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Serials Unit Annual Report 2006-2007

Andree J. Rathemacher
University of Rhode Island Library, andree@uri.edu

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SERIALS UNIT ANNUAL REPORT 2006-2007

By: Andrée Rathemacher, Head, Serials Unit

Version: August 27, 2007

PERSONNEL

Staffing in the Serials Unit remained at the same levels in 2006/07 as in 2005/06.

There were four full-time staff members during 2006/07:

- Marjorie Jackson (Librarian)
- Michael Carpenter (Systems Support Technician I)
- Pauline Contois (Information Services Technician I)
- Paula Thompson (Information Services Technician I)

Unfilled positions and overtime

In 2004/05, two Library Technician positions (Vaudreuil and Farrell) were vacated due to retirements. The Vaudreuil position was reassigned within the library, and the Farrell position remains vacant.

As a result, Carpenter, Contois, and Thompson are working a combined 12 hours overtime a week, since they are doing Farrell's former work (vetting and processing invoices, sending and reconciling claims, contacting vendors with problems, and checking in annuals). They are also doing Vaudreuil's former work of sorting Unit mail and checking in microfilm and newspapers.

While the number of items to be checked in (and therefore claimed) has decreased since Farrell's retirement, the number of invoices has remained constant.

Upgrades

In July 2006, as detailed in last year's Serials Unit Annual Report, the Office of Personnel Administration approved the upgrades of Contois and Thompson to Information Services Technician I's. This upgrade reflects the increasingly technical nature of the work performed in the Unit. Happily, it also increased Contois' and Thompson's salaries by two pay grades, putting them more in line with the other members of the Unit, a Librarian and Systems Support Technician I.

While the upgrades were approved in July, it was not until the end of 2006 that they were implemented and reflected in staff paychecks.

Jackson returns full-time

In July 2006, Marjorie Jackson returned to work full-time after having worked part-time for five years (from FY01/02 through FY05/06). Her additional working hours have been very valuable

in keeping the Unit running at full steam.

Student workers
During 2006/07, the following students worked for the Serials Unit:

Sybil Akins (Processing)
Danielle Biastre (Processing)
Chris Bennet (Processing)
Jen Cabrera (Binding)
Jen Hussey (Binding)
Jamie Kalalas (Processing)
Sam Matus (Binding)
Christina Vicananza (Binding & Prodessing)
Melissa Wheeler (Processing)

Students worked approximately 35 hours a week, or an estimated 1820 hours total.

Students did the following:

Bindery students collected and collated material to be bound and used the computer to prepare bindery forms. Students fill in slips for missing issues, file the slips, and request missing issues. They pack boxes of outgoing material and unpack boxes when they return, sort the returned materials and deliver them to the appropriate departments.

Processing students primarily process new books, annual serials, and government publications by attaching a plastic cover to the call-number label (if necessary), inserting theft strips, and stamping the materials with the library property stamp. They also prepare newspapers for the CPR and sometimes create and print call number labels for materials. When time allows, Processing students also "bind" loose government publications in folders, search for missing issues of incomplete serial volumes, pull old newspapers and "DO NOT BIND" serials from the CPR, tip in indexes, replacement pages, and errata.

CONSOLIDATION OF TECHNICAL SERVICES

In early 2005, it was decided that the Serials Unit workroom on the Lower Level of the Library would be converted into a classroom for Reference. In May 2005, Jackson and Contois were moved to temporary quarters in the former Reserves Area off the 24-Hour Room. During this time, Room 270 (the former Electronic Classroom) and the Tech Services workroom were renovated so that Technical Services could be consolidated. The wall between the two rooms was removed creating a large workspace. In October 2006, Contois and her binding operation joined Gardiner and Greenwell of MACC in the new space. Space was also made for processing operations.

Meanwhile, in preparation for the arrival of a new Dean, Technical Services offices were juggled and a new office was gained as HELIN Consortium staff moved out of the suite. This allowed Jackson have an office.

The entire Serials Unit was thus reunited in a combined Technical Services Department, creating a more efficient work flow and vastly enhancing communication.

THE YEAR'S ACTIVITIES

CCE and Pell invoices

In 2006/07 it was decided that all invoices (Kingston, CCE, and Pell) should pass through Technical Services in Kingston so that the Library's fiscal clerk would receive approved invoices from one office only.

In addition, CCE invoices would be posted to the system to allow the tracking of their funds, which had not been done in the past.

As part of this process, I created order records for all of CCE's EBSCO Serials. Contois now approves and forwards all serial invoices from both CCE and Pell, in addition to those from Kingston, to Library Administration for payment. When she receives them, Pell's have already been posted, but she needs to post the CCE invoices (and in many cases needs to create order records in the system where none exist).

This process got off to a rough start for all parties, but seems to be working relatively smoothly now.

Usage statistics

Contois and Thompson continue to do the important job of collecting monthly usage stats for the Reference Databases. I then compile them at the end of the year and post them to the Library Website.

A major accomplishment this year was the systematic gathering of usage statistics for the Library's e-journal subscriptions. This was a huge task, completed in July 2007. Not only did it result in a spreadsheet of over 10,000 lines, but it involved the ferreting out of statistics from well over 60 providers, each of which keeps their statistics at a different URL requiring a different username and password to access them. In most cases, I had to contact the publisher for this information. As a result, there are still some small providers from whom statistics have not been obtained.

The final statistics were organized by fund code, and the price of the subscription was noted, so that cost-per-use data are available for each of these titles and packages. This will be invaluable

for collection management purposes in any future serials cancellation projects or realignments, as well as in determining what journals are most heavily used by the URI research community. The statistics are available at http://www.uri.edu/library/statistics/stats.html.

Processing and database maintenance

As the staff member responsible for processing (including mending, temp-binding, and laminating), hiring and otherwise administering student workers, and generally keeping the serials "ship-shape," Jackson worked on a number of projects in FY06/07.

- Created lists of cancelled titles in order to identify print records which needed to be closed, mostly after titles had been converted to online-only. In some cases, final issues are received late or not at all, and these situations need to be resolved before closing the record.

- Added many gift serial issues to our holdings. Over the past year, a number of HELIN libraries (PC, SALVE, hospital libraries) have been discarding many of their print serials that are duplicated by online access. URI has accepted many discarded volumes as gifts in order to fill in gaps in our holdings due to missing issues and other anomalies.

- Systematically inventoried the Current Periodical Room to track down missing issues often found hiding among other titles.

- Reviewed and evaluated status "r" books (books in need of repair that had accumulated in the back of the Circulation area), sent many to be rebound, performed in-house repairs on others.

- Created and maintained an Excel spreadsheet for tracking the Serials Unit student assistant budget. This has enabled us to keep up-to-date on our budget figures (without waiting for the often-delayed spreadsheet from Library Administration) as well as notice errors (such as $870.00 charged to bindery/processing in error).

Binding

Master Price Agreement with Ridley's

We continue to use Ridley's for binding. There was some concern early in the year that the State of Rhode Island Master Price Agreement with Ridley's was due to expire and that we would have to submit specifications for bid. However, Carol Hryciw-Wing at Rhode Island College explained in November 2006 that the current master price agreement with Ridley's was in the first of two possible one-year extensions. It is therefore possible that the State will opt to go with another one-year extension, and that entirely up to the State to decide.

Nonetheless, we are ready for the State to ask us to resubmit paperwork. We have readied the specs we used last time and can submit them when requested.

Temp-Binding

This year, we also made the decision to send incomplete periodical volumes to Ridley's for binding instead of temp-binding them in-house. The reasons for this decision are, first, that our temp-binding machine is old, and there is nothing similar on the market anymore. If it were to break, there is no guarantee we could get it fixed. Also, we are running low on vinyl temp-binding sleeves, and these are expensive, plus, last time we bought them they were somewhat of a hassle to obtain. Furthermore, with the move to online-only serials, we have fewer incomplete volumes. Finally, given the cost of the temp-binding sleeve and the time it takes a staff member to do temp-binding, there is no real cost advantage to continuing to temp-bind in-house.

Thus, we have begun to send incomplete periodical volumes to Ridley's to be bound like our other serials. If, in the future, we obtain a missing issue, we can send the volume to be re-bound. Meanwhile, Jackson has been salvaging any vinyl temp-binding sleeves that she can. If she has the correct size, she has continued to temp-bind in-house while the supplies are still available and the machine is working.

Temp-binding of other materials, such as government publications pamphlets and sheet music continues as before. For these materials, we use an entirely different method involving file-folders, tape, and staples.

Binding campus-wide

Contois is responsible for training personnel in other departments on campus who bind their materials through Ridley's, with the Library as an intermediary. She trains them in how to use "NetBinder," Ridley's online software for preparing bindery orders. This year, a number of her contacts across campus retired, so she has had to train their replacements in the binding process.

Miscellaneous

Contois and her students continue to slowly discard journal issues that we received several years ago after we converted some scientific packages to online-only and we weren't sure whether access would be maintained (because of rolling access, but this is no longer an issue). These issues are in storage on the Mezzanine. Pauline offers some of them to USBE before discarding them.

Pauline also is creating an Excel file of missing issues and duplicate issues from paper lists, so that the file can be searched as needed.

	Appropriation	Expenditure	Percent spent
Binding budget for FY06/07	$24,000	$23,999.29	99.9%

	FY05/06	FY06/07	Percent change
Volumes added by binding	2,808	3,682	31.1%

Volumes Sent for Binding FY06/07	
Journals	2,869
Reference	66
Government Publications	482
Special Collections	263
Monographs (repair)	220
Free repairs	4
Non-Library	193
TOTAL	**4,097**

Missing-issues inventory
Before the days of the Innovative Serials Module, the printed Serials List noted incomplete volumes of journals, but specific missing issues were not listed. With the online system, we began listing missing issues, thus saving researchers' time. This year, we began an inventory of missing issues: Check-in staff examined the physical volumes of journals from which we knew we were missing issues and then noted the specific missing issues in the catalog. This project was halted soon after its inception, however, as both staff members had a reaction to dust and mold in the stacks. Facilities Services were called in to assess the problem, and after a long delay and many reminders, pronounced the area free of mold.

SUBSCRIPTIONS

New titles
We subscribed to one new journal for 2007, Journal of Marketing online. This title had been cancelled in 2003 because it was available full-text in ABI/INFORM. The full text was dropped from the database, and, since this is an essential title, it was reinstated.

Cancelled titles
- 43 titles from Cambridge University Press, because they were included in the Cambridge Journals Online package (see below).
- Five titles for Reference database drop/adds.
- Seven journals available online through the ScienceDirect Freedom Collection.
- Seven journals that became available online through Project Muse for 2007.
- Sixteen journals which became available online open access with no embargo.

In total, we reduced our EBSCO invoice by approximately $34,620.

Titles converted from print to online only
Packages
We subscribed to one major online journal package this year:
- Cambridge Journals Online, which allows us access to almost all journals published by Cambridge University Press

"Biggies"
Our most significant journal acquisitions this year were online site-licenses for four high-profile journals:
- Nature
- New England Journal of Medicine
- Proceedings of the National Academy of Sciences
- Science

New England Journal of Medicine was obtained through a deal negotiated by the HELIN Consortium.

The other three titles (as well as a few other titles) were funded for one year by Dean Farmer of the Graduate School of Oceanography who wanted online access. For 2008, the Library will resume paying for these journals.

Pell titles

Pell decided to convert a number of their titles to online-only for 2007. As has been our procedure, Kingston handles all online-only subscriptions, so we "took over" these titles from Pell, coding them to a new fund, PEEP (**PE**ll **E**lectronic **P**eriodicals).

Besides the "biggies" listed above, we initiated online-only subscriptions to 9 additional titles worth $10,500, charging them to PEEP.

Other individual titles

Individual titles from the following publishers were converted to online-only for 2007:

- Eastview Press (journals published in Russia)
- American Statistical Association
- American Speech, Language, and Hearing Association
- Psychonomic Society
- Society for General Microbiology
- Palgrave Macmillan
- OECD
- A handful of additional titles from
    - Blackwell
    - Sage
    - Springer
    - Oxford
    - Chicago
    - Taylor & Francis
- About 30 additional miscellaneous titles.

All in all, we converted approximately 70 individual titles to online-only for 2007, the price of which totaled $37,000. The same titles in print format cost over $45,000. Thus, we saved about $8,000, or 18% by converting these titles to online-only.

As we have already converted all of the major publishers, for the past two years we have only been able to convert a handful of titles, one by one, as they become available online only. In all cases, decisions to convert to online-only are "revenue neutral."

Outlook for 2008

For 2008, we have decided to subscribe to Sage Premier through HELIN/NERL, which includes almost all journals published by Sage. This will result in a few hundred additional online titles

and a further reduction in our EBSCO invoice.

Related work

A significant amount of time is required to administer these online-only subscriptions. New subscriptions must be set up and recorded in Serials Solutions. HELIN staff must be notified to enter the URL for the journal into the proxy server to allow remote access. The order and checkin records in HELIN must be updated to indicate that the title has been changed to online-only. URLs, usernames, and passwords must be obtained for accessing usage statistics and other administrative functions. Access problems must be addressed.

As part of this process, I go through the EBSCO invoice title by title to make sure that access is set up and working for all online-only and free-online-with-print titles. As there is no way to "check in" or "claim" online titles, this tedious process is necessary to make sure that we are getting access to what we pay for.

In addition, from year to year, vendors and platforms change. For example, the platform Extenza was changed to AtyponLink. Taylor and Francis moved their titles from MetaPress to their own platform called Informa. When such changes occur, often the whole set-up process needs to be re-done. Furthermore, titles switch platforms as major publishers "trade" journals like baseball teams trade players, and as they buy up smaller journals. Each year, titles are dropped from and added to packages such as Project Muse and Science Direct.

This process of ensuring access to our online content is continual, like "painting the Golden-Gate Bridge… By the time you're finished, it is time to begin again at the other end."

OUTLOOK FOR COMING YEAR

There are a number of major issues facing the Serials Unit in the coming year:

Staffing

Within a month of the end of FY06/07, Michael Carpenter announced his resignation, effective August 2, 2007. With his departure, the Unit is now down two full-time staff members (not including Vaudreuil). While the staff have been able to do the work of Farrell (with 12 hours overtime per week), there is no way that we can also do Carpenter's work without cloning ourselves.

The number of print serials (pieces) checked in has been declining each year, and will continue to do so. In 2000/01, with four check-in staff, we checked in 10,252 pieces. In FY06/07, with only two staff, this number was down to 6,796 pieces. Even with the decrease in staff over this time factored in, there has been a decline: Pieces checked in per staff member per *day* fell from 43 in FY01/02 to 28 in FY06/07, and pieces checked in per person per *hour* fell from 6.1 in

FY01/02 to 4.0 in FY06/07. For this reason, I think that it would be possible to have only one staff-member responsible for check-in and claiming, *if* that person did not also have to perform the additional tasks of opening mail and gathering usage statistics. This being said, a problem with having only one person for check-in is vacations and illness – there is no one else to back up that person.

The number of volumes bound has also been trending downwards. However, our staff member in charge of binding (Contois) has been handling all invoices since Farrell's departure. These invoices all need to be verified, which can be time-consuming, and problems necessitate frequent communications with publishers and vendors. Invoices sent to Administration for payment need to be kept track of, as do credits from vendors, etc. Furthermore, this year we have started processing / handling invoices from CCE and Pell in addition to our own. It is my opinion that while neither bindery nor invoices alone are be enough work for one full-time position, both activities combined are certainly *too much* for one position.

Finally, as more resources change to online-only, the amount of effort required to set up and verify access to online subscriptions, solve access problems, and gather usage statistics continues to increase. In fact, this is most of what I do on a daily basis.

I believe the solution to the above situations requires some kind of realignment of staffing in the Unit. Each discrete activity no longer "matches" the equivalent of a full-time position. Specifically:

- Checkin and claiming can be done by 1 FTE, but with no provision for processing mail, investigation of involved problems, or coverage for vacations/illnesses.
- Binding itself is too little for 1 FTE
- Invoices themselves are too little for 1 FTE
- Binding and invoices together are too much for 1 FTE
- Gathering of usage statistics are way too little for 1 FTE, but too much to add to any other position as currently configured

We absolutely need another position in Serials. What that position will do is not exactly clear to me. Some degree of cross training is probably needed (but so is specialization), combined with a re-configuration of current positions. Perhaps a new person could do some combination of usage statistics, check-in, claiming, and binding tasks. This will need to be worked out and discussed with the full participation of everyone in the Unit.

HELIN ERM module

In spring 2007, the HELIN Consortium purchased Innovative Interface's ERM (Electronic Resources Management) module. This will facilitate the efficient management of packages of electronic journals. For example, staff will be able to quickly see which titles are included in a package or database. The ERM also allows for the recording of license data so that staff and patrons can quickly see what uses are allowed, for example interlibrary loan, reserves, course-packs, etc. Finally, the ERM can store journal usage statistics and calculate costs-per-use, although this feature is not fully functional and probably won't be for a few years.

Setting up the ERM requires creating "resource" records for each database, online journal package, or online journal publisher group. Data from the license record is then entered, as well as contact information. Then "coverage data" are loaded, associating titles with packages.

Martha Sanders of HELIN will be creating many of the resource records and doing all the coverage loads, but it will fall to individual libraries to create their own resource and license records. I will eventually need to do this, which will be quite time-consuming.

Currently, I am serving on the HELIN ERM Task Force, which is making decisions regarding the ERM implementation and receiving training in how to use it.

Transfer of packages to HELIN

Of major significance in 2008 is the transfer of over $200,000 of e-journal packages that we used to get through EBSCO to HELIN. The decision to do this was made in July 2007, and the transfer will be implemented over the next year. In addition to these journal packages, we asked HELIN to be our vendor for a number of packages and databases that we used to get direct (Science Direct, SciFinder Scholar, Web of Science, Project Muse, MathSciNet, Compendex, LexisNexis Congressional, Biological Abstracts) totaling an additional $667,000. All in all, we will be paying HELIN about $867,000 more in 2007/08 than in 2006/07.

For HELIN, this means increased revenue through interest generated on the money we pay them as well as cash-back when HELIN pays for the packages by credit card. With this extra revenue they will be able to buy enhancements to Millennium and other software that benefits URI.

For the Serials Unit, this means a cost savings (we will be saving 4.6% by eliminating EBSCO's service charge) as well as fewer invoices to process and better "customer service" from HELIN than we receive from EBSCO. Staff time will be saved as well, as EBSCO's invoices for e-journal packages have often created many questions and have required back-and-forth with them before payment.

On the down side, since payments for all of these resources will be posted on one HELIN payment record, it will be impossible to use the ERM to generate statistical reports on individual resources. In addition, EBSCO has indicated that our service charge will probably be increased.

Overall, however, this development is a positive one for URI.

Transfer of Pell titles to online and to URI

For 2008, Pell is considering converting a significant number of their titles to online only. This means that URI will take over the ordering and set-up of these titles.

Missing-issues inventory

I would like to resume the missing-issues inventory project. However, with reduced staffing and staff sensitivity to dust, it is not feasible at the present time to have check-in staff carry out this activity. If funds allow, I would like to hire a student to gather the information on missing issues, at which point the data can be entered into the system by a staff member.

SELECTED SERIALS UNIT PRODUCTIVITY STATISTICS: Multi-year comparison

Year	1996/97	1997/98	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07
Volumes bound	5,868	Not avail.	5,671	4,821	5,508	4,368	4,795	3,586	3,492	2,808	3,683
Microform added	19,833	Not	17,602	17,097	16,543	15,697	14,298	15,475	2,880	173	154
Claims sent	Not	Not	Not	Not	2,671	3,721	2,008	1,921	4,291	1,429	1,243
Pieces checked in	Not avail.	Not avail.	Not avail.	Not avail.	41,009	34,454#	Not avail.	Not avail.	17,420*	18,485	13,591
Check-in staff	—	—	—	—	4	4	4	4	3	2	2
Avg. check-in per staff member	Not avail.	Not avail.	Not avail.	Not avail.	10,252	8,614	Not avail.	Not avail.	5,806	9,243	6,796
Pieces / staff member per day (assuming 240 working days/yr.)	Not avail.	Not avail.	Not avail.	Not avail.	43	36	Not avail.	Not avail.	24	39	28
Pieces per staff member per hour (7 hour day)	Not avail.	Not avail.	Not avail.	Not avail.	6.1	5.1	Not avail.	Not avail.	3.4	5.6	4.0

* Total is estimate. Missing three months of data.
# Total is estimate. Missing six months of data.

HISTORICAL STAFFING, SERIALS UNIT

Name	Function	Date started	Date ended
Andrée Rathemacher	Head, Serials Unit	October 1, 2003	—
Paula Thompson	Check-in	February 13, 2000	—
Michael Carpenter	Check-in	September 1999?	Resigned effective August 2, 2007
Marjorie Jackson	Processing, record changes, etc.	March 1995	—
Pauline Contois	Invoices	March 2005	—
Pauline Contois	Bindery	Library: April 11, 1988	—
Kathleen Farrell	Invoices	Library: November 8, 1982	Retired February 2005
Lorraine Vaudreuil	Newspapers, mail	January 16, 1983	January 18, 2005
Paul Warren	Check-in	??	Died Fall 1999?
Jeannine Wilks	Check-in	??	Retired 1999?
Martha Kellogg	Head, Serials	??	Retired May 1999?

SERIALS EXPENDITURES BY FUND FY05/06 and FY06/07

Fund code	Fund	FY 05/06 expenditure	FY 06/07 expenditure	% change	% of total FY 06/07 expenditure
aafp	**African & African-American Studies**	$1,926.74	$2,164.17	12.3%	0.1%
artp	**Art**	$5,320.87	$5,979.00	12.4%	0.2%
biop	**Biological Sciences**	$119,928.52	$129,055.70	7.6%	5.3%
bmmp	**Cell & Molecular Biology**	$42,498.05	$45,677.26	7.5%	1.9%
bpsp	**Biomedical & Pharmaceutical Sciences**	$35.661.72	$38,842.60	8.9%	1.6%
busp	**Business Administration**	$19,475.39	$20,465.45	5.1%	0.8%
chep	**Chemical Engineering**	$19,515.19	$20,908.17	7.1%	0.9%
chmp	**Chemistry**	$113,832.75	$132,790.79	16.7%	5.4%
cmdp	**Communicative Disorders**	$7,016.56	$6,634.92	-5.4%	0.3%
coep	**Engineering - General**	$25,746.29	$22,773.11	-11.5%	0.9%
comp	**Communication Studies**	$3,745.74	$3,770.74	0.7%	0.2%
cplp	**Community Planning**	$11,713.75	$13,324.94	13.8%	0.5%
cscp	**Computer Science & Statistics**	$7,612.79	$8,207.96	7.8%	0.3%
cvep	**Civil & Environmental Engineering**	$38,884.80	$41,328.92	6.3%	1.7%
ecnp	**Economics**	$18,614.90	$19,220.65	3.3%	0.8%
edcp	**Education**	$21,600.35	$23,573.61	9.1%	1.0%
elep	**Electrical, Computer & Biomedical**	$29,950.01	$13,548.10	-54.8%	0.6%

engp	**English**	$7,588.21	$7,463.57	-1.6%	0.3%
fees	**Fees**	$50,412.41	$55,187.78	9.5%	2.2%
flmp	**Film Studies**	$1,978.99	$2,039.56	3.1%	0.1%
fstp	**Fisheries, Animal & Veterinary Science**	$6,417.74	$7,388.05	15.1%	0.3%
geop	**Geosciences**	$16,738.33	$19,565.22	16.9%	0.8%
hdfp	**Human Development & Family Studies**	$12,923.77	$13,298.65	2.9%	0.5%
hisp	**History**	$15,593.75	$14,558.41	-6.6%	0.6%
hltp	**Health - General**	$9,895.29	$11,614.83	17.4%	0.5%
imep	**Industrial & Manufacturing Engineering**	$15,889.09	$17,712.49	11.5%	0.7%
jorp	**Journalism**	$523.00	$616.09	17.8%	0.0%
kinp	**Kinesiology**	$17,970.65	$19,199.96	6.8%	0.8%
lanp	**Languages & Literatures, Modern &**	$22,225.05	$26,787.81	20.5%	1.1%
lrsp	**Labor Relations & Human Resources**	$9,345.85	$10,571.48	10.0%	0.4%
lscp	**Library & Information Studies**	$15,081.58	$14,423.19	-4.4%	0.6%
mafp	**Marine Affairs**	$13,968.60	$15,640.68	12.0%	0.6%
mcep	**Mechanical Engineering**	$30,409.31	$37,224.75	22.4%	1.5%
mthp	**Mathematics**	$24,341.81	$27,951.23	14.8%	1.1%
musp	**Music**	$2,203.92	$2,394.62	8.7%	0.1%
nfsp	**Nutrition & Food Science**	$29,734.36	$31,071.75	4.5%	1.3%

nrsp	**Natural Resources**	$6,311.78	$6,873.09	8.9%	0.3%
nurp	**Nursing**	$27,175.49	$30,692.30	12.9%	1.2%
ocep	**Ocean Engineering**	$481.16	$542.87	12.8%	0.0%
peep	**Pell E-Journals**	$6,508.89	$17,804.28	173.5%	0.7%
phlp	**Philosophy**	$8,432.86	$8,397.77	-0.4%	0.3%
phpp	**Pharmacy Practice**	$10,517.10	$10,304.43	-2.0%	0.4%
phyp	**Physics**	$70,266.98	$131,677.57	87.4%	5.4%
plsp	**Plant Sciences**	$21,427.62	$23,483.13	9.6%	1.0%
pscp	**Political Science**	$29,619.22	$29,005.75	-2.1%	1.2%
psyp	**Psychology**	$55,071.30	$59,663.60	8.3%	2.4%
renp	**Environmental & Natural Resource**	$3,525.03	$3,580.45	1.6%	0.1%
socp	**Sociology & Anthropology**	$35,278.61	$35,612.91	0.9%	1.4%
thep	**Theatre**	$2,937.44	$3,248.81	10.6%	0.1%
tmdp	**Textiles, Fashion Merchandising & Design**	$3,654.56	$4,503.96	23.2%	0.2%
wmsp	**Women's Studies**	$1,260.43	$584.71	-53.6%	0.0%
zzap	**General**	$35,216.36	$56,000.96	59.0%	2.3%
zzlp	Library	$50.00	$0.00	-100.00%	0.0%
zzrp	Reference	$62,159.27	$63,736.50	2.5%	2.6%
zzsp	Special Collections	$80.00	$0.00	-100.0%	0.0%

zzwp	Electronic	$1,144,421.89	$1,089,536.58	-4.8%	45.3%
	TOTAL	**$2,350,682.12**	**$2,457,936.57**	**4.6%**	**100.0%**

NOTE: A payment to HELIN (vendor: shel) for electronic subscriptions (fund: zzwp) was made in the amount of $204,693.03 after the books were closed. This IS included above

NOTE on method: Create a list of ALL order records with location riu, rix, or rin. Run a statistical report on expenditures over last two fiscal years, displayed by fund code. Export to Excel, remove all funds that do not end in "p" and calculate totals.

ENDOWMENT EXPENDITURES FOR SERIALS

Fund code	Fund	FY 05/06 Expenditure	FY 06/07 Expenditure	% change
xbwp	Barbara Woods (German)	$99.02	$109.19	10.3%
xcap	E & L Carr (Biology)	$7,493.54	$7,909.85	5.6%
xclp	Charles Cloudman (Math)	$11,619.81	$11,200.82	-3.6%
xgap	Galanti (Nursing)	$5,493.62	$5,727.04	4.2%
xiip	Independent Insurance Agents (Business)	$14,383.12	$14,698.85	37.1%
	TOTAL	**$39,089.11**	**$39,645.75**	**1.4%**

Note: These were the only endowment funds to which serials were charged during this fiscal year.

FINAL TOTAL SERIALS EXPENDITURES FOR KINGSTON 2006/07

Serials expenditures	$2,457,936.57
Endowment serials	$39,645.75
TOTAL	**$2,497,582.32**

PELL & CCE SERIALS EXPENDITURES FY05/06 vs. FY06/07

	FY 05/06 Expenditure	FY 06/07 Expenditure	% change	Notes
CCE	$0.00	$71,020.37	N/A	CCE order records weren't in system until FY06/07
PELL	$133,552.46	$123,275.07	-7.7%	Decrease due to shift of online-only titles to URI

FINAL TOTAL SERIALS EXPENDITURES FOR ALL LIBRARIES 2006/07

Kingston	$2,457,936.57
Pell (PELP)	$123,275.07
CCE (CCEP)	$71,020.37
SUBTOTAL	**$2,652,232.01**
Endowments	$39,645.75
GRAND TOTAL	**$2,691,877.76**

SERIALS EXPENDITURES BY FORMAT 2006/07 (estimate)

Format	Expenditure	% of total ($)
Print only	$546,786.59	20.31%
Print + online	$125,921.88	4.68%
Online only	$2,010,401.77	74.68%
Mform	$8,767.52	0.33%
TOTAL	**$2,691,877.76**	**100.0%**

Note: *Includes* FY 06/07 HELIN late payment.
Note: Due to irregularities in what was counted, these numbers are estimates to the best of my ability.

KINGSTON SERIALS EXPENDITURES BY VENDOR: TWO-YEAR COMPARISON

Vendor	FY 2005/06	FY 2006/07	% Change	Note
American Math Soc	$3,096.00	$2,708.00	-14.33%	Journals
Bowker Reed	$3,175.79	$3,288.74	3.43%	
Bureau of Nat Aff	$9,195.00	$9,532.00	3.54%	Reference
Cambridge Univ Pr	$15,433.74	$3,955.00	-290.23%	They invoiced us for
CCH	$215.00	$236.00	8.90%	Legal reference
Chronicle of Higher	$5,975.00	$6,150.00	2.85%	Chronicle site license
EBSCO	**$1,111,084.49**	**$1,306,122.43**	**14.93%**	**Price increases + few**
H.W. Wilson	$4,704.00	$0.00	n/a	
Harrassowitz	$244.88	$198.64	-23.28%	
HELIN	**$371,721.83**	**$247,246.51**	**-50.34%**	**Includes late**
Information Today	$715.05	$930.80	23.18%	
LexisNexis	$8,154.31	$8,631.06	5.52%	Legal reference
LexisNexis	$4,956.83	$10,388.59	52.29%	Legal reference
Miscellaneous	$3,886.25	$12,066.47	67.79%	
National Archive	($1,036.32)	$6,946.46	114.92%	Mfilm (taken over
NE Micrographics	$471.99	$770.75	38.76%	Mfilm
NELINET	$32,923.86	$39,367.84	16.37%	

Project Muse	$19,800.00	$19,600.00	-1.02%	Project Muse
Rittenhouse	$98.51	$299.00	67.05%	Doody's Core Titles
ScienceDirect	$485,652.59	$506,969.88	4.20%	ScienceDirect
Thomson	$90,186.00	$96,683.25	6.72%	Web of Science
Thomson West	$9,738.75	$9,414.25	-3.45%	Legal reference
UMI	$6,477.11	$11,061.32	41.44%	Mfilm
TOTAL	**$2,186,870.66**	**$2,302,566.99**	**5.3%**	

Note: This does not include all vendors.
Note: Due to irregularities in what was counted, total is different than in chart above. Chart above is definitive number.

I believe that the final number given above, $2,497,582.32 (including endowment spending on serials), is a highly accurate estimate of the amount of money Kingston spent on serials in FY06/07. Because different queries of the system generate different final numbers, and because in some years we have counted endowment spending and in other years we have not, and because of titles transferred from Pell, titles added, titles cancelled, titles changed to online-only format, it is impossible to determine exactly how much the price of serials increased from FY05/06 to FY06/07.

However, two estimate yields the following widely-varying results:

Basis of comparison	**FY 05/06 expenditures**	**FY06/07 expenditures**	**% increase**
Expenditures by serials fund code (includes endowment accounts, and FY06/07 HELIN late payment)	$2,350,682.12	$2,457,936.57	4.6%
Serials order records coded "f" serial no encumbrance (includes endowment accounts and FY06/07 HELIN late	$2,125,490.37	$2,458,930.59	15.7%

SELECTED STATISTICS FROM THE YEAR

Unique online titles to which URI has access (free & paid):
Snapshot, July 18, 2007: 26,358

Snapshot from February 27, 2007 (The following numbers are approximations)

	Print serials (includes free online with print)	Online only individual titles	Online-only packages	Indexing & abstracting databases *with full-text* periodical articles	**TOTALS**
Expenditure	$398,508	$1,147,308	$749,626	$95,876	**$2,391,318**
# of titles*	1,857	2,072	3,647	11,934	**19,510**
% all serials expenditures	17%	48%	31%	4%	**100%**
% all titles	9%	11%	19%	61%	**100%**
Average cost per title	$215	$554	$206	$8	—

* Note: the number of titles listed above are total titles, not unique titles. Number of unique titles will be less.

- Says 90% of all our journals are online (by number of titles). Excluding aggregator titles, 75% of all our journals are online.

Fiscal Year 2006-2007 Library *Allocations*

	URI	**CCE**	**PELL**
Serials	$2,266,561	$70,000	$121,200
Monographs	$331,950	$15,000	$4,000
Total	$2,598,511	$85,000	$125,200

- Total Serials for three branches: $2,457,761
- Total all materials for three branches: $2,808,711
- Serials make up 87.5% of materials expenditures.