in most  
382 vaccine development efforts, we argue that dengue is a special case and that planning and preparation  
383 for such assessments should be given greater emphasis. The example of natural infection illustrates the

384 potential for both positive (protective) and negative (pathological) effects of partial immunity to DENV,  
385 and potential concerns for long-term safety will likely remain a major impediment to licensure and  
386 widespread uptake of dengue vaccines. The current understanding of T cell responses to DENV indicates  
387 the potential for evaluations of T cell responses to accelerate vaccine design and testing by helping to  
388 identify correlates of vaccine efficacy and also to reduce the risk to vaccine developers by helping to  
389 understand negative outcomes of vaccine trials, should they occur [94]. Implementing analyses of T cell  
390 responses in the context of upcoming dengue vaccine trials will present a number of significant logistical  
391 challenges (Table 4). Based on current knowledge, it is not possible to define the assay or assays that  
392 would reliably serve all of the pertinent objectives. The experience from prospective dengue cohort  
393 studies [51, 87] and trials of other vaccines [95] does provide guidance to vaccine developers as to how  
394 T cell studies can be incorporated into dengue vaccine trials. There continues to be a need for studies of  
395 natural DENV infection as well as efforts to develop new technologies for assessment of T cell responses  
396 to DENV. Implementation of these efforts will require ongoing support from government, industry, and  
397 charitable foundations, as well as creative solutions from the scientific community.

398

399 Disclaimer

400           The opinions or assertions contained herein are the private views of the authors and are not to  
401 be construed as reflecting the official views of the United States Army or the United States Department  
402 of Defense.

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660

661 Table 1. Characteristics defining different subsets of effector CD4 T cells.

Subset	Cytokine(s) produced	Chemokine receptor(s)	Transcription factor(s)	Comment
Th1	IFN- $\gamma$	CXCR3	T-Bet	Cellular immunity
Th2	IL-4, IL-5, IL-13	CCR3, CCR4, CCR8	GATA-3	Humoral immunity
Th17	IL-17	CCR2, CCR4, CCR6	ROR $\gamma$ t	Inflammation
Th9	IL-9	CCR3, CCR6, CXCR3	PU.1	Mucosal immunity
Th22	IL-22	CCR4, CCR10	AhR	Parasites
Tfh	IL-21	CXCR5	Bcl-6	B cell help
iTreg	IL-10, TGF- $\beta$	CCR6	FoxP3	Immunosuppression, tolerance

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663

664 Table 2. T cell antigenic content of dengue vaccine candidates in clinical development.

Vaccine developer	Structural proteins	Non-structural proteins
<i>Live, attenuated (chimeric flaviviruses)</i>		
Sanofi Pasteur	C: YFV; pre-M, E: DENV1-4	NS1-5: YFV
Takeda	C: DENV2; pre-M, E: DENV1-4	NS1-5: DENV2
NIH/Butantan	C: DENV1/3/4; pre-M, E: DENV1-4	NS1-5: DENV1/3/4
<i>Purified inactivated</i>		
WRAIR/GSK	C, pre-M, E: DENV1-4	None (? NS1)
<i>Subunit</i>		
Merck	E (80%): DENV1-4	None

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667 Table 3. Advantages and disadvantages of different methodologies for evaluation of pathogen-specific T  
 668 cell responses.

Method	Functions measured	Advantages	Disadvantages
<i>Ex vivo (no stimulation)</i>			
Flow cytometry (HLA-peptide tetramer staining)	Antigen specificity Phenotype	Quantitative readout of cell frequency Independent of cell responsiveness	Limited to one or few epitopes Not reflective of cell function Costly
<i>Short-term in vitro (<math>\leq 1</math> day)</i>			
Flow cytometry/mass cytometry (intracellular staining)	Cytokine production Degranulation (cytolysis) Phenotype	Quantitative readout of cell frequency Multiple functions assessed	Costly Specimen requirement high
ELISPOT	Cytokine secretion Granzyme release	Quantitative readout of cell frequency Technical ease Reproducibility Specimen requirement low/modest	One (or two) functions assessed per cell

Single-cell transcriptional profiling	Any function (based on gene expression) Gene networks controlling cell fate	Provides complete profiling at the single-cell and population level	Technically complex Low throughput Expensive Data analysis requires bioinformatics expertise
<i>Extended in vitro (5+ days)</i>			
ELISPOT	Cytokine secretion Granzyme release	High sensitivity Technical ease Specimen requirement low/modest	One (or two) functions assessed per cell Cell frequency altered by stimulation
Flow cytometry (marker dilution)	Proliferation	High sensitivity Technical ease	Less reproducible
<sup>3</sup> H-Thymidine incorporation	Proliferation	High sensitivity Low cost Technical ease	Radioisotope Less reproducible
Immunoassay	Cytokine secretion Granzyme release	Technical ease Can be multiplexed	Low sensitivity for rare cells

Cloning (characterize with other assays)	Multiple	Multiple functions measured Evaluates antigen crossreactivity	Low throughput (few cells evaluated) Costly Technical complexity
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671 Table 4. Logistical issues and recommendations for assessment of T cell responses to dengue vaccines.

Issues	Recommendations
Technical expertise and infrastructure needed for collection of viable PBMC	Study site development and staff training and supervision
Need to measure responses to all four DENV serotypes (and separately for structural and non-structural antigens)	Collect adequate volumes of blood for assessment of T cell responses
Immune correlates of vaccine efficacy have not yet been defined	Apply a diverse suite of assays of T cell function and specificity
Variation in HLA alleles and prior DENV exposure history in vaccine recipients	Enroll adequate numbers and diversity of subjects in assessments of T cell responses to vaccination  Collect blood samples before and after vaccination for T cell assays
Lack of high-throughput assays to measure cross-reactivity at single-cell level	Development of new assay technologies

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